

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-259

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 06/07/21 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of May 31, 2021)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change” | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

June 7, 2021

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.
COMEX Submission No. 21-259**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of May 31, 2021.

Effective June 1, 2021, the Exchange increased certain transaction fees. The marketplace was alerted of the changes via Special Executive Report (“SER”) #8747, which is attached hereto as Exhibit A.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – SER #8747

Exhibit A



Special Executive Report

DATE: April 19, 2021
 SER#: 8747
 SUBJECT: **COMEX Exchange Transaction Fee Amendments Effective June 1, 2021**

Effective June 1, 2021, Commodity Exchange, Inc. (“COMEX”) will increase certain transaction fees as more specifically described in the tables below.

- **COMEX METALS FUTURES** fees shall be amended as follows:
 - o Transaction Fees for **COMEX Metals Futures** executed by **Non-Members** will be adjusted for **Aluminum, Zinc, and Lead** products as follows:

| | | | **CURRENT** | | | **NEW** | | |
|--------|------------------|----------|----------------------|----------------------|------------|----------------------|----------------------|------------|
| | | | Globex (Fee/Side) | ClearPort (Fee/Side) | | Globex (Fee/Side) | ClearPort (Fee/Side) | |
| | | | | Non-Member | Non-Member | | Non-Member | Non-Member |
| Symbol | Product | Division | Non-Member | Non-Member | Non-Member | Non-Member | Non-Member | Non-Member |
| ALI | Aluminum Futures | COMEX | 1.95 | 1.95 | 2.05 | 2.50 | 2.50 | 2.50 |
| LED | Lead Futures | COMEX | 1.95 | 1.95 | 2.05 | 2.50 | 2.50 | 2.50 |
| ZNC | Zinc Futures | COMEX | 1.95 | 1.95 | 2.05 | 2.50 | 2.50 | 2.50 |

- o Transaction Fees for **COMEX Metals Futures** executed by **Members** will be adjusted for **Aluminum, Zinc, and Lead** products as follows:

| | | | **CURRENT** | | | **NEW** | | |
|--------|------------------|----------|----------------------|----------------------|--------|----------------------|----------------------|--------|
| | | | Globex (Fee/Side) | ClearPort (Fee/Side) | | Globex (Fee/Side) | ClearPort (Fee/Side) | |
| | | | | Member | Member | | Member | Member |
| Symbol | Product | Division | Member | Member | Member | Member | Member | Member |
| ALI | Aluminum Futures | COMEX | 0.95 | 0.95 | 1.05 | 1.25 | 1.25 | 1.25 |
| LED | Lead Futures | COMEX | 0.95 | 0.95 | 1.05 | 1.25 | 1.25 | 1.25 |
| ZNC | Zinc Futures | COMEX | 0.95 | 0.95 | 1.05 | 1.25 | 1.25 | 1.25 |

Please refer questions on this subject to:

- o For media inquiries: news@cmegroup.com +1 312-930-3193

- For customer fee inquiries: EFSAdmin@cmegroup.com +1 312-648-5470