

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 19-180

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 04/29/2019 **Filing Description:** Delisting of Certain Previously-Listed Contract Months and Subsequent Delisting of Four (4) Coal Option Contracts

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input checked="" type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change” | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

April 29, 2019

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
 Office of the Secretariat
 Commodity Futures Trading Commission
 Three Lafayette Centre
 1155 21st Street, N.W.
 Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding the Delisting of Certain Previously-Listed Contract Months and Subsequent Delisting of Four (4) Coal Option Contracts.
 NYMEX Submission No. 19-180**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying the delisting of certain previously-listed contract months of four (4) coal option contracts (the “Contracts”) as noted in the table below. There is no open interest in the delisted contract months. The Contracts are listed for trading on the CME Globex electronic trading platform and for submission for clearing on CME ClearPort.

Contract Title	NYMEX Rulebook Chapter	Commodity Code	Contract Months Delisted on April 29, 2019	Last Contract Month Available for Trading and Clearing
Coal (API 2) cif ARA (Argus/McCloskey) Quarterly Option	909	CQA	April 2020 and beyond	January 2020
Coal (API 2) cif ARA (Argus/McCloskey) Calendar Option	910	CLA	January 2021 and beyond	January 2020
Coal (API 4) fob Richards Bay (Argus/McCloskey) Quarterly Option	917	RQA	April 2020 and beyond	January 2020
Coal (API 4) fob Richards Bay (Argus/McCloskey) Calendar Option	918	RLA	January 2021 and beyond	January 2020

The last available contract month for trading and clearing of the Contracts is January 2020. Following the expiry of the January 2020 contract month, the Contracts will be permanently delisted on Monday, December 9, 2019.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the following Core Principles may be impacted by this initiative as follows:

- **Emergency Authority**: There is no open interest in the contract months which are delisted, and therefore there will be no market disruption related to their delisting. The permanent delisting of the Contracts will occur upon expiry of the last available contract month.
- **Daily Publication of Trading Information**: NYMEX will continue to comply with this Core Principle by making public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contracts. This will be accomplished by publishing this information on a daily basis on the Exchange’s website.
- **Availability of General Information**: The Exchange will make the details of the delisting publicly available by publishing a Special Executive Report (“SER”) to the market. The SER will be available on CME Group’s website.

Exhibit A provides the NYMEX product chapters reflecting the Contracts to be delisted on December 9, 2019 in blackline format.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that this action complies with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please e-mail CMEGSubmissionInquiry@cmegroup.com or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Exhibit A: Delisted NYMEX Rulebook Chapters (effective December 9, 2019) (blackline format)

Exhibit A

NYMEX Rulebook Chapters

(blackline format)

(Effective December 9, 2019)

Chapter 909

Coal (API 2) cif ARA (ARGUS McCloskey) Option on Quarterly Futures Strip

909.01 SCOPE

~~The provisions of these Rules shall apply to all call and put option contracts bought or sold on the Exchange.~~

909.02 TRADING UNIT

~~The Coal (API 2) cif ARA (ARGUS McCloskey) Option on Quarterly Futures Strip is an option on the Coal (API 2) cif ARA (ARGUS McCloskey) Futures contract. On expiration of a call option, the long position will be assigned three consecutive long futures months beginning with the underlying month of the Coal (API 2) cif ARA (ARGUS McCloskey) Futures contract at the strike price. On exercise of a put option, the long position will be assigned three consecutive short futures months beginning with the underlying month of the Coal (API 2) cif ARA (ARGUS McCloskey) Futures contract at the strike price.~~

909.03 EXPIRATION

~~The Expiration Day shall be 30 calendar days prior to the first calendar day of the first calendar month in the strip of deliverable futures. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day.~~

909.04 OPTION EXERCISE

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

~~Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.~~

909.04 OPTION EXERCISE

(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

~~Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract.~~

909.05 TRADING MONTHS

~~Trading in the option contract shall be conducted in the months as shall be determined by the Exchange.~~

909.06 TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

~~Trading shall cease at 5:00 p.m. London time on the Expiration Day.~~

909.06 — ~~TERMINATION OF TRADING~~

(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

~~Trading shall cease at 12.30 p.m. London time on the Expiration Day.~~

909.07 — ~~STRIKE PRICES~~

~~Transactions shall be conducted for option contracts as set forth in Rule 300.20.~~

909.08 — ~~PRICES AND FLUCTUATIONS~~

~~Prices and strike prices shall be quoted in U.S. dollars and cents per metric ton. The minimum price fluctuation shall be \$0.01 per metric ton (\$30.00 per contract).~~

909.09 — ~~ABSENCES OF PRICE FLUCTUATION LIMITATIONS~~

~~Trading in the option contract shall not be subject to price fluctuation limitations.~~

909.10 — ~~DISCLAIMER~~

~~The Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Quarterly Futures Strip (the "Product") is not sponsored, endorsed, sold or promoted by Argus Media Inc. ("Argus") or IHS Global Ltd. ("IHS"). Argus and IHS make no representation or warranty, express or implied, to the owners of the Product or any member of the public regarding the advisability of trading in the Product. Argus' and IHS' only relationship to Chicago Mercantile Exchange Inc., CME Clearing Europe Ltd. and New York Mercantile Exchange, Inc. (together, "CME") is the licensing of certain trademarks and trade names of Argus and IHS, and of the API 2 and API 4 indexes (which are determined, composed and calculated by Argus and IHS without regard to CME or the Product), and Argus and IHS have no obligation to take the needs of CME or the owners of the Product into consideration in determining, composing or calculating the API 2 and API 4 indexes. Argus and IHS are not responsible for and have not participated in the determination of the timing of, prices at, or quantities of the Product to be listed or in the determination or calculation of the equation by which the Product is to be converted into cash. Argus and IHS have no obligation or liability in connection with the administration, marketing or trading of the Product.~~

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- ~~b. — its directors or officers; or~~
- ~~c. — any relevant party that NYMEX may contract with for the supply of the index or information in relation thereto;~~

~~(each of the foregoing, a "Relevant Party") assume any obligation or liability in connection with the trading of any contract based on such index. Accordingly, no Relevant Party shall be in any way responsible for any losses, expenses or damages (in all cases direct or indirect) arising in connection~~

~~with or referable to the trading of any contract linked or referable to the said index, provided that nothing herein shall affect either the obligations of NYMEX or its Members as Parties trading in any contract so linked or referable. None of the Relevant Parties guarantee or warrant or undertake in any manner the accuracy or completeness of any such index or any information or data included in or referable to it.~~

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Chapter 910

Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Calendar Futures Strip

910.01 — SCOPE

The provisions of these Rules shall apply to all call and put option contracts bought or sold on the Exchange.

910.02 — TRADING UNIT

The Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Calendar Futures Strip is an option on the Coal (API 2) cif ARA (ARGUS-McCloskey) Futures contract. On expiration of a call option, the long position will be assigned twelve consecutive long futures months beginning with the underlying month of the Coal (API 2) cif ARA (ARGUS-McCloskey) Futures contract at the strike price. On exercise of a put option, the long position will be assigned twelve consecutive short futures months beginning with the underlying month of the Coal (API 2) cif ARA (ARGUS-McCloskey) Futures contract at the strike price.

910.03 — EXPIRATION

The Expiration Day shall be 30 calendar days prior to the first calendar day of the first calendar month in the strip of deliverable futures. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day.

910.04 — OPTION EXERCISE

(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)

Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.

910.04 — OPTION EXERCISE

(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)

Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract.

910.05 — TRADING MONTHS

Trading in the option contract shall be conducted in the months as shall be determined by the Exchange.

910.06 — TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)

Trading shall cease at 5:00 p.m. London time on the Expiration Day.

910.06 — TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)

Trading shall cease at 12:30 p.m. London time on the Expiration Day.

910.07 — STRIKE PRICES

Transactions shall be conducted for option contracts as set forth in Rule 300.20.

910.08 PRICES AND FLUCTUATIONS

Prices and strike prices shall be quoted in U.S. dollars and cents per metric ton. The minimum price fluctuation shall be \$0.01 per metric ton (\$120.00 per contract).

910.09 ABSENCES OF PRICE FLUCTUATION LIMITATIONS

Trading in the option contract shall not be subject to price fluctuation limitations.

910.12 DISCLAIMER

~~The Coal (API 2) cif ARA (Argus/McGloskey) Option on Calendar Futures Strip (the "Product") is not sponsored, endorsed, sold or promoted by Argus Media Inc. ("Argus") or IHS Global Ltd. ("IHS"). Argus and IHS make no representation or warranty, express or implied, to the owners of the Product or any member of the public regarding the advisability of trading in the Product. Argus' and IHS' only relationship to Chicago Mercantile Exchange Inc., CME Clearing Europe Ltd. and New York Mercantile Exchange, Inc. (together, "CME") is the licensing of certain trademarks and trade names of Argus and IHS, and of the API 2 and API 4 indexes (which are determined, composed and calculated by Argus and IHS without regard to CME or the Product), and Argus and IHS have no obligation to take the needs of CME or the owners of the Product into consideration in determining, composing or calculating the API 2 and API 4 indexes. Argus and IHS are not responsible for and have not participated in the determination of the timing of, prices at, or quantities of the Product to be listed or in the determination or calculation of the equation by which the Product is to be converted into cash. Argus and IHS have no obligation or liability in connection with the administration, marketing or trading of the Product.~~

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- ~~b. its directors or officers; or~~
- ~~c. any relevant party that NYMEX may contract with for the supply of the index or information in relation thereto;~~

~~(each of the foregoing, a "Relevant Party") assume any obligation or liability in connection with the trading of any contract based on such index. Accordingly, no Relevant Party shall be in any way responsible for any losses, expenses or damages (in all cases direct or indirect) arising in connection with or referable to the trading of any contract linked or referable to the said index, provided that nothing herein shall affect either the obligations of NYMEX or its Members as Parties trading in any contract so linked or referable. None of the Relevant Parties guarantee or warrant or undertake in any manner the accuracy or completeness of any such index or any information or data included in or referable to it.~~

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Chapter 917

Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Quarterly Futures Strip

917.01 SCOPE

The provisions of these Rules shall apply to all call and put option contracts bought or sold on the Exchange.

917.02 TRADING UNIT

The Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Quarterly Futures Strip is an option on the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract. On expiration of a call option, the long position will be assigned three consecutive long futures months beginning with the underlying month of the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract at the strike price. On exercise of a put option, the long position will be assigned three consecutive short futures months beginning with the underlying month of the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract at the strike price.

917.03 EXPIRATION

The Expiration Day shall be 30 calendar days prior to the first calendar day of the first calendar month in the strip of deliverable futures. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day.

917.04 OPTION EXERCISE

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

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917.04 OPTION EXERCISE

(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract.

917.05 TRADING MONTHS

Trading in the option contract shall be conducted in the months as shall be determined by the Exchange.

917.06 TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

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Trading shall cease at 12:30 p.m. London time on the Expiration Day.

917.07 STRIKE PRICES

Transactions shall be conducted for option contracts as set forth in Rule 300.20.

917.08 PRICES AND FLUCTUATIONS

Prices and strike prices shall be quoted in U.S. dollars and cents per metric ton. The minimum price fluctuation shall be \$0.01 per metric ton (\$30.00 per contract).

917.09 ABSENCES OF PRICE FLUCTUATION LIMITATIONS

Trading in the option contract shall not be subject to price fluctuation limitations.

917.10 DISCLAIMER

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Chapter 918

Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Calendar Futures Strip

918.01 — SCOPE

The provisions of these Rules shall apply to all call and put option contracts bought or sold on the Exchange.

918.02 — TRADING UNIT

The Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Calendar Futures Strip is an option on the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract. On expiration of a call option, the long position will be assigned twelve consecutive long futures months beginning with the underlying month of the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract at the strike price. On exercise of a put option, the long position will be assigned twelve consecutive short futures months beginning with the underlying month of the Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Futures contract at the strike price.

918.03 — EXPIRATION

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(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)

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918.04 — OPTION EXERCISE

(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)

Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract.

918.05 — TRADING MONTHS

Trading in the option contract shall be conducted in the months as shall be determined by the Exchange.

918.06 — TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)

Trading shall cease at 5:00 p.m. London time on the Expiration Day.

918.06 — TERMINATION OF TRADING

(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)

Trading shall cease at 12:30 p.m. London time on the Expiration Day.

918.07 — STRIKE PRICES

Transactions shall be conducted for option contracts as set forth in Rule 300.20.

918.08 PRICES AND FLUCTUATIONS

Prices and strike prices shall be quoted in U.S. dollars and cents per metric ton. The minimum price fluctuation shall be \$0.01 per metric ton (\$120.00 per contract).

918.09 ABSENCES OF PRICE FLUCTUATION LIMITATIONS

Trading in the option contract shall not be subject to price fluctuation limitations.

918.10 DISCLAIMER

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- ~~b. its directors or officers; or~~
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