<i>IMPORTANT</i> : Check box if Confidential Treatment is rec Registered Entity Identifier Code (optional): <u>15-001</u>	quested
Organization: <u>New York Mercantile Exchange, Inc. ("NYM</u>	EX'')
Filing as a: DCM SEF DCO	SDR
Please note - only ONE choice allowed.	
Filing Date (mm/dd/yy): <u>01/23/15</u> Filing Description: <u>Ame</u>	endments to Seven (7)
Coal Futures and Options Contracts	
Please note only ONE choice allowed per Submission.	
Organization Rules and Rule Amendments	
Certification	§ 40.6(a)
Approval	§ 40.5(a)
Notification	§ 40.6(d)
Advance Notice of SIDCO Rule Change	§ 40.10(a)
SIDCO Emergency Rule Change	§ 40.10(h)
Rule Numbers:	
New Product Please note only ONE p	roduct per Submission.
Certification	§ 40.2(a)
Certification Security Futures	§ 41.23(a)
Certification Swap Class	§ 40.2(d)
Approval	§ 40.3(a)
Approval Security Futures	§ 41.23(b)
Novel Derivative Product Notification	§ 40.12(a)
Swap Submission	§ 39.5
Official Product Name:	
Product Terms and Conditions (product related Rules and Rule A	
Certification	§ 40.6(a)
Certification Made Available to Trade Determination	§ 40.6(a)
Certification Security Futures	§ 41.24(a)
Delisting (No Open Interest)	§ 40.6(a)
Approval	§ 40.5(a)
Approval Made Available to Trade Determination	§ 40.5(a)
Approval Security Futures	§ 41.24(c)
Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)
"Non-Material Agricultural Rule Change"	§ 40.4(b)(5)
Notification	§ 40.6(d)



January 23, 2015

## VIA ELECTRONIC PORTAL

Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, DC 20581

#### Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to Seven (7) Coal Futures and Options Contracts. NYMEX Submission No. 15-001

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying amendments to seven (7) coal futures and options contracts effective on Sunday, February 8, 2015 for trade date Monday, February 9, 2015. Specifically, the Exchange will amend the last trading day for five (5) coal futures contracts which will in effect amend the last trading day for the December 2015 contract month. In addition, the Exchange will amend both the last trading day and the expiration day for two (2) options on coal futures contract which will in effect amend the December 2015 contract month.

Contract Name	Clearing Code and CME Globex Code	NYMEX Rule Chapter Number
Indonesian Coal (McCloskey sub-bituminous) Futures	MCC	294
Coal (API2) CIF ARA (ARGUS- McCloskey) Futures	MTF	926
Coal (API4) FOB Richards Bay (ARGUS-McCloskey) Futures	MFF	928
Coal (API 5) fob Newcastle (Argus/McCloskey) Futures	ACM	1105
Coal (API 8) cfr South China (Argus/McCloskey) Futures	SSI	1113

The aforementioned five (5) coal futures contracts which shall be amended are:

The aforementioned two (2) options on coal futures contracts which shall be amended are:

Contract Name	Clearing Code and CME Globex Code	NYMEX Rule Chapter Number
Coal (API 2) cif ARA (Argus/McCloskey) Options	МТО	927
Coal (API 4) fob Richards Bay (Argus/McCloskey) Options	MFO	929

The Indonesian Coal (McCloskey sub-bituminous) Futures contract is a cash-settled contract with reference to a monthly average price assessment published by IHS McCloskey, and each of the other six contracts is cash settled with reference to a monthly average price assessment published in combination by Argus Media and IHS McCloskey.

Argus Media and IHS McCloskey have recently advised of their publication dates for the monthly average prices for 2015. These prices are normally published on the last Friday of the calendar month, or preceding business day if the last Friday is not a business day. However, with respect to December 2015, Argus Media and IHS McCloskey have advised that publication will take place on Thursday, December 31, 2015. This date has been chosen due to the timing of the New Year's Day public holiday. The Exchange is implementing these rule amendments such that the last trading day for the futures contracts listed above, and both the last trading day and the expiration day of the options contracts listed above from Thursday, December 24, 2015 to Thursday, December 31, 2015.

In addition, the Exchange is amending the rule chapter language for each of these contracts to ensure consistency with this publication timetable. The amended rule chapter language will apply to all existing contract months but only have a material impact on the December 2015 contract month.

The Exchange has deemed it necessary to revise the last trading day and expiry day for the December 2015 contract month for the aforementioned contracts. The Exchange canvassed several open interest holders to advise them that the amendments are necessary to maintain an orderly market. The Exchange will immediately notify the marketplace of the amendments. It is important to note that there were no substantive opposing views to the proposed amendments.

The NYMEX rulebook amendments are provided in blackline format in Appendix A.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA") and identified that the amendments may have some bearing on the following Core Principles:

 <u>Availability of General Information</u>: The Exchange will publish information regarding the amendments via a Special Executive Report ("SER") to the marketplace. The SER will also be posted on the Exchange's website.

Pursuant to Section 5c(c) of the Commodity Exchange Act ("Act") and CFTC Regulation 40.6(a), the Exchange hereby certifies that rule amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <a href="http://www.cmegroup.com/market-regulation/rule-filings.html">http://www.cmegroup.com/market-regulation/rule-filings.html</a>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or <u>CMEGSubmissionInquiry@cmegroup.com</u>.

Sincerely,

/s/Christopher Bowen Managing Director and Chief Regulatory Counsel

Attachment: Appendix A - Amendments to NYMEX Rulebook Chapters (blackline format)

# Appendix A

## NYMEX Rulebook Amendments

(bold/underline indicates addition; strikethrough indicates deletion)

## Chapter 294 Indonesian Coal (McCloskey sub-bituminous) Futures

#### 294.06. TERMINATION OF TRADING

The contract shall terminate at the close of trading on the last Friday of the contract month. If such Friday is a UK holiday, the contract will terminate on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract shall terminate on the Exchange business day immediately prior. Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall terminate on the last business day of the contract month.

#### Chapter 926 Coal (API2) CIF ARA (ARGUS-McCloskey) Futures

#### 926.06. TERMINATION OF TRADING

The contract shall terminate at the close of trading on the last Friday of the contract month. If such Friday is a UK holiday, the contract will terminate on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract shall terminate on the Exchange business day immediately prior. Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall terminate on the last business day of the contract month.

## Chapter 927 Coal (API 2) cif ARA (Argus/McCloskey) Option

#### 927.01. EXPIRATION

The Coal (API 2) cif ARA (Argus/McCloskey) Option expiration shall be on the last Friday of the contract month. If such Friday is a UK holiday, the contract will expire on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract will expire on the Exchange business day immediately prior. Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall expire on the last business day of the contract month.

## Chapter 928 Coal (API4) FOB Richards Bay (ARGUS-McCloskey) Futures

#### 928.06. TERMINATION OF TRADING

The contract shall terminate at the close of trading on the last Friday of the contract month. If such Friday is a UK holiday, the contract will terminate on the UK business day immediately

prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract shall terminate on the Exchange business day immediately prior. <u>Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding</u> <u>December contract month shall terminate on the last business day of the contract month.</u>

## Chapter 929 Coal (API 4) fob Richards Bay (Argus/McCloskey) Option

#### 929.01. EXPIRATION

The Coal (API 4) fob Richards Bay (Argus/McCloskey) Option expiration shall be on the last Friday of the contract month. If such Friday is a UK holiday, the contract will expire on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract will expire on the Exchange business day immediately prior. <u>Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall expire on the last business day of the contract month.</u>

## Chapter 1104 Coal (API 5) fob Newcastle (Argus/McCloskey) Futures

1104102. TRADING SPECIFICATIONS

1104102.E. Termination of Trading

The contract shall terminate at the close of trading on the last Friday of the contract month. If such Friday is a UK holiday, the contract will terminate on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract shall terminate on the Exchange business day immediately prior. Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall terminate on the last business day of the contract month.

#### Chapter 1113 Coal (API 8) cfr South China (Argus/McCloskey) Futures

1113102. TRADING SPECIFICATIONS

## 1113102.E. Termination of Trading The contract shall terminate at the close of trading on the last Friday of the contract month. If such Friday is a UK holiday, the contract will terminate on the UK business day immediately

such Friday is a UK holiday, the contract will terminate on the UK business day immediately prior to the last Friday of the contract month unless such day is not an Exchange business day, in which case the contract shall terminate on the Exchange business day immediately prior. Notwithstanding the above, if New Year's Day is a Friday, the immediately preceding December contract month shall terminate on the last business day of the contract month.