

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 20-297

**Organization:** Commodity Exchange, Inc. ("COMEX")

**Filing as a:**     **DCM**     **SEF**     **DCO**     **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 06/30/2020    **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of June 22, 2020)

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

June 30, 2020

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 20-297**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of June 22, 2020.

Effective June 23, 2020, the Exchange received and approved the application of Henry Bath LLC for regularity for the storage of aluminum, lead, and zinc deliverable against the respective COMEX Aluminum, Lead, and Zinc futures contracts at their location in Toledo, OH (Warehouse T-1). The marketplace was alerted of the approval via MKR #06-23-20, which is attached hereto as Exhibit A.

Effective June 25, 2020, the Exchange received and approved the application for an increase in Aluminum regularity from Access World (USA) LLC at their location in Owensboro, KY. The marketplace was alerted of the approval via MKR #06-25-20, which is attached hereto as Exhibit B.

In accordance with the changes above, the Exchange updated the COMEX Rulebook Chapter 7 “Service Providers” Chart (“Chart”) for “Base Warehouses Weighmasters.” The Chart is attached under separate cover, in blackline format, as Exhibit C.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #06-23-20  
Exhibit B – MKR #06-25-20  
Exhibit C – COMEX Rulebook Chapter 7 Service Providers Chart (attached under separate cover) (blackline format)

## Exhibit A

**DATE:** June 23, 2020  
**MKR#:** 06-23-20  
**SUBJECT:** Regularity Approval for Aluminum, Lead, and Zinc

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The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Henry Bath LLC** for regularity for the storage of aluminum, lead, and zinc deliverable against the respective COMEX Aluminum, Lead, and Zinc futures contracts at their location in Toledo, OH (Warehouse T-1).

The approved cumulative indoor and outdoor capacity for aluminum at this location is 93,620 metric tons. The approved indoor capacity for lead and zinc at this location is 6,500 metric tons.

The primary conveyance designated by the Exchange for aluminum, lead, and zinc shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b., contingent on any pending conveyance orders submitted prior to the primary conveyance order.

The alternative conveyance designated by the Exchange for aluminum shall be rail. The minimum guaranteed daily load out rate for this conveyance shall be 500 metric tons which shall be published in the Interpretations & Special Notices Related to Chapter 7. In electing an alternate conveyance, the minimum guaranteed daily load out rate for the alternate conveyance shall apply absent any pending conveyance orders submitted prior to the alternate conveyance order.

At all times, the regular facility shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders, on a first come first served basis.

The rates that have been approved by the Exchange to be published on behalf of Henry Bath LLC for aluminum, lead, and zinc are as follows:

Monthly storage charge per metric ton	\$13.00
Minimum storage charge per month for less than one lot (partial lots)	\$115.00
Storage – outdoor per metric ton per month or part thereof (*COMEX Aluminum Only*)	\$13.00
Inbound handling per metric ton (truck & rail)	\$6.62
Outbound handling per metric ton (FOT)	\$40.00

Outbound handling per metric ton (FOR)	\$47.50
Outbound handling to container per metric ton (FIC)	\$47.50
Outbound handling to flatbed per metric ton (FIF)	
Weighing charges per metric ton	\$7.50
Facility receipt (issue & replacement)	\$75.00
Bill of lading	\$25.00
Blocking and bracing per metric ton (truck)	\$100.00 per truck
Blocking and bracing per metric ton (rail)	\$300.00 per rail car
Blocking and bracing per metric ton (container)	\$300.00 per container
Additional labor per man hour	\$80.00
Restocking fee per metric ton for cancelled/changed orders	\$8.50
Cancellation charge per shipment cancelled	\$75.00

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of June 29, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**Exhibit B**

**DATE: June 25, 2020**

**MKR#: 06-25-2020**

**SUBJECT: Approved Application for Increase in Aluminum Regularity**

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The Exchange has approved the application for an increase in Aluminum regularity from **Access World (USA) LLC** at the facility listed below:

Facility	Current Storage	Approved Storage
Owensboro, KY	26,000 MT	43,000 MT

This approval is effective immediately.

Please refer questions on this subject to:

**Market Surveillance**

Kyle Miller

[kyle.miller@cmegroup.com](mailto:kyle.miller@cmegroup.com)

(312) 341-3436

The Commodity Futures Trading Commission (CFTC) will be notified of this increase in regularity during the week of June 29, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**Exhibit C**

**COMEX Rulebook  
Chapter 7  
Service Providers Chart**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)