

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 21-141

**Organization:** New York Mercantile Exchange, Inc. ("NYMEX")

**Filing as a:**     **DCM**         **SEF**         **DCO**         **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 03/15/21    **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of March 8, 2021)

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

March 15, 2021

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
NYMEX Submission No. 21-141**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of March 8, 2021.

On Friday, March 12, 2021, the Exchange notified the market of several Palladium and Platinum brands that are no longer produced. The marketplace was alerted of the changes via MKR #03-12-21, which is attached hereto as Exhibit A.

In accordance with the changes above, the Exchange updated the NYMEX Rulebook Chapter 7 “Service Providers” Chart (“Chart”) for “Platinum (PL) Brands” and “Palladium (PA) Brands”. The Chart is attached under separate cover, in blackline format, as Exhibit B.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #03-12-21  
Exhibit B – NYMEX Rulebook Chapter 7 Service Providers Chart (attached under separate cover) (blackline format)

## Exhibit A

**DATE:** March 12, 2021  
**MKR#:** 03-12-21  
**SUBJECT:** Notification of Brands No Longer Produced

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Please be advised that the following Palladium and Platinum brands currently listed as deliverable against the Exchange's respective futures contracts are no longer produced:

<b>PALLADIUM BRANDS</b>	
<b>Producer</b>	<b>Brand Marks</b>
Inco Europe Limited	Inco
Novosibirsk Refining Factory	POCCNR (where "N" and "R" are backwards) in an oval & company symbol
State Refinery	Made in Russia (with K and a flower)
W.C Heraeus gmbH	H surrounded by a sun and the word Heraeus
Priotsk	POCCNR (where "N" and "R" are backwards) in an oval & company symbol
<b>PLATINUM BRANDS</b>	
<b>Producer</b>	<b>Brand Marks</b>
Inco Europe Limited	Inco
Novosibirsk Refining Factory	POCC_ in an oval & company symbol
W.C. Heraeus GmbH	H surrounded by a sun and the word Heraeus
Western Platinum Limited	Sibanye Stillwater

These changes are effective immediately.

### **Market Surveillance**

Terry Gehring

[terry.gehring@cmegroup.com](mailto:terry.gehring@cmegroup.com)

312-435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of the brands no longer produced during the week of March 15, 2021 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**Exhibit B**

**NYMEX Rulebook  
Chapter 7  
“Service Providers”**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)