

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 20-333

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: **DCM** **SEF** **DCO** **SDR**

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 07/21/2020 **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of July 13, 2020)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change” | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

July 21, 2020

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Future Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.
NYMEX Submission No. 20-333**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of July 13, 2020.

Effective on Sunday, July 12, 2020 for trade date Monday, July 13, 2020, the Exchange transferred the listing of eight (8) metals futures and option contracts from NYMEX to Commodity Exchange, Inc. (“COMEX”). The marketplace was alerted of the transfer via Special Executive Report (“SER”) 8535RRR, which is attached hereto as Exhibit A.

Effective July 16, 2020, the Exchange approved the application of Brink’s, Incorporated to become an Approved Depository for platinum and palladium at their facility in Newark, NJ. The marketplace was alerted of the approval via MKR #07-16-20, which is attached hereto as Exhibit B.

In accordance with the changes above, the Exchange updated the NYMEX Rulebook Chapter 7 “Facilities” Chart (“Chart”) for “Precious-Depository Weighmaster.” The Chart is attached under separate cover, in blackline format, as Exhibit C.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – SER 8535RRR
Exhibit B – MKR #07-16-20
Exhibit C – NYMEX Rulebook Chapter 7 “Facilities” Chart (attached under separate cover) (blackline format)

Exhibit A



Special Executive Report

DATE: May 22, 2020

SER#: 8535RRR

SUBJECT: Transfer of Eight (8) NYMEX Metals Futures and Option Contracts to COMEX – New Effective Date of Trade Date Monday, July 13, 2020

(SER 8535RRR supersedes [SER 8535RR](#), [SER 8535R](#) and [SER 8507](#) to advise of an effective date of trade date Monday, July 13, 2020. This SER corrects typographical errors in SER 8535RR.)

On December 30, 2019 via [SER 8507](#), New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) advised that they intend to transfer the listing of eight (8) metals futures and option contracts (the “Contracts”) set forth in the table below from NYMEX to COMEX. The Exchanges advised of the postponement of this initiative on March 17, 2020 via [SER 8535R](#).

This initiative will be effective on Sunday, July 12, 2020 for trade date Monday, July 13, 2020.

Contract Title	CME Globex/ CME ClearPort Code	Rulebook Chapter
U.S. Midwest #1 Busheling Ferrous Scrap (AMM) Futures	BUS	601
Iron Ore 62% Fe, CFR China (TSI) Average Price Option	ICT	644
HMS 80/20 Ferrous Scrap, CFR Turkey (Platts TSI) Futures	FSF	867
Iron Ore 62% Fe, CFR China (TSI) Futures	TIO	919
U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Futures	HRC/HR	920
UxC Uranium U3O8 Futures	UX	970
U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Average Price Option	HRO	1095
Iron Ore 58% Fe, Low Alumina, CFR China (TSI) Futures	TIC	1107

The transfer of the Contracts shall occur by the open of trading on Sunday, July 12, 2020 for trade date Monday, July 13, 2020. To facilitate this change, customers will be required to cancel all Good ‘Till Cancel and Good ‘Till Date orders (collectively “GT Orders”) for the Contracts, including any User- or Exchange-Defined Spreads, by the close on Friday, July 10, 2020. After 4:00pm CT (5:00pm ET) on Friday, July 10, 2020 before the effective trade date of Monday, July 13, 2020, any remaining GT Orders on these markets will be removed by the CME Global Command Center.

The transfer of the open interest of the Contracts will be administrative in nature as there will be no impact on trading or to the economic value of the Contracts. The Contracts will continue to clear at CME Clearing.

The Contracts will continue to be available for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort with unchanged trading and clearing hours as noted below.

Trading and Clearing Hours:

CME Globex and CME ClearPort	Sunday - Friday 6:00 p.m. - 5:00 p.m. Eastern Time/ET (5:00 p.m. - 4:00 p.m. Central Time/CT) with a 60-minute break each day beginning at 5:00 p.m. ET (4:00 p.m. CT)
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The COMEX Exchange Fees will be as follows:

**U.S. Midwest Busheling Ferrous Scrap (AMM) Futures (BUS)
U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Futures (HR)**

	Member	Non-Member
CME Globex	\$3.00	\$6.00
EFP	\$4.00	\$7.00
Block	\$4.00	\$7.00
EFR/EOO	\$4.00	\$7.00

Processing Fees	Member	Non-Member
Cash Settlement	\$4.00	\$7.00

Other Processing Fees	Fee
Facilitation Fee	\$0.50
Give-Up Surcharge	\$0.05
Position Adjustment/Position Transfer	\$0.10

**Iron Ore 62% Fe, CFR China (TSI) Futures (TIO)
Iron Ore 62% Fe, CFR China (TSI) Average Price Option (ICT)
Iron Ore 58% Fe, Low Alumina, CFR China (TSI) Futures (TIC)**

	Member	Non-Member
CME Globex	\$4.00	\$8.00
EFP	\$9.00	\$10.00
Block	\$9.00	\$10.00
EFR/EOO	\$9.00	\$10.00

Processing Fees	Member	Non-Member
Cash Settlement	\$9.00	\$10.00

Other Processing Fees	Fee
Facilitation Fee	\$0.50
Give-Up Surcharge	\$0.05
Position Adjustment/Position Transfer	\$0.10

HMS 80/20 Ferrous Scrap, CFR Turkey (Platts) Futures (FSF)

	Member	Non-Member
CME Globex	\$1.00	\$2.00
EFP	\$1.50	\$3.00
Block	\$1.50	\$3.00
EFR/EOO	\$1.50	\$3.00

Processing Fees	Member	Non-Member
Cash Settlement	\$1.50	\$3.00

Other Processing Fees	Fee
Facilitation Fee	\$0.50
Give-Up Surcharge	\$0.05
Position Adjustment/Position Transfer	\$0.10

U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Average Price Option (HRO)

	Member	Non-Member
CME Globex	\$3.00	\$6.00
Block	\$4.00	\$7.00
EFR/EOO	\$4.00	\$7.00

Processing Fees	Member	Non-Member
Cash Settlement	\$4.00	\$7.00

Other Processing Fees	Fee
Facilitation Fee	\$0.50
Give-Up Surcharge	\$0.05
Position Adjustment/Position Transfer	\$0.10

UxC Uranium U308 Futures (UX)

	Member	Non-Member
CME Globex	\$0.60	\$1.50
EFP	\$2.50	\$4.05
Block	\$2.50	\$4.05
EFR/EOO	\$2.50	\$4.05

Processing Fees	Member	Non-Member
Cash Settlement	\$2.50	\$4.05

Other Processing Fees	Fee
Facilitation Fee	\$0.50
Give-Up Surcharge	\$0.05
Position Adjustment/Position Transfer	\$0.10

The specifications of the Contracts shall remain unchanged as follows:

Contract Specifications – Six (6) Futures Contracts

Contract Title	U.S Midwest Busheling Ferrous Scrap (AMM) Futures	Iron Ore 62% Fe, CFR China (TSI) Futures	Iron Ore 58% Fe, Low Alumina, CFR China (TSI) Futures	U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Futures	HMS 80/20 Ferrous Scrap, CFR Turkey (Platts TSI) Futures	UxC Uranium U308 Futures
Rulebook Chapter	601	919	1107	920	867	970
Commodity Code	BUS	TIO	TIC	CME Globex: HRC; CME ClearPort: HR	FSF	UX
Reference Price	Steel scrap No. 1 busheling index delivered Midwest mill, \$ gross ton, by Fastmarkets AMM	"Iron ore fines 62% Fe - CFR China Port" for that given calendar month by The Steel Index	Iron ore fines 58% Fe, low alumina – CFR China in the given calendar month by The Steel Index	CRU U.S. Midwest Domestic Hot-Rolled Coil Steel Index for that given month	HMS 80/20 Ferrous Scrap – CFR Turkish Port" for that given calendar month by Platts	Month-end spot U3O8 price published in Ux Weekly for the contract month by UxC, LLC (UxC)
Listing Schedule	Monthly contracts listed for 24 months	Monthly contracts listed for the current year and the next 3 calendar years. List monthly contracts for a new calendar year following the termination of trading in the December contract of the current year	Monthly contracts listed for the current year and the next 2 calendar years. List monthly contracts for a new calendar year following the termination of trading in the December contract of the current year	Monthly contracts listed for the current year and the next 3 calendar years. List monthly contracts for a new calendar year following the termination of trading in the December contract of the current year	Monthly contracts listed for 24 consecutive months	Monthly contracts listed for 60 consecutive months
Contract Size	20 gross tons	500 dry metric tons	500 dry metric tons	20 short tons	10 metric tons	250 pounds
Price Quotation	U.S. dollars and cents (USD) per gross ton	U.S. dollars and cents (USD) per dry metric ton	U.S. dollars and cents (USD) per dry metric ton	U.S. dollars and cents (USD) per short ton	U.S. dollars and cents (USD) per metric ton	U.S. dollars and cents (USD) per pound
Minimum Trading Price Fluctuation	\$1.00 per gross ton	\$0.01 per dry metric ton	\$0.01 per dry metric ton	\$1.00 per short ton	\$0.01 per metric ton	\$0.05 per pound

Minimum Daily Settlement Price Fluctuation	\$1.00 per gross ton	\$0.01 per dry metric ton	\$0.01 per dry metric ton	\$1.00 per short ton	\$0.01 per metric ton	\$0.05 per pound
Minimum Final Settlement Price Fluctuation	\$0.01 per gross ton	\$0.01 per dry metric ton	\$0.01 per dry metric ton	\$1.00 per short ton	\$0.01 per metric ton	\$0.05 per pound
CME Globex Match Algorithm	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)
Settlement Method	Financial	Financial	Financial	Financial	Financial	Financial
Block Trade Minimum Threshold	10 contracts	10 contracts	10 contracts	25 contracts	5 contracts	5 contracts
Block Reporting Window	Within 15 minutes of execution	Within 15 minutes of execution	Within 15 minutes of execution	Within 15 minutes of execution	Within 15 minutes of execution	Within 15 minutes of execution
Termination of Trading	Trading terminates on the 10th day of the contract month. If the 10th day is not a business day, trading terminates on the prior business day	Trading terminates on the last Singapore business day of the contract month	Trading terminates on the last Singapore business day of the contract month	Trading terminates on the business day prior to the last Wednesday of the contract month	Trading terminates on the last UK business day of the contract month	Trading terminates on the last Monday of the contract month. If the last Monday in the contract month is not a business day, trading terminates on the prior business day

Contract Specifications – Two (2) Option Contracts

Contract Title	Iron Ore 62% Fe, CFR China (TSI) Average Price Option	U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Average Price Option
Rulebook Chapter	644	1095
Commodity Code	ICT	HRO
Exercise Style	European	European
Listing Schedule	Monthly contracts listed for the current year and the next 3 calendar years. List monthly contracts for a new calendar year following the termination of trading in the December contract of the current year	Monthly contracts listed for the current year and the next 3 calendar years. List monthly contracts for a new calendar year following the termination of trading in the December contract of the current year
Contract Size	500 dry metric tons	20 short tons
Price Quotation	U.S. dollars and cents (USD) per dry metric ton	U.S. dollars and cents (USD) per short ton
Minimum Trading Price Fluctuation	\$0.01 per dry metric ton	\$0.25 per short ton
Minimum Daily Settlement Price Fluctuation	\$0.01 per dry metric ton	\$0.25 per short ton
Minimum Final Settlement Price Fluctuation	\$0.01 per dry metric ton	\$0.25 per short ton

CME Globex Match Algorithm	First-In, First-Out (FIFO)	First-In, First-Out (FIFO)
Settlement Method	Financial	Financial
Block Trade Minimum Threshold	10 contracts	15 contracts
Block Reporting Window	Within 15 minutes of execution	Within 15 minutes of execution
Termination of Trading	Trading terminates on the last Singapore business day of the contract month.	Trading terminates on the business day prior to the last Wednesday of the contract month
Underlying Contract	Iron Ore 62% Fe, CFR China (TSI) Futures	U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Futures

Inquiries regarding the aforementioned may be directed to:

Research and Product Development

Joann Arena Joann.Arena@cmegroup.com +1 212.299.2356

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Exhibit B

DATE: July 16, 2020
MKR#: 07-16-20
SUBJECT: REGULARITY APPROVAL FOR GOLD, SILVER, PLATINUM, AND PALLADIUM

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) has approved the application of Brink’s, Incorporated to become an Approved Depository for gold, silver, platinum, and palladium at their facility in Newark, NJ.

This approval is effective immediately.

Please refer questions on this subject to:

Market Surveillance

Terry Gehring Terry.Gehring@cmegroup.com (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of July 20, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Exhibit C

**NYMEX Rulebook
Chapter 7
Facilities Chart**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)