

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 20-555

**Organization:** New York Mercantile Exchange, Inc. ("NYMEX")

**Filing as a:**     **DCM**         **SEF**         **DCO**         **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 12/30/2020    **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of December 21, 2020)

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

December 30, 2020

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Future Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
NYMEX Submission No. 20-555**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of December 21, 2020.

Effective December 22, 2020, the Exchange received and approved the application of HelvetiCor AG to be a carrier for the Platinum and Palladium futures contracts. The marketplace was alerted of the approval via MKR #12-22-20E, which is attached hereto as Exhibit A.

In accordance with the changes above, the Exchange updated the NYMEX Rulebook Chapter 7 “Service Providers” Chart (“Chart”) for “Precious Metals – Carriers.” The Chart is attached under separate cover, in blackline format, as Exhibit B.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #12-22-20E  
Exhibit B – NYMEX Rulebook Chapter 7 “Service Providers” Chart (attached under separate cover) (blackline format)

## Exhibit A



**DATE:** December 22, 2020

**MKR#:** 12-22-20E

**SUBJECT:** Regularity Approval for Precious Metals Carrier

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New York Mercantile Exchange, Inc. ("NYMEX") and The Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") have received and approved the application of **Helveticor AG** to be a carrier for the Gold, Gold Enhanced Delivery (4GC), Platinum, and Palladium futures contracts on their respective exchanges.

This approval is effective immediately.

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of December 28, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions of this subject to:

**Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

**Exhibit B**

**NYMEX Rulebook  
Chapter 7  
Service Providers Chart**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)