

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-351

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): August 30, 2017 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of August 21, 2017)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

August 30, 2017

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
NYMEX Submission No. 17-351**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of August 21, 2017.

On Friday, August 25, 2017, the Exchange implemented administrative amendments to the Platinum Futures and Palladium Futures contracts to delete obsolete language contained in the respective rulebook chapters. The marketplace was alerted of these changes via Special Executive Report (“SER”) #7983, which is attached hereto as Appendix A.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – SER #7983

## APPENDIX A



### Special Executive Report

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**DATE:** August 25, 2017

**SER#:** 7983

**SUBJECT: Administrative Amendments to the Platinum Futures and Palladium Futures Contracts**

Effective today, Friday, August 25, 2017, New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") implemented administrative amendments to the Platinum Futures (Commodity Code: PL; Rulebook Chapter: 105) and Palladium Futures (Commodity Code: PA; Rulebook Chapter: 106) contracts (the "Contracts") to delete obsolete language contained in the respective rulebook chapters.

The marketplace was advised of the increased the weight tolerance level for delivery from 7% to 10% for the Contracts commencing with the January 2016 contract month and beyond (see [SER 7429R](#) dated September 24, 2015).

Exhibit A below provides the rulebook amendments in blackline format.

The Commodity Futures Trading Commission ("CFTC") will be notified of the administrative amendments during the week of August 28, 2017 via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

For additional information, please contact:

Joann Arena

[joann.arena@cmegroup.com](mailto:joann.arena@cmegroup.com)

212.299.2356

**Exhibit A**  
**NYMEX Rulebook**  
(deletions are struck through)

**Chapter 105**

**Platinum Futures**

**105101. CONTRACT SPECIFICATIONS**

**105101.A. Grade and Quality Specifications**

**FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2016**

The contract for delivery on futures contracts shall be 50 troy ounces of platinum, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 7% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Platinum delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

**~~105101.A. Grade and Quality Specifications~~**

**FOR ALL CONTRACT MONTHS BEGINNING WITH JANUARY 2016 AND BEYOND**

The contract for delivery on futures contracts shall be 50 troy ounces of platinum, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 10% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Platinum delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

[Remainder of chapter remains unchanged]

**Chapter 106**

**Palladium Futures**

**106101. CONTRACT SPECIFICATIONS**

**106101.A. Grade and Quality Specifications**

**FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2016**

The contract for delivery on futures contracts shall be 100 troy ounces of palladium, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 7% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual

~~piece weighing less than 10 troy ounces, or the equivalent weight in grams. Palladium delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.~~

**~~106101.A. Grade and Quality Specifications~~**

**~~FOR ALL CONTRACT MONTHS BEGINNING WITH JANUARY 2016 AND BEYOND~~**

The contract for delivery on futures contracts shall be 100 troy ounces of palladium, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 10% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Palladium delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

[Remainder of chapter remains unchanged]