

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-314

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 8/23/17 Filing Description: Amendments to the Price Increments Rule of the Micro Coal (API 5) fob Newcastle (Argus/McCloskey) Futures Contract

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

August 23, 2017

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to the Price Increments Rule of the Micro Coal (API 5) fob Newcastle (Argus/McCloskey) Futures Contract.  
NYMEX Submission No. 17-314**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying amendments to Rule 1131102.C. (“Price Increments”) of the Micro Coal (API 5) fob Newcastle (Argus/McCloskey) Futures contract (the “Contract”) (Rulebook Chapter 1131; Commodity Code: M5F) effective on Sunday, September 10, 2017 for trade date Monday, September 11, 2017.

Specifically, the Exchange will reduce the minimum price fluctuation of the Contract from \$0.05 per metric ton to \$0.01 per metric ton. Market participants have requested the amendments. The amendments will allow market participants to trade the Contract at a more granular pricing level. The Contract is available for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort.

Appendix A provides Rule 1131102.C. in blackline format.

The Exchange reviewed the designated contracts market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the amendments may have some bearing on the following Core Principles:

**Availability of General Information:** The Exchange will publish information on the change via a Special Executive Report (“SER”) to notify the marketplace of this amendment. The SER will also be posted on the CME Group website.

**Daily Publication of Trading Information:** The Exchange shall continue to make public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contract.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the amendments comply with the Act, including all regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A: NYMEX Rule 1131102.C. ("Price Increments") (blackline format)

## **Appendix A**

### **NYMEX RULEBOOK**

(additions underscored; deletions ~~struck through~~)

#### **Chapter 1131**

#### **Micro Coal (API 5) fob Newcastle (Argus/McCloskey) Futures**

##### **1131102.C. Price Increments**

Prices shall be quoted in U.S. dollars and cents per ton. The minimum price fluctuation shall be ~~\$0.05 (5¢)~~ \$0.01 (1¢) per metric ton. The minimum final settlement is \$0.01 per metric ton.