

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 22-010

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 01/04/22 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of December 27, 2021)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

January 4, 2022

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
CBOT Submission No. 22-010**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of December 27, 2021.

Effective December 27, 2021, Consolidated Grain and Barge Co. changed its clearing agent to Marex North America LLC for the firm’s Corn, Soybean, and Wheat transactions. The marketplace was alerted of the change via MKR #12-27-21, which is attached hereto as Exhibit A.

Also effective December 27, 2021, Zen-Noh Grain Corp. changed its clearing agent to Marex North America LLC for the firm’s transactions. The marketplace was alerted of the change via MKR #12-27-21B, which is attached hereto as Exhibit B.

Effective December 29, 2021, The Andersons, Inc. changed its clearing agent to Marex North America LLC for the firm’s transactions. The marketplace was alerted of the change via MKR #12-29-21, which is attached hereto as Exhibit C.

Also effective December 29, 2021, Flint Hills Grain, LLC changed its clearing agent to SG Americas Securities LLC for the firm’s transactions. The marketplace was alerted of the change via MKR #12-29-21B, which is attached hereto as Exhibit D.

Also effective December 29, 2021, Gavilon Grain, LLC changed its clearing agent to SG Americas Securities LLC for the firm’s transactions. The marketplace was alerted of the change via MKR #12-29-21C, which is attached hereto as Exhibit E.

In accordance with the changes above, the Exchange updated the CBOT Rulebook Chapter 7 “Facilities” Chart (“Chart”) for “Corn, Beans, Wheat, HRW Wheat.” The Chart is attached under separate cover, in blackline format, as Exhibit F.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #12-27-21  
Exhibit B – MKR #12-27-21B  
Exhibit C – MKR #12-29-21  
Exhibit D – MKR #12-29-21B  
Exhibit E – MKR #12-29-21C  
Exhibit F – CBOT Rulebook Chapter 7 Facilities Chart (attached under separate cover)  
(blackline format)

## Exhibit A

**DATE:** December 27, 2021  
**MKR#:** 12-27-21  
**SUBJECT:** Change in Regular Firm's Clearing Agent

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The Exchange has been notified that **Consolidated Grain and Barge Co.** has changed its clearing agent to **Marex North America LLC** for the firm's Corn, Soybean, and Wheat transactions.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)      (312) 435-3644

## Exhibit B

**DATE:** December 27, 2021  
**MKR#:** 12-27-21B  
**SUBJECT:** Change in Regular Firm's Clearing Agent

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The Exchange has been notified that **Zen-Noh Grain Corp.** has changed its clearing agent to **Marex North America LLC** for the firm's transactions.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)      (312) 435-3644

## Exhibit C

**DATE:** December 29, 2021  
**MKR#:** 12-29-21  
**SUBJECT:** Change in Regular Firm's Clearing Agent

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The Exchange has been notified that **The Andersons, Inc.** has changed its clearing agent to **Marex North America LLC** for the firm's transactions.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)      (312) 435-3644

## Exhibit D

**DATE:** December 29, 2021  
**MKR#:** 12-29-21B  
**SUBJECT:** Change in Regular Firm's Clearing Agent

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The Exchange has been notified that **Flint Hills Grain, LLC** has changed its clearing agent to **SG Americas Securities LLC** for the firm's transactions.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)      (312) 435-3644

## **Exhibit E**

**DATE:** December 29, 2021  
**MKR#:** 12-29-21C  
**SUBJECT:** Change in Regular Firm's Clearing Agent

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The Exchange has been notified that **Gavilon Grain, LLC** has changed its clearing agent to **SG Americas Securities LLC** for the firm's transactions.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)      (312) 435-3644

## **Exhibit F**

### **CBOT Rulebook Chapter 7 "Facilities"**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)