

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 15-330

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): September 23, 2015 Filing Description: Notification Regarding the Increase in the Weight Tolerance in the Platinum Futures and Palladium Futures Contracts

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: Platinum Futures and Palladium Futures

Rule Numbers: NYMEX Rules 105101.A. and 106101.A.

September 23, 2015

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to NYMEX Rules 105101.A. and 106101.A. Regarding Increasing the Weight Tolerance of the Platinum Futures and Palladium Futures Contracts. NYMEX Submission No. 15-330

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying amendments to NYMEX Rules 105101.A. and 106101.A. ("Grade and Quality Specifications") of the Platinum Futures and Palladium Futures contracts, respectively, effective on Sunday, October 11, 2015 for trade date Monday, October 12, 2015.

More specifically, commencing with the January 2016 contract month and beyond, NYMEX will increase the weight tolerance level for delivery from 7% to 10% for all Platinum and Palladium futures contracts. The Platinum Futures and Palladium Futures contracts are currently available for trading on CME Globex, and for submission for clearing through CME ClearPort.

Contract Name	Rule Chapter	Commodity Code
Platinum Futures	105	PL
Palladium Futures	106	PA

Currently, the weight tolerance for platinum delivered against the Exchange's Platinum Futures contract is 7% higher or lower than the contract size of 50 troy ounces (current weight range: 46.5 troy ounces - 53.5 troy ounces). These amendments will increase this weight tolerance to 10% higher or lower than the contract size of 50 troy ounces (proposed weight range: 45.0 troy ounces - 55.0 troy ounces).

Currently, the weight tolerance for palladium delivered against the Exchange's Palladium Futures contract is 7% higher or lower than the contract size of 100 troy ounces (current weight range: 93 troy ounces - 103 troy ounces). These amendments will increase this weight tolerance to 10% higher or lower than the contract size of 100 troy ounces (proposed weight range: 90.0 troy ounces - 110.0 troy ounces).

The purpose of these amendments is to allow additional platinum and palladium to be acceptable for delivery against the respective Exchange contracts which would result in increased deliverable supply and enhance trading in the contracts. The amendments to Rules 105101.A. and 106101.A. are provided in Appendix A below in blackline format.

The Exchange contacted industry participants active in the Platinum Futures and Palladium Futures markets, particularly those participants who have been historically active in the delivery process of the

Platinum Futures and Palladium Futures contracts, regarding the proposed amendments. There were no opposing views to the proposal. On August 12, 2015, the Exchange disseminated a Special Executive Report (SER) which advised the marketplace several months in advance of the proposal and that the amendments would be effective commencing with the January 2016 contract month and beyond. There were no opposing views to the proposal as set forth in the SER. Lastly, several participants contacted by the Exchange were supportive of the amendments and indicated that an increase in the weight tolerance of the Platinum Futures and Palladium Futures contracts would potentially allow additional metal to become deliverable and, thereby, enhance trading in the respective contracts.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act” or “CEA”) and identified that the amendments to the Platinum Futures and Palladium Futures contracts may have some bearing on the following Core Principles:

- Availability of General Information: NYMEX issued a Special Executive Report (“SER”) to the marketplace regarding these amendments. The SER has been posted on the CME Group website.
- Compliance with Rules: The rule amendments will not affect the Exchange’s ability to assure compliance with rules and conduct market surveillance obligation under the Act. The Exchange has appropriate systems, policies, and procedures in place to address the new weight tolerance.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the change in the weight tolerance in the Platinum Futures and Palladium Futures contracts complies with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Amendments to NYMEX Rules 105101.A. and 106101.A. (blackline format)

Appendix A

(additions are underlined)

NYMEX RULEBOOK

Chapter 105 Platinum Futures

105101. CONTRACT SPECIFICATIONS

105101.A. Grade and Quality Specifications

FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2016

The contract for delivery on futures contracts shall be 50 troy ounces of platinum, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 7% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Platinum delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

105101. CONTRACT SPECIFICATIONS

105101.A. Grade and Quality Specifications

FOR ALL CONTRACT MONTHS BEGINNING WITH JANUARY 2016 AND BEYOND

The contract for delivery on futures contracts shall be 50 troy ounces of platinum, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 10% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Platinum delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

[The balance of the rule remains unchanged.]

Chapter 106 Palladium Futures

106101. CONTRACT SPECIFICATIONS

106101.A. Grade and Quality Specifications

FOR ALL CONTRACT MONTHS PRIOR TO JANUARY 2016

The contract for delivery on futures contracts shall be 100 troy ounces of palladium, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 7% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Palladium delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

106101. CONTRACT SPECIFICATIONS

106101.A. Grade and Quality Specifications

FOR ALL CONTRACT MONTHS BEGINNING WITH JANUARY 2016 AND BEYOND

The contract for delivery on futures contracts shall be 100 troy ounces of palladium, weighed to the nearest thousandth of a troy ounce, or the equivalent weight in grams, of homogeneous cast plate and/or ingot, with a weight tolerance of 10% either higher or lower. Each contract may consist of more than one piece of plate and/or ingot, with no individual piece weighing less than 10 troy ounces, or the equivalent weight in grams. Palladium delivered under this contract shall be a minimum of 99.95% pure and must be a brand approved by the Exchange.

[The balance of the rule remains unchanged.]