

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 20-421

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: **DCM** **SEF** **DCO** **SDR**

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 09/22/2020 **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of September 14, 2020)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers:

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

September 22, 2020

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.
COMEX Submission No. 20-421**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of September 14, 2020.

Effective September 15, 2020, the Exchange made several changes to the Gold Kilo brand list to reflect corresponding changes at the LBMA. The marketplace was alerted of the changes via MKR #09-15-20, which is attached hereto as Exhibit A.

Also, effective September 15, 2020, the Exchange made a change to the Gold (GC) and Gold (4GC) brand lists to reflect corresponding changes at the LBMA. The marketplace was alerted of the changes via MKR #09-15-20B, which is attached hereto as Exhibit B.

In accordance with the withdrawal above, the Exchange updated the COMEX Rulebook Chapter 7 “Gold (GC) Brands” and “Gold Kilo (GCK) Brands” Chart (“Chart”). The Chart is attached under separate cover, in blackline format, as Exhibit C.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMESubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR #09-15-20
Exhibit B – MKR #09-15-20B
Exhibit C – COMEX Rulebook Chapter 7 “Gold (GC) Brands” and “Gold Kilo (GCK) Brands” Chart (attached under separate cover) (blackline format)

Exhibit A

DATE: September 15, 2020
MKR#: 09-15-20
SUBJECT: Change for Gold Kilo Brands

The Exchange has made several changes to the Gold Kilo brand list to reflect corresponding changes at the LBMA. This list of brands is located in the “Gold Kilo (GCK) Brands” tab in the [service providers table](#) at the end of Chapter 7 of the COMEX Rulebook.

The changes to be made to the list of brands is below:

Brand	Country	Code	Former Designation	New Designation
Dongwu Gold Group Co., Ltd	China	DGGC	NONE	Deliverable
Italpreziosi S.p.A	Italy	IPS	NONE	Deliverable
JSC Novosibirsk Refinery	Russia	JSCN	NONE	Deliverable
Tau-Ken Altyn	Russia	TKA	NONE	Deliverable
Elemental Refining, LLC	USA	ELEM	Suspended	LBMA Former/CME Suspended
Heraeus Precious Metals GmbH & Co. KG	Germany	HPMG	Deliverable	LBMA Former
Ohio Precious Metals, LLC	USA	OPM	Deliverable	LBMA Former
Schöne Edelmetaal B.V.	The Netherlands	SCHO	Deliverable	LBMA Former
Sumitomo Metal Mining Co., Ltd	Japan	SMM	Deliverable	LBMA Former
Umicore Brasil Ltda	Brazil	UMIB	Deliverable	LBMA Former

These changes are effective immediately.

Please refer questions on this subject to:

Market Surveillance

Terry Gehring

Terry.Gehring@cmegroup.com

312-435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of these approvals during the week of September 21, 2020 via the weekly notification procedures set out in Part 40 of the CFTC

Exhibit B

DATE: September 15, 2020
MKR#: 09-15-20B
SUBJECT: Change for Gold Brands

The Exchange has made a change to the Gold (GC) and Gold (4GC) brand lists to reflect corresponding changes at the LBMA. These lists of brands are located in the “Gold (GC) Brands” and “Gold (4GC) Brands” tabs in the [service providers table](#) at the end of Chapter 7 of the COMEX Rulebook.

The change to be made to the lists of brands is below:

Brand	Country	Code	Former Designation	New Designation
Umicore Brasil Ltda	Brazil	UMIB	Deliverable	LBMA Former

These changes are effective immediately.

Please refer questions on this subject to:

Market Surveillance

Terry Gehring

Terry.Gehring@cmegroup.com

312-435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of these approvals during the week of September 21, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Exhibit C

**COMEX Rulebook
Chapter 7
“Gold (GC) Brands” and “Gold Kilo (GCK) Brands”**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)