

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-395

Organization: Chicago Mercantile Exchange Inc. ("CME")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 09/24/21 Filing Description: Amendments to Align CME Globex and CME ClearPort Listing Schedules of Nine (9) FX Options Contracts

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

September 24, 2021

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Amendments to Align CME Globex and CME ClearPort Listing Schedules of Nine (9) FX Options Contracts. CME Submission No. 21-395**

Dear Mr. Kirkpatrick:

Chicago Mercantile Exchange Inc. (“CME” or “Exchange”) is certifying to the Commodity Futures Trading Commission (“CFTC” or “Commission”) amendments to align the listing schedules of nine (9) foreign exchange (“FX”) options contracts (“the Contracts”) listed below for trading on the CME Globex electronic trading platform (“CME Globex”) and for submission for clearing via CME ClearPort as described in Exhibit 1 below (collectively, the “Rule Amendments”) effective Sunday, November 7, 2021 for trade date Monday, November 8, 2021.

**Exhibit 1**

Contract Title	CME Globex/ CME ClearPort Code	CME Rulebook Chapter	Current Listing Schedule	Amended Listing Schedule
Options on Czech Koruna/U.S. Dollar (CZK/USD) Futures	CKO/CZ	266A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort
			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Hungarian Forint/U.S. Dollar (HUF/USD) Futures	HFO/FR	267A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort
			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Polish Zloty/U.S. Dollar (PLN/USD) Futures	PLZ/PZ	268A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort

			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Czech Koruna/Euro (CZK/EUR) Futures	ECZ/K	315A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort
			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Hungarian Forint/Euro (HUF/EUR) Futures	EHU/R	316A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort
			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Polish Zloty/Euro (PLN/EUR) Futures	EPL/Z	317A	3 Serials and 4 Quarterlies on CME ClearPort	2 Quarterlies and 2 Serials on CME ClearPort
			2 Quarterlies and 2 Serials on CME Globex	2 Quarterlies and 2 Serials on CME Globex
Options on Euro/British Pound Sterling (EUR/GBP) Futures	RP/RP	301A	2 Quarterlies and 2 Serials on CME Globex	3 Serials and 4 Quarterlies on CME Globex
			3 Serials and 4 Quarterlies on CME ClearPort	3 Serials and 4 Quarterlies on CME ClearPort
Options on Euro/Japanese Yen (EUR/JPY) Futures	RY/RY	302A	2 Quarterlies and 2 Serials on CME Globex	3 Serials and 4 Quarterlies on CME Globex
			3 Serials and 4 Quarterlies on CME ClearPort	3 Serials and 4 Quarterlies on CME ClearPort
Options on Euro/Swiss Franc (EUR/CHF) Futures	RF/RF	303A	2 Quarterlies and 2 Serials on CME Globex	3 Serials and 4 Quarterlies on CME Globex
			3 Serials and 4 Quarterlies on CME ClearPort	3 Serials and 4 Quarterlies on CME ClearPort

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the Rule Amendments may have some bearing on the following Core Principles:

- **Availability of General Information:** The Exchange will release a Special Executive Report (“SER”) regarding the Rule Amendments. The SER will also be posted on the CME Group website.
- **Daily Publication of Trading Information:** CME will continue to publish contract trading volumes, open interest levels, and price information daily on its website and through quote vendors for the Contracts.
- **Execution of Transactions:** Following the implementation of the new listing schedule, the Exchange

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will continue to provide a competitive, open, and efficient market and mechanism for executing transactions that protects the price discovery process of trading for the Contracts. The Exchange is aligning the CME Globex and CME ClearPort listing schedules for the Contracts.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), CME hereby certifies that the Rule Amendments comply with the Act, including the regulations under the Act. There were no substantive opposing views to the proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel