

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 19-327

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 08/16/19 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of August 5, 2019)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

August 16, 2019

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc.
("NYMEX" or "Exchange") Weekly Notification of Amendments Related to Product
Terms and Conditions.
NYMEX Submission No. 19-327**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of August 5, 2019.

On August 5, 2019, the Exchange amended six (6) NYMEX Rulebook Chapters for coal option contracts to remove obsolete option exercise and termination of trading language. The amendments were non-substantive and administrative in nature. The amended NYMEX Rulebook Chapters are attached hereto, in blackline format, as Exhibit A.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMESubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – NYMEX Rulebook Chapters (blackline format)

Exhibit A

NYMEX Rulebook

(deletions overstruck)

Chapter 910

Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Calendar Futures Strip

910.04 OPTION EXERCISE

~~(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)~~ Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.

~~910.04~~ OPTION EXERCISE

~~(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)~~

Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract.

910.06 TERMINATION OF TRADING

~~(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)~~

~~Trading shall cease at 5:00 p.m. London time on the Expiration Day.~~

~~910.06~~ TERMINATION OF TRADING

~~(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)~~

Trading shall cease at 12.30 p.m. London time on the Expiration Day.

Chapter 909
Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Quarterly Futures Strip

909.04 ~~OPTION EXERCISE~~

~~**(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)**~~

~~Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.~~

909.04 ~~OPTION EXERCISE~~

~~**(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)**~~

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Chapter 918
Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Calendar
Futures Strip

918.04 OPTION EXERCISE

~~**(FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2019)**~~

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~~918.06 TERMINATION OF TRADING~~

~~**(FOR ALL CONTRACT MONTHS COMMENCING WITH JANUARY 2019 AND BEYOND)**~~

~~Trading shall cease at 12.30 p.m. London time on the Expiration Day.~~

Chapter 917
Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Quarterly
Futures Strip

917.04 OPTION EXERCISE

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

~~Option contracts may be exercised on the Expiration Day only. Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.~~

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(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

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(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

Trading shall cease at 12.30 p.m. London time on the Expiration Day.

Chapter 1115
Coal (API 2) cif ARA (Argus-McCloskey) Short Dated Option
on Calendar Futures Strip

1115101.E. Termination of Trading

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

~~The option Expiration Day shall be 30 calendar days prior to the first calendar day of the contract month. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day. Trading shall cease at 5:00 p.m. London time on the option's Expiration Day.~~

~~Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 6:00 p.m. London time on the Expiration Day, except as noted below. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract. In the event the underlying reference price used by the Exchange is not available by 5:45 p.m. London time, the notice of exercise may be delivered no later than fifteen (15) minutes after the publication of the underlying reference price.~~

(FOR ALL CONTRACT MONTHS COMMENCING WITH APRIL 2018 AND BEYOND)

~~The option Expiration Day shall be 30 calendar days prior to the first calendar day of the contract month. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day. Trading shall cease at 12:30 p.m. London time on the option's Expiration Day.~~

~~Notice of exercise must be delivered by a Clearing Member to the Clearing House not later than 2:30 p.m. London time on the Expiration Day. The option shall be available for automatic exercise. The in-the-money value of the option shall be based on a methodology to be published by the Exchange that reflects markets prices at the termination of trading of a contract~~

Chapter 1114
Coal (API 4) fob Richards Bay (Argus-McCloskey) Short Dated Option
on Calendar Futures Strip

1114101.E. Termination of Trading

(FOR ALL CONTRACT MONTHS PRIOR TO APRIL 2018)

~~The option Expiration Day shall be 30 calendar days prior to the first calendar day of the contract month. If such day is not a UK business day, the Expiration Day shall be the first preceding UK business day. Trading shall cease at 5:00 p.m. London time on the option's Expiration Day.~~

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