

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-331

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 08/12/16 Filing Description: Notification Regarding Amendments to CBOT Chapter 7 ("Delivery Facilities and Procedures") in Connection with the Initial Listing of the Physically-Delivered EU Wheat Futures Contract.

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers: **CBOT Chapter 7**

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: See filing

Rule Numbers: See filing.

August 12, 2016

VIA ELECTRONIC PORTAL

Mr. Christopher K. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

RE: CFTC Regulation 40.6(a) Rule Certification. Notification Regarding Amendments to CBOT Chapter 7 (“Delivery Facilities and Procedures”) in Connection with the Initial Listing of the Physically-Delivered EU Wheat Futures Contract. CBOT Submission No. 16-331

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. (“CBOT” or the “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying amendments to CBOT Chapter 7 (“Delivery Facilities and Procedures”) concurrent with the initial listing of EU Wheat Futures contract (the “Contract”) on Sunday, September 11, 2016 for trade date Monday, September 12, 2016. (See CBOT Submission No. 16-199 dated August 12, 2016.)

Specifically, the Exchange is amending Chapter 7 of the CBOT Rulebook to include: (i) the conditions for approval of the Contract’s warehouse facilities (the “Facilities”), (ii) certain terms and conditions for delivery for the Contract, and (iii) administrative non-substantive changes associated with the launch of Contract. Amendments to CBOT Chapter 7 appear in Appendix A in blackline format with additions underlined and deletions overstruck.

A summary of the amendments to CBOT Chapter 7 is provided below.

- Conditions for Approval: The Facilities shall be subject to the Exchange’s conditions for approval under Rule 703A.
- EU Wheat Delivery Instrument: Incorporation of the term “warehouse certificate” as the deliverable instrument against the Contract.
- Strike Bound Facilities: The Facilities shall be subject to the Exchange’s delivery provisions for strike bound facilities under Rule 703B.
- Insurance: With the exception of earthquake insurance coverage, the Facilities shall be required to procure “all-risk” insurance consistent with the insurance coverage requirements for existing warehouses and shipping stations for other CBOT agricultural products.
- Withdrawal of Approved Status: The Facilities shall be subject to the revocation, expiration or withdrawal of regularity provisions under Rule 707.
- Minimum Financial Requirements: Minimum working capital for the Facilities must be greater than or equal to €2,000,000. Minimum net worth for the Facilities must be greater than €5,000,000. The Facilities shall be required to file with the Exchange their annual audited year-end financial statements within 180 days after the firm’s year end and quarterly unaudited financial statements within 90 days of the statement date for each of the quarters which do not end on the firm’s year-end.

- Delivery and Registration: Incorporation of the Contract's delivery instrument and the registration and cancellation processes for such instrument into Rule 712.
- Failure to Deliver: Incorporation of the Contract's delivery instrument into the "Failure to Deliver" provision of Chapter 7.
- Interpretations and Special Notices Relating to Chapter 7: Incorporation of Facilities requirements for letters of credit.
- Other minor administrative, non-substantive amendments: Rules 712.A. (inclusion of rough rice) and 714. and the Letter of Credit and Bond Standards section in the Interpretations and Special Notices Relating to Chapter 7.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified that the modifications may have some bearing on the following Core Principles:

- Availability of General Information: In order to ensure that market participants are apprised of the amendments to CBOT Chapter 7, the Exchange will issue a CME Group Market Surveillance Notice and a Registrar's Office Notice to the marketplace notifying them of the implementation of these amendments in advance of the amendments becoming effective. The issuance of the Market Surveillance Notice and the Registrar's Office Notice constitutes compliance with this Core Principle.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the aforementioned amendments comply with the Act, including regulations under the Act. There were no substantive opposing views regarding the proposed amendments expressed to the Exchange.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this submission, please e-mail CMEGSubmissionInquiry@cmegroup.com or contact me at 212-299-2200.

Sincerely,

/s/Christopher Bowen
 Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Amendments to CBOT Chapter 7 ("Delivery Facilities and Procedures")
 (attached under separate cover)

Appendix A

CBOT Rulebook

**Chapter 7
("Delivery Facilities and Procedures")**

(attached under separate cover)