

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 20-426

**Organization:** Commodity Exchange, Inc. ("COMEX")

**Filing as a:**     **DCM**         **SEF**         **DCO**         **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 10/1/2020        **Filing Description:** Weekly Notification of Amendments Related to Product Terms and Conditions (Week of September 21, 2020)

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

October 1, 2020

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 20-426**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of September 21, 2020.

Effective Sunday, September 20, 2020, for trade date Monday, September 21, 2020, the Exchange Amended Rule 121102.C. (“Price Increments”) of the Micro Silver Futures contract (Rulebook Chapter 121; Commodity Code: SIL) to decrease the minimum price fluctuation for outright and spread transactions for trades executed on the CME Globex electronic trading platform and submitted for clearing on CME ClearPort. The marketplace was alerted of the changes via Special Executive Report (“SER”) #8635, which is attached hereto as Exhibit A

Effective September 24, 2020, the Exchange received and approved the application of Brink’s Limited for regularity to be a weighmaster in Gold, Gold (Enhanced Delivery), and Silver in the London, United Kingdom region. The marketplace was alerted of the approval via MKR #09-24-20B, which is attached hereto as Exhibit B.

Also, effective September 24, 2020, the Exchange received and approved the application of Loomis International (UK) Limited for regularity to be a weighmaster in Gold, Gold (Enhanced Delivery), and Silver in the London, United Kingdom region. The marketplace was alerted of the approval via MKR #09-24-20C, which is attached hereto as Exhibit C.

Also, the Registrar’s Office was alerted that the brand mark listed for Asahi Pretec Corp Enhanced Delivery Gold was incorrect. The Registrar’s Office updated the Service Providers Spreadsheet in Chapter 7 of the COMEX Rulebook to correct this brand mark. There was no notice posted for this change as it was an informational change. In accordance with the aforementioned changes, the Exchange updated the COMEX Rulebook Chapter 7 “Precious-Depository Weighmaster” and “Gold (4GC) Brands” Chart (“Chart”). The Chart is attached under separate cover, in blackline format, as Exhibit D.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – SER #8635  
Exhibit B – MKR #09-24-20B  
Exhibit C – MKR #09-24-20C  
Exhibit D – COMEX Rulebook Chapter 7 “Precious-Depository Weighmaster” and “Gold (4GC) Brands” Chart (attached under separate cover) (blackline format)

## Exhibit A



### Special Executive Report

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**DATE:** August 25, 2020

**SER#:** 8635

**SUBJECT: Reduction of the Minimum Price Fluctuation of the Micro Silver Futures Contract**

Effective Sunday, September 20, 2020, for trade date Monday, September 21, 2020, and pending all relevant CFTC regulatory review periods, Commodity Exchange, Inc. (“COMEX” or “Exchange”) will amend Rule 121102.C. (“Price Increments”) of the Micro Silver Futures contract (Rulebook Chapter 121; Commodity Code: SIL) (the “Contract”) to decrease the minimum price fluctuation for outright and spread transactions for trades executed on the CME Globex electronic trading platform and submitted for clearing on CME ClearPort as set forth below.

<b>Contract Title</b>	<b>CME Globex/ CME ClearPort Code</b>	<b>Rulebook Chapter</b>	<b>Current Minimum Price Fluctuation – outright transactions</b>	<b>Reduced Minimum Price Fluctuation – outright transactions</b>	<b>Current Minimum Price Fluctuation – spread transactions</b>	<b>Reduced Minimum Price Fluctuation – spread transactions</b>
Micro Silver Futures	SIL	121	\$0.01	\$0.005	\$0.005	\$0.001

As a result of the reduced minimum price fluctuation of the Contract, the Exchange is implementing corresponding amendments to the COMEX Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) Table (collectively, the “Rule Amendments”).

**To facilitate the change, it is requested that customers cancel all Good ‘Till Cancel (GTC) and Good ‘Till Date (GTD) orders of the Contract after the close on Friday, September 18, 2020. After 16:00 Central Time (CT) on Friday, September 18, 2020, all remaining Good ‘Till (GT) orders for this future will be cancelled or deleted by the CME Global Command Center (GCC).**

The Commodity Futures Trading Commission (“CFTC”) will be notified of the Rule Amendments during the week of September 28, 2020, via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

The Rule Amendments are detailed below in blackline format in Appendix A below.

Inquiries regarding the aforementioned may be directed to the CME Group Global Command Center at 312.456.2391. Questions regarding this Special Executive Report may be directed to the CME Global Command Center at +1.800.438.8616, in Europe at +44.800.898.013, in Asia at +65.6532.5010, or [GCC@cmegroup.com](mailto:GCC@cmegroup.com).

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+44 20 3379 33

**Appendix A**

**COMEX RULEBOOK**

(additions underscored; deletions ~~struckthrough~~)

**Chapter 121**

**(“Micro Silver Futures”)**

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**121102. TRADING SPECIFICATIONS**

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**121102.C. Price Increments**

The minimum price fluctuation shall be ~~one cent (\$0.01)~~ one half of one cent (\$0.005) per troy ounce for outright transactions, ~~one half of one cent (\$0.005)~~ one tenth of one cent (\$0.001) per troy ounce for spread transactions, and one tenth of one cent (\$0.001) per troy ounce for settlement. Prices shall be quoted in dollars and cents per troy ounce.

[Remainder of Rule unchanged]

**Chapter 5**

**(“Trading Qualifications and Practices”)**

**COMEX Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) Table**

Instrument	Globex Symbol	Outrights			Spreads	
		Globex Non-Reviewable Ranges (NRR)	NRR: Globex Format	NRR: Minimum Ticks	NRR: Globex Format	NRR: Outright Minimum Ticks
Micro Silver Futures	SIL	\$.30 per troy ounce	300	<del>30</del> <u>60</u>	Each leg evaluated as an outright	

**Exhibit B**

**DATE: September 24, 2020**

**MKR#: 09-24-20B**

**SUBJECT: Regularity Approval for Weighmaster in Gold, Gold (Enhanced Delivery), and Silver**

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The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Brink's Limited** for regularity to be a weighmaster in Gold, Gold (Enhanced Delivery), and Silver in the London, United Kingdom region.

This approval is effective immediately.

Please refer questions on this subject to:

**Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 28, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

## Exhibit C

**DATE:** September 24, 2020  
**MKR#:** 09-24-20C  
**SUBJECT:** Regularity Approval for Weighmaster in Gold, Gold (Enhanced Delivery), and Silver

---

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **Loomis International (UK) Limited** for regularity to be a weighmaster in Gold, Gold (Enhanced Delivery), and Silver in the London, United Kingdom region.

This approval is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of September 28, 2020 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**Exhibit D**

**COMEX Rulebook**

**Chapter 7**

**“Precious-Depository Weighmaster” and “Gold (4GC) Brands”**

(additions underlined, deletions ~~overstruck~~)

(attached under separate cover)