

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-087

Organization: Chicago Mercantile Exchange Inc. ("CME")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 02/09/21 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 1, 2021

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

February 9, 2021

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Chicago Mercantile Exchange Inc. (“CME” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
CME Submission No. 21-087**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions effective during the week of February 1, 2021.

On February 1, 2021, the Exchange approved all applications for the declaration of regularity in Live Cattle for approved livestock yards. The marketplace was alerted of the approvals via MKR #01-29-21, which is attached hereto as Exhibit A.

Also, effective February 1, 2021, the Exchange approved all applications for the declaration of regularity for the delivery of Slaughter Plants for CME Live Cattle Contracts. The marketplace was alerted of the approvals via MKR #01-29-21B, which is attached hereto as Exhibit B.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #01-29-21  
Exhibit B – MKR #01-29-21B

## **Exhibit A**

**DATE:** January 29, 2021  
**MKR#:** 01-29-21  
**SUBJECT:** Declaration of Regularity Renewal for Livestock Yards for Live Cattle

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Applications for the declaration of regularity in Live Cattle for approved livestock yards have been reviewed by the Exchange.

All applications were approved by the Exchange for the regularity period beginning February 1, 2021.

Complete list of the Livestock Yards can be found in Chapter 7 of the CME Rules and Regulations.

These approvals are effective February 1, 2021.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring                      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)                      (312) 435-3644

The Commodity Futures Trading Commission will be notified of these approvals during the week of February 8, 2021 via the weekly notification procedures set out in part 40 of the CFTC Regulations.

## **Exhibit B**

**DATE:** January 29, 2021  
**MKR#:** 01-29-21B  
**SUBJECT:** Declaration of Regularity Renewal for Slaughter Plants for Live Cattle

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Applications for the declaration of regularity for the delivery of Slaughter Plants for CME Live Cattle Contracts have been reviewed by the Exchange.

All applications were approved by the Exchange for the regularity period beginning February 1, 2021.

Complete list of the Slaughter Plants can be found in Chapter 7 of the CME Rules and Regulations.

These approvals are effective February 1, 2021.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring                      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)                      (312) 435-3644

The Commodity Futures Trading Commission will be notified of these approvals during the week of February 8, 2021 via the weekly notification procedures set out in part 40 of the CFTC Regulations.