2019-05-20

Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, DC 20581

Pursuant to section 5c(c)(1) of the Commodity Exchange Act, as amended (the 'Act'), and section 41.23 of the regulations promulgated by the Commodity Futures Trading Commission (the 'Commission') under the Act, submitted herewith are contract specifications for a new product or products, as appropriate, (the 'New Product') that will be listed for trading on OneChicago, LLC ('OneChicago'). The New Product will be subject to the Rules set forth in Chapter IX of the OneChicago Rulebook, as supplemented by the specifications supplement attached hereto.

OneChicago hereby certifies that: (a) the security underlying the New Product satisfies the requirements of section 41.21 of the regulations promulgated by the Commission under the Act; (b) if the New Product is physically settled, arrangements are in place with a clearing agency registered pursuant to section 17A of the Securities Exchange Act of 1934 (the 'Exchange Act') for the payment and delivery of the security underlying the New Product; (c) only futures commission merchants, introducing brokers, commodity trading advisors, commodity pool operators or associated persons subject to suitability rules comparable to those of a national securities association registered pursuant to section 15A(a) of the Exchange Act and the rules and regulations thereunder, except to the extent otherwise permitted under the Exchange Act and the rules and regulations thereunder, may solicit, accept any order for, or otherwise deal in any transaction in or in connection with the New Product; (d) dual trading in the New Product is restricted in accordance with section 41.27 of the regulations promulgated by the Commission under the Act; (e) trading in the New Product is not readily susceptible to manipulation of the price of any New Product, nor to causing or being used in the manipulation of the price of any underlying security, option on such security, or option on a group or index including such security, consistent with the conditions for trading of section 41.25 of the regulations promulgated by the Commission under the Act; (f) procedures are in place for coordinated surveillance among OneChicago, any market on which the security underlying a New Product is traded and other markets on which any related security is traded to detect manipulation and insider trading; (g) an audit trail is in place to facilitate coordinated surveillance among OneChicago, any market on which the security underlying the New Product is traded, and any market on which any related security is traded; (h) procedures are in place to coordinate regulatory trading halts between OneChicago and markets on which the security underlying the New Product is traded and other markets on which any related security is traded; and (i) the margin requirements for the New Product will comply with the provisions specified in sections 41.43 through 41.48 of the regulations promulgated by the Commission under the Act.

OneChicago hereby further certifies that the New Product complies with the additional conditions for trading set forth in section 41.25 of the regulations promulgated by the Commission under the Act, and complies with the Act and the regulations thereunder.

Respectfully Submitted,

OneChicago, LLC

# **Product Listing**

Action	Sup.	Symbol	Primary	Primary	Mult.	Expiry		Settlement		Regulatory	Effective
NIENN	No.	LIDED 1D	Symbol	CUSIP	100 000000	Cycle	Type	Cycle	Exposure	Symbol	Date
NEW	36807	UBER1D	UBER	90353T100	100.000000	SSQQ	PHYS	T+2	NDR	YOZV1	20190522
NEW	36808	UBER1I	UBER	90353T100	100.000000	1	PHYS	T+2	NDR	NKMA1	20190522
NEW	36809	UBER1J	UBER	90353T100	100.000000	J	PHYS	T+2	NDR	NKMA1	20190522
NEW	36810	UBER1K	UBER	90353T100	100.000000	K	PHYS	T+2	NDR	NKMA1	20190522
NEW	36811	UBER1L	UBER	90353T100	100.000000	L	PHYS	T+2	NDR	NKMA1	20190522
NEW	36812	UBER1M	UBER	90353T100	100.000000	1M	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36813	UBER1T	UBER	90353T100	100.000000	1T	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36814	UBER1W	UBER	90353T100	100.000000	1W	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36815	UBER1H	UBER	90353T100	100.000000	1H	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36816	UBER1F	UBER	90353T100	100.000000	1F	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36817	UBER2M	UBER	90353T100	100.000000	2M	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36818	UBER2T	UBER	90353T100	100.000000	2T	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36819	UBER2W	UBER	90353T100	100.000000	2W	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36820	UBER2H	UBER	90353T100	100.000000	2H	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36821	UBER2F	UBER	90353T100	100.000000	2F	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36822	UBER3M	UBER	90353T100	100.000000	3M	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36823	UBER3T	UBER	90353T100	100.000000	3T	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36824	UBER3W	UBER	90353T100	100.000000	3W	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36825	UBER3H	UBER	90353T100	100.000000	3H	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36826	UBER3F	UBER	90353T100	100.000000	3F	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36827	UBER4M	UBER	90353T100	100.000000	4M	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36828	UBER4T	UBER	90353T100	100.000000	4T	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36829	UBER4W	UBER	90353T100	100.000000	4W	PHYS	T+1	NDR	QIAQ1	20190522

NEW	36830	UBER4H	UBER	90353T100	100.000000	4H	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36831	UBER4F	UBER	90353T100	100.000000	4F	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36832	UBER5M	UBER	90353T100	100.000000	5M	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36833	UBER5T	UBER	90353T100	100.000000	5T	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36834	UBER5W	UBER	90353T100	100.000000	5W	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36835	UBER5H	UBER	90353T100	100.000000	5H	PHYS	T+1	NDR	QIAQ1	20190522
NEW	36836	UBER5F	UBER	90353T100	100.000000	5F	PHYS	T+1	NDR	OIAO1	20190522

### How To Read This Document

#### **EXPIRY CYCLE**

SSQQ - A monthly expiration cycle that lists two serial months and two following quarters expiring on the third Friday of each month.

SS - A monthly expiration cycle that lists two serial months expiring on the third Friday of each month.

[1-5][M-F] - Signifies the weekly expiration cycle. Weekly contracts are listed for 7 day terms, by the day of the week and the weekday occurrence in the month.

I,J,K,L - Signifies the Friday Future expiration cycle. Friday Future contracts expire on every Friday of the month except the third Friday. Where I is the first Friday, J is the second Friday, K is the fourth Friday, and L is the fifth Friday of the month.

#### SETTLEMENT TYPE

PHYS - Physical underlying settlement for all components that make up the future listing.

CASH - Cash value settlement for all components that make up the future listing.

#### SETTLEMENT CYCLE

T+1 - For each underlying security, settlement takes place the 1st business day after expiration.

T+2 - For each underlying security, settlement takes place the 2nd business day after expiration.

#### **DIVIDEND EXPOSURE**

TRA - The traditional single stock future product has no adjustments made to the previous day's settlement price.

NDR - On dividend ex-date, the previous day's settlement price is adjusted down for the amount of the declared distributions.

#### **ACTION**

NEW - Initial listing announcement. Properties of this product have changed prior to its effective date.

UPDATE - This product has already been announced. Before the effective date, properties of this product have changed.

DELETE - This product has already been announced and will not longer be listed.

#### **EFFECTIVE DATE**

When Action is NEW or UPDATE, the effective date is the first available date.

When Action is DELETE, the effective date is the delisting date.

### How To Read This Document

#### **POSITION LIMIT**

Position limits are stated in contracts of single stock futures. For the purpose of assessing position limits, all positions in SSFs containing the same underlying security with contracts that expire on the same day are aggregated.

SUPPLEMENT NO 36807

FUTURE NAME UBER T2 P NDR

FUTURE SYMBOL UBER1D REGULATORY SYMBOL YOZV1

MULTIPLIER 100.000000

EXPIRATION CYCLE SSQQ
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+2
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36808

FUTURE NAME UBER T2 P NDR

FUTURE SYMBOL UBER1I REGULATORY SYMBOL NKMA1

MULTIPLIER 100.000000

EXPIRATION CYCLE I

SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+2
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36809

FUTURE NAME UBER T2 P NDR

FUTURE SYMBOL UBER1J REGULATORY SYMBOL NKMA1

MULTIPLIER 100.000000

EXPIRATION CYCLE J

SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+2
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

**UNDERLYING** 

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36810

FUTURE NAME UBER T2 P NDR

FUTURE SYMBOL UBER1K REGULATORY SYMBOL NKMA1

MULTIPLIER 100.000000

EXPIRATION CYCLE K
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+2
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36811

FUTURE NAME UBER T2 P NDR

FUTURE SYMBOL UBER1L REGULATORY SYMBOL NKMA1

MULTIPLIER 100.000000

EXPIRATION CYCLE

SETTLEMENT TYPE

SETTLEMENT CYCLE

DIVIDEND EXPOSURE

POSITION LIMIT

L

PHYS

T+2

NDR

13500

**UNDERLYING** 

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36812

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER1M REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 1M
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36813

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER1T REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 1T
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

### <u>UNDERLYING</u>

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36814

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER1W REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE

SETTLEMENT TYPE

SETTLEMENT CYCLE

DIVIDEND EXPOSURE

POSITION LIMIT

13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36815

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER1H REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE

SETTLEMENT TYPE

PHYS

SETTLEMENT CYCLE

DIVIDEND EXPOSURE

POSITION LIMIT

13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36816

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER1F REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE

SETTLEMENT TYPE

SETTLEMENT CYCLE

DIVIDEND EXPOSURE

POSITION LIMIT

13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36817

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER2M REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 2M
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36818

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER2T REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 2T
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36819

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER2W REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 2W
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36820

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER2H REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 2H
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36821

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER2F REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 2F
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36822

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER3M REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 3M
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36823

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER3T REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 3T
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36824

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER3W REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 3W
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36825

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER3H REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 3H
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36826

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER3F REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 3F
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36827

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER4M REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 4M
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

**UNDERLYING** 

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36828

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER4T REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 4T
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36829

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER4W REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 4W
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36830

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER4H REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 4H
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36831

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER4F REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 4F
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36832

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER5M REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 5M
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36833

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER5T REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 5T
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

**UNDERLYING** 

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36834

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER5W REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 5W
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36835

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER5H REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 5H
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc

SUPPLEMENT NO 36836

FUTURE NAME UBER T1 P NDR

FUTURE SYMBOL UBER5F REGULATORY SYMBOL QIAQ1

MULTIPLIER 100.000000

EXPIRATION CYCLE 5F
SETTLEMENT TYPE PHYS
SETTLEMENT CYCLE T+1
DIVIDEND EXPOSURE NDR
POSITION LIMIT 13500

#### **UNDERLYING**

SECURITY NAME

Uber Technologies Inc