

December 9, 2024

Submitted via Portal

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Rule Certification - AEGIS Markets
Amendments to Rulebook and Exhibit K (Fee Schedule)**

Dear Sir:

Pursuant to Section 40.6(a) of the regulations of the U.S. Commodity Futures Trading Commission (the “CFTC”, or the “Commission”), AEGIS Markets (“AEGIS”) hereby notifies the Commission that it has amended its Rulebook and Fee Schedule. The Rule Amendments shall become effective 10 days after this submission has been filed or immediately upon approval by the CFTC, whichever occurs first.

A concise explanation and analysis of the rules and their compliance with applicable provisions of the Act, including core principles and the Commission’s regulations, is attached hereto as Exhibit A. A copy of the Rulebook is attached under separate cover as Exhibit B. A redlined version of the Rulebook is attached under separate cover as Exhibit C. A copy of the Fee Schedule is attached under separate cover as Exhibit D. A redlined version of the Fee Schedule is attached under separate cover as Exhibit E.

AEGIS hereby certifies that: (i) the amended rule complies with the Act and the Commission’s regulations thereunder, and (ii) a notice and copy of this submission is being concurrently posted on AEGIS’s website. There were no substantive opposing views to the amendments to the rule.

Please contact the undersigned at 832-413-5973 or afurman@aegis-markets.com with any questions regarding this matter.

Andrew Furman

Andrew Furman
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Exhibit A – Explanation

Amendment and Explanation	Supporting Rule / Core Principle
<p>Rulebook 3.12: Dues and Fees</p> <ul style="list-style-type: none"> Clean up of language in Rules 3.12.2 and 3.12.3 for Schedule K 	<ul style="list-style-type: none"> § 37.100 Core Principle 1(b)- Reasonable Discretion of swap execution facility Core Principle 2 – Compliance with rules
<p>Updated Fee Schedule</p> <ul style="list-style-type: none"> Increased notional volume fees for certain products 	<ul style="list-style-type: none"> § 37.100 Core Principle 1(b)- Reasonable Discretion of swap execution facility § 37.202(a) – Impartial Access § 37.1300 Core Principle 13 – Financial Resources - § 37.1301(a)

Exhibit B – AEGIS Markets Fee Schedule - clean

(attached under separate cover)

Exhibit C – AEGIS Markets Fee Schedule - redline

(attached under separate cover)

Exhibit D – AEGIS Markets Fee Schedule - clean

(attached under separate cover)

Exhibit E – AEGIS Markets Fee Schedule - redline

(attached under separate cover)