part of eex group



1921 Gallows Road, 3rd Floor Tysons Corner, Virginia 22182 p: 703-962-9800 f: 703-962-9850 www.nodalexchange.com

August 11, 2021

VIA CFTC PORTAL

Commodity Futures Trading Commission Attention: Chris Kirkpatrick, Secretary Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Notification of Delisting (33) Nodal Exchange Environmental Futures Contracts

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC ("Nodal Exchange" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is delisting 33 environmental futures contracts. Accordingly, the Exchange is self-certifying amendments to Appendix A (Contract Specifications) of the Nodal Exchange Rulebook pursuant to Commission Regulation 40.6(a). The amendments to Appendix A are attached hereto, indicating the specific contracts being delisted by the Exchange.

The Exchange is delisting 33 dormant contracts. There is no open interest on these contracts.

MA REC Class 1 V20 Future MD REC Tier 1 V19 Bankable Future MA SREC Carve Out II V20 Future MD SREC Tier 1 V19 Future MA REC Class 2 V20 Future MA AEC V20 Future MA REC Class 2 WTE V20 Future ME REC Class 1 V20 Future MD SREC V19 Future MA SREC V20 Future CSAPR TR NOx Annual V19 Future NP Dual-Qual REC Class 1 V20 Future NH REC Class 3 V20 Future NH REC Class 3 V20 Future

NY REC Tier 1 V20 Future **CSAPR NOx Group 2 V19 Future OH Non-Solar REC V19 Future OH REC V19 Future CT REC Class 1 V20 Future CT REC Class 2 V20 Future CT REC Class 3 V20 Future RI REC V20 Future CSAPR SO2 Group 1 V19 Future** CSAPR SO2 Group 2 V19 Future **TX REC CRS V19 Back Half Future TX REC CRS V19 Front Half Future TX REC Specific V17 Future TX REC Specific V18 Future TX REC Specific V19 Future** DC REC Tier 1 V19 Future **DC SREC V19 Future CA Carbon Allowance V17 Future** CA Carbon Allowance V18 Future

Exchange management has assessed the amendments to Appendix A, and their compliance with applicable provisions of the Commodity Exchange Act ("Act"), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the delisting of the 33 dormant contacts indicated by amendments to Appendix A may affect the following Core Principles as follows:

<u>Core Principle 6 – Emergency Authority</u>: There is no open interest in these contracts, and therefore there will be no market disruption related to their delisting.

<u>Core Principle 7 – Availability of General Information</u>: The Exchange is posting on its website (<u>www.nodalexchange.com</u>) the delisting of the contracts, including the contract specifications for the delisted contracts. Upon delisting, the terms and conditions of these contracts will no longer be available on the Nodal Exchange Rulebook Appendix A – Contract Specifications.

Pursuant to Section 5c(c) of the Act and the Commission's Regulation 40.6, the Exchange certifies that the delisting of these contracts complies with the Act and the Commission's Regulations thereunder. There have not been any opposing views expressed regarding these amendments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at <u>www.nodalexchange.com</u>.

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If you have any questions or need additional information regarding this submission, please contact me at 703-962-9853 or <u>markotic@nodalexchange.com</u>.

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Nodal Exchange Rulebook Appendix A Delisted Contracts