

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-128

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): April 4, 2017 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of March 27, 2017)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

April 4, 2017

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 17-128**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of March 27, 2017.

On Thursday, March 30, 2017, the Exchange approved a withdrawal of regularity for the storage of Aluminum and Zinc deliverable against their respective COMEX futures contracts from Metal Ox Warehousing, Inc. for their Ypsilanti, MI facility. The marketplace was alerted of this change via MKR# 03-03-17, which is attached hereto as Exhibit A.

On Friday, March 31, 2017, the Exchange suspended warranting of the Elemetal Refining, LLC gold and silver brands for delivery against the Exchange’s Gold and Silver futures contracts. The marketplace was alerted of this change via Special Execute Report (“SER”) #7886, which is attached hereto as Exhibit B, and via MKR# 03-31-17, which is attached hereto as Exhibit C.

In accordance with the changes listed above, the Exchange updated the COMEX Rulebook Chapter 7 Charts for “Base Metals – Charges,” “Base Warehouses Weighmasters,” “Gold Brands,” “Gold Kilo Brands,” and “Silver Brands” which are attached under separate cover as Exhibit D, with amendments blacklined.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR# 03-03-17  
Exhibit B – SER# 7886  
Exhibit C – MKR# 03-31-17  
Exhibit D – COMEX Rulebook Chapter 7 Charts for “Base Metals – Charges,” “Base Warehouses Weighmasters,” “Gold Brands,” “Gold Kilo Brands,” and “Silver Brands” (blackline format) (attached under separate cover)

## EXHIBIT A

**DATE:** March 3, 2017  
**MKR#:** 03-03-17  
**SUBJECT:** Withdrawal of an Approved Aluminum and Zinc Warehouse

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Please be advised that **Metal Ox Warehousing, Inc.**, an existing Warehouse for the storage of Aluminum and Zinc has requested from the Exchange that its facility located in Ypsilanti, Michigan be withdrawn from its status as an approved warehouse. This location will no longer be available for the storage of Aluminum and Zinc deliverable against their respective COMEX Futures contracts.

The withdrawal of regularity is effective on **March 30, 2017**.

Please refer questions on this subject to:

### **Market Surveillance**

Spencer McGowan                      [spencer.mcgowan@cmegroup.com](mailto:spencer.mcgowan@cmegroup.com)                      (312) 435-3662

The Commodity Futures Trading Commission (CFTC) will be notified of this withdrawal in regularity during the week of April 3, 2017 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**EXHIBIT B**



**Special Executive Report**

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**DATE:** March 31, 2017

**SER#:** 7886

**SUBJECT: Suspension of Warranting of Elemetal Refining, LLC (ELEM) Gold and Silver Brands**

Effective March 31, 2017, 6:00 p.m. Central Time (CT), Commodity Exchange, Inc. ("COMEX" or "Exchange") will suspend registration (warranting) of the Elemetal Refining, LLC (ELEM) gold and silver brands (Brand Mark "ELEMETAL REFINING AND JACKSON IN A CIRCLE WITH A STYLIZED E AND M IN AN INNER CIRCLE") for delivery against the Exchange's Gold and Silver futures contracts.

As such, any unwarranted ELEM gold (100-troy ounce bars and kilo bars) and silver at Exchange-approved depositories will no longer be deemed, or reported as, eligible for registration.

Any currently registered (warranted) ELEM gold and silver through 6 p.m. CT on March 31, 2017 will continue to be deliverable against the Exchange futures contracts until such warrant is cancelled. Once the warrant is cancelled, the material cannot be re-warranted until further notice.

The Exchange notes that pursuant to an active review under their Incident Management Process, the London Bullion Market Association has moved ELEM to the LBMA Gold and Silver Former Lists, effective March 31, 2017.

The Commodity Futures Trading Commission ("CFTC") will be notified of the aforementioned during the week of April 3, 2017 via the weekly notification procedures set out in Part 40 of the CFTC's Regulations.

Please refer questions on this subject to:

**Market Surveillance**

Registrar's Office:  
Terry Gehring [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com) +1 312 435 3644

Products:  
Nadine Brown [Nadine.Brown@cmegroup.com](mailto:Nadine.Brown@cmegroup.com) +1 212 299 2223

**Research**

Joann Arena [Joann.Arena@cmegroup.com](mailto:Joann.Arena@cmegroup.com) +1 212 299 2356

## EXHIBIT C

**DATE: March 31, 2017**

**MKR#: 03-31-17**

**SUBJECT: Suspension of Warranting of Elemetal Refining, LLC (ELEM) Gold and Silver Brands**

Effective March 31, 2017, 6 p.m. Central Time (CT), the Commodity Exchange, Inc. (COMEX or Exchange) will suspend registration (warranting) of the Elemetal Refining, LLC (ELEM) gold and silver brands (Brand Mark "ELEMETAL REFINING AND JACKSON IN A CIRCLE WITH A STYLIZED E AND M IN AN INNER CIRCLE") for delivery against the Exchange futures contracts.

As such, any unwarranted ELEM gold (100-troy ounce bars and kilo bars) and silver at Exchange-approved depositories will no longer be deemed, or reported as, eligible for registration.

Any currently registered (warranted) ELEM gold and silver through 6 p.m. CT on March 31, 2017 will continue to be deliverable against the Exchange futures contracts until such warrant is cancelled. Once the warrant is cancelled, the material cannot be re-warranted until further notice.

The Exchange notes that pursuant to an active review under their Incident Management Process, the London Bullion Market Association has moved ELEM to the LBMA Gold and Silver Former Lists, effective 31 March 2017.

The Commodity Futures Trading Commission (CFTC) will be notified of this brand suspension during the week of April 3, 2017 via the weekly notification procedures set out in Part 40 of the CFTC's Regulations.

Please refer questions on this subject to:

### **Market Surveillance**

Registrar's Office:

Terry Gehring                      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)                      +1 312 435 3644

Products:

Nadine Brown                      [Nadine.Brown@cmegroup.com](mailto:Nadine.Brown@cmegroup.com)                      +1 212 299 2223

**EXHIBIT D**

**COMEX Rulebook Chapter 7 Charts for  
“Base Metals – Charges,” “Base Warehouses Weighmasters,” “Gold Brands,”  
“Gold Kilo Brands,” and “Silver Brands”**

(deletions ~~overstruck~~, additions underlined)

(attached under separate cover)