

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 15-231 (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 06/12/15 Filing Description: Amendments to NYMEX/COMEX Chapter 7 ("Delivery Facilities and Procedures")

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: Chapter 7

June 12, 2015

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to NYMEX/COMEX Chapter 7 (“Delivery Facilities and Procedures”). NYMEX Submission No. 15-231 (1 of 2)**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) are notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that they are self-certifying amendments to NYMEX/COMEX Rulebook Chapter 7 (“Delivery Facilities and Procedures”) in connection with the listing of the physically-delivered Zinc futures contract, effective Sunday, June 28, 2015 for trade date Monday, June 29, 2015 (Please see COMEX Submission No.15-235 dated June 12, 2015).

The amendments are intended to incorporate the terms and conditions of the Zinc futures contract into Chapter 7.

In addition, the Exchanges are self-certifying an amendment to the load-out rule for aluminum in order to incorporate the load out of zinc and implement a requirement that load out of aluminum and/or zinc represented by cancelled COMEX warrants be given preference over load out of metal that is not represented by COMEX cancelled warrants at Exchange-approved warehouses.

The Exchanges are also notifying the CFTC that they are self-certifying the following amendments to Chapter 7 in order to implement certain requirements on approved warehouses (base metals) and depositories (precious metals) as set forth below:

- Warehouses and depositories shall be required to apply for renewal of approved status every two years. (This model is currently in place for CME and CBOT agricultural facilities as well as for NYMEX NY harbor delivery terminals.)
- Warehouses and depositories wishing to decrease their approved capacity shall file a written request for such decrease with the Exchange.
- Impose a deadline for Exchange-approved warehouses and depositories by which they must notify the Exchange of a substantial reduction of such firm’s capital. In addition to the existing requirements of depositories and warehouses to implement controls should a facility or any of its affiliates engage in trading activity, the warehouses and depositories shall be required to, on an annual basis, have conducted by an independent auditor acceptable to the Exchange, an audit of the controls and firewalls in place between the warehouse or depository and any affiliated entity that engages in trading activity. Such audit report shall be filed with the Exchange annually.
- Elimination of section 704.A (“Storage of Metals – General”) as this section contains redundant information which is already covered in Chapter 7 under section 703.A (“Designation and Obligations of Metals Service Providers – Conditions for Approval”).

The Exchanges are also notifying the CFTC that they are self-certifying additional administrative, non-substantive, changes to NYMEX/COMEX Chapter 7, including the (i) elimination of the definition section in Rule 703.A and consolidation of such definitions under the specific rules relating to those terms, (ii) elimination of any repetitive language in the chapter, (iii) addition of definition of the first and last day during which an assignment notification for delivery can be issued.

Lastly, the Exchanges will be implementing non-substantive amendments to the excel file located under the Interpretations & Special Notices Relating Chapter 7 in an effort to consolidate certain service provider tabs for each of the precious metals, base metals and NY Harbor terminals. The substantive content of the excel file will not be amended, but will simply be reformatted. Those non-substantive amendments will become effective concurrent with this Submission on trade date June 29, 2015, and will be submitted to the CFTC during the week of July 6, 2015, via the weekly notification procedures set out in Part 40 of the CFTC Regulations. In anticipation of those changes and in an effort to ensure that the excel spread sheet and the table of contents of NYMEX/COMEX Chapter 7 become effective concurrently, the Exchanges are self-certifying amendments to the table of contents in this Submission.

NYMEX/COMEX Chapter 7 in its entirety is set forth in Appendix A, with additions underscored and deletions overstruck.

The Exchanges are providing the Commission, under Appendix B herewith, a copy of the Market Surveillance – Registrar’s Office notices for the approval of six (6) delivery points for regularity in zinc for storage and delivery against the Zinc futures contract. The notices were published on June 11, 2015. The delivery points are located in Dearborn and Ypsilanti, MI, Baltimore, MD, Chicago, IL, Owensboro, KY and New Orleans, LA.

The Exchanges reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act”) and identified that the amendments to NYMEX/COMEX Rulebook Chapter 7 may have some bearing on the following Core Principle:

Availability of General Information: In order to ensure that market participants are apprised of the amendments to NYMEX/COMEX Chapter 7, the Exchanges will issue a CME Group Market Surveillance Notice to the marketplace notifying them of the implementation of these amendments in advance of the amendments becoming effective. The issuance of the Market Surveillance Notice constitutes compliance with this Core Principle.

The Exchanges certify that the amendments to Chapter 7 of the NYMEX/COMEX Rulebook comply with the Act and regulations thereunder. There were no opposing views to the amendments to Chapter 7.

The Exchanges certify that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Appendix A – Amendments to NYMEX/COMEX Rulebook Chapter 7 (attached under separate cover)  
Appendix B – Registrar’s Office Notices for Regularity Approval for Zinc

**Appendix A**

**NYMEX/COMEX Chapter 7  
Delivery Facilities and Procedures**

(attached under separate cover)

## Appendix B

### Registrar's Office Notices for Regularity Approval for Zinc

**DATE: June 11, 2015**

**MKR#: 06-11-15**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

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In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. ("COMEX" or "Exchange") approved the application of **C. Steinweg (Baltimore), Inc.** for regularity in zinc at their location in Baltimore, MD. The approved capacity at this facility is 10,400 metric tons.

The rates that have been approved by the Exchange to be published on behalf of C. Steinweg (Baltimore), Inc. are as follows:

Inbound Handling per metric ton:	see note 1
Outbound Handling:	
to truck per metric ton	\$40.00
to railcar per metric ton	\$47.75
Storage:	
Inside per metric ton per month or part thereof	\$13.00
Weighing Charge per metric ton:	\$8.50
Facility Receipt:	
Issue (each)	\$80.00
Replacement (each)	\$80.00
Bill of Lading:	
per truck	\$25.00
per railcar	\$25.00
Blocking and Bracing:	
Truck per metric ton	\$4.25
Rail per metric ton	\$5.75
Additional Labor per man hour (1 hour minimum):	\$85.00
Maximum Charge (s):	see note 2
Note 1: Inbound handling from truck, container, rail car or vessel to be billed to cargo interest separately.	
Note 2: Maximum charge(s) not applicable. All charges rendered pro-rata per the 'Unit of Charge' described above.	

Please refer questions on this subject to:

**Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**DATE: June 11, 2015**

**MKR#: 06-11-15B**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. (“COMEX” or “Exchange”) approved the application of **Dearborn Distribution Services** for regularity in zinc at their location in Dearborn, MI. The approved capacity of this facility is 28,500 metric tons.

The rates that have been approved by the Exchange to be published on behalf of Dearborn Distribution Services are as follows:

Outbound handling FOT (truck) per metric ton	\$42.00
Outbound handling FOR (rail) per metric ton	\$49.50
Inside Storage per metric ton (rent per calendar month without proration)	\$15.00
Rail switch (per car in or out)	\$150.00
Blocking/bracing in rail car (per car outbound)	\$450.00
Dunnage disposal (per car inbound)	\$100.00
Labor rate (per hour or fraction, thereof)	\$78.00
Weighing/lotting	\$9.00
Warrant processing (original)	\$25.00
Replacement receipt or warrant (per document)	\$25.00
In-bond bill-of-lading change (per document)	\$25.00
Blocking/bracing on truck)*	N/A
Rail car order processing (per car order)	\$25.00
Rail car trace processing (per car)	\$25.00
Min. Storage charge (<1 lot per month or fraction)	\$100.00
*Materials available for purchase locally; driver responsible for installing blocking/bracing for liability reasons.	

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**DATE: June 11, 2015**

**MKR#: 06-11-15C**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

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In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. ("COMEX" or "Exchange") approved the application of **Henry Bath LLC** for regularity in zinc at their location in Chicago, IL. The approved capacity at this facility is 31,025 metric tons.

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC are as follows:

Inbound Handling per Metric Ton (truck and rail)	\$6.62
Outbound Handling per Metric Ton (truck and rail)	\$36.00
Storage (Indoor) Charge per Metric Ton per Month	\$11.75
Weighing Charge per Metric Ton	\$3.86
Rail Loading Charge per Metric Ton	\$36.00
Labor Rate per Hour	\$75.00
Blocking/Bracing per Truck	\$75.00
Blocking/Bracing per Rail Car	\$300.00

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**DATE: June 11, 2015**

**MKR#: 06-11-15D**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

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In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. ("COMEX" or "Exchange") approved the application of **Henry Bath LLC** for regularity in zinc at their location in New Orleans, LA. The approved capacity at this facility is 18,000 metric tons.

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC are as follows:

Inbound Handling per Metric Ton (truck and rail)	\$6.62
Outbound Handling per Metric Ton (truck and rail)	\$36.00
Storage (Indoor) Charge per Metric Ton per Month	\$11.75
Weighing Charge per Metric Ton	\$3.86
Rail Loading Charge per Metric Ton	\$36.00
Labor Rate per Hour	\$75.00
Blocking/Bracing per Truck	\$75.00
Blocking/Bracing per Rail Car	\$300.00

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644



**DATE: June 11, 2015**

**MKR#: 06-11-15E**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

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In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. ("COMEX" or "Exchange") approved the application of **BTG Pactual Commodities Warehousing (US) LLC** for regularity in zinc at their location in Owensboro, KY. The approved capacity at this facility is 50,000 metric tons.

The rates that have been approved by the Exchange to be published on behalf of BTG Pactual Commodities Warehousing (US) LLC are as follows:

Storage charge per metric ton per month indoors	\$13.70
Inbound Handling per metric ton (rail)	\$ 5.50
Inbound Handling per metric ton (truck)	\$0.00
Outbound Handling per metric ton (rail)	\$40.65
Outbound Handling per metric ton (truck)	\$40.65
Weighing Charge per metric ton	\$ 5.00
Issuance of facility receipt	\$25.00
Replacement of facility receipt	\$100.00

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**DATE: June 11, 2015**

**MKR#: 06-11-15F**

**SUBJECT: REGULARITY APPROVAL FOR ZINC**

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In connection with the listing of the physically delivered Zinc futures contract on trade date June 29, 2015, the Commodity Exchange, Inc. ("COMEX" or "Exchange") approved the application of **Scale Distribution, Inc.** for regularity in zinc at their location in Ypsilanti, MI. The approved capacity at this facility is 35,000 metric tons.

The rates that have been approved by the Exchange to be published on behalf of Scale Distribution, Inc. are as follows:

Handling Outbound per metric ton (truck and rail)	\$39.00
Storage Charge per metric ton per month indoors	\$11.70
Blocking and Bracing per metric ton	\$4.50
Documentation charge (per bill of lading)	\$20.00
Labor Rate per Hour (for additional labor requested)	\$45.00

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644