

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-423

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): October 12, 2016 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of October 3, 2016)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

October 12, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 16-423**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of October 3, 2016.

On Thursday, October 6, 2016, the Exchange amended the listing convention and termination date table associated with the Gold/Platinum Spread Futures contract. The marketplace was alerted of the changes via Special Executive Report (“SER”) #7745R, which is attached hereto as Exhibit A.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – SER #7745R

## EXHIBIT A



### Special Executive Report

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**DATE:** October 6, 2016

**SER#:** 7745R

**SUBJECT: Initial Listing of Gold/Silver Ratio Futures, Gold/Platinum Spread Futures and Platinum/Palladium Spread Futures Contracts**

(This SER supersedes SER 7745 dated September 20, 2016 to amend the listing convention and termination date table associated with the Gold/Platinum Spread Futures contract. No other changes have been made to the original SER).

Effective Sunday, October 23, 2016 for trade date Monday, October 24, 2016, and pending all relevant CFTC regulatory review periods, Commodity Exchange, Inc. ("COMEX") and New York Mercantile Exchange, Inc. ("NYMEX") (collectively, the "Exchanges") will list the Gold/Silver Ratio Futures contract (commodity code: GSR; rulebook chapter: 188), Gold/Platinum Spread Futures contract (commodity code: GPS; rulebook chapter: 189), and Platinum/Palladium Futures contract (commodity code: PPS; rulebook chapter: 190) for trading on CME Globex and for submission for clearing through CME ClearPort as described below.

#### **Gold/Silver Ratio Futures**

<b>Contract Name</b>	Gold/Silver Ratio Futures
<b>Exchange</b>	COMEX
<b>Commodity Code</b>	GSR
<b>COMEX Rulebook Chapter</b>	188
<b>Contract Size</b>	\$500*Index(GC/SI)
<b>Listing Schedule</b>	Nearest two cycle month combinations
<b>Termination of Trading</b>	Third last business day of the calendar month prior to the contract month
<b>Minimum Price Fluctuation</b>	0.05 index points
<b>Value per Tick</b>	\$25.00
<b>First Listed Month</b>	December 2016, February 2017
<b>Business Days</b>	Business days are based on U.S. public holiday

	calendar
<b>Block Trade Minimum Threshold</b>	25 contracts
<b>CME Globex Matching Algorithm</b>	First In First Out (FIFO)

<b>Contract Month</b>	<b>Gold</b>	<b>Silver</b>	<b>Termination Date – third to last business day of the calendar month below</b>
February	February	March	January
March	April	March	February
April	April	May	March
May	June	May	April
June	June	July	May
July	August	July	June
August	August	September	July
September	December	September	August
December	December	December	November

### Gold/Platinum Spread Futures

<b>Contract Name</b>	Gold/Platinum Spread Futures
<b>Exchange</b>	COMEX
<b>Commodity Code</b>	GPS
<b>COMEX Rulebook Chapter</b>	189
<b>Contract Size</b>	100 troy ounces
<b>Listing Schedule</b>	Nearest two cycle month combinations
<b>Termination of Trading</b>	Third last business day of the calendar month prior to the contract month
<b>Minimum Price Fluctuation</b>	\$0.10
<b>Value per Tick</b>	\$10.00
<b>First Listed Month</b>	December 2016, February 2017
<b>Business Days</b>	Business days are based on U.S. public holiday

	calendar
<b>Block Trade Minimum Threshold</b>	10 contracts
<b>CME Globex Matching Algorithm</b>	First In First Out (FIFO)

<b>Contract Month</b>	<b>Gold</b>	<b>Platinum</b>	<b>Termination Date – third to last business day of the calendar month below</b>
January	February	January	December
February	February	April	January
April	April	April	March
June	June	July	May
July	August	July	June
August	August	October	July
October	December	October	September
December	December	January	November

#### Platinum/Palladium Spread Futures

<b>Contract Name</b>	Platinum/Palladium Spread Futures
<b>Exchange</b>	NYMEX
<b>Commodity Code</b>	PPS
<b>NYMEX Rulebook Chapter</b>	190
<b>Contract Size</b>	100 troy ounces
<b>Listing Schedule</b>	Nearest two cycle month combinations
<b>Termination of Trading</b>	Third last business day of the calendar month prior to the contract month
<b>Minimum Price Fluctuation</b>	\$0.05
<b>Value per Tick</b>	\$5.00
<b>First Listed Month</b>	December 2016, January 2017
<b>Business Days</b>	Business days are based on U.S. public holiday calendar

<b>Block Trade Minimum Threshold</b>	10 contracts
<b>CME Globex Matching Algorithm</b>	First In First Out (FIFO)

<b>Contract Month</b>	<b>Platinum</b>	<b>Palladium</b>	<b>Termination Date – third to last business day of the calendar month below</b>
March	April	March	February
April	April	June	March
June	July	June	May
July	July	September	June
September	October	September	August
October	October	December	September
December	January	December	November
January	January	March	December

**Trading and Clearing Hours:**

**CME Globex and CME ClearPort:** Sunday – Friday 6:00 p.m. – 5:00 p.m. (5:00 p.m. – 4:00 p.m. Chicago Time/CT) with an hour break each day beginning at 5:00 p.m. (4:00 p.m. CT).

**Trading and Clearing Fees:**

**Gold/Silver Ratio Futures**

<b>COMEX Fees</b>	<b>Member</b>	<b>Cross-Division</b>	<b>Non-Member</b>	<b>International Incentive Programs (IIP/IVIP)</b>
CME Globex	\$0.70	\$0.95	\$1.45	\$0.88
EFP	\$0.85		\$1.45	
Block	\$0.80		\$1.55	
EFR/EOO	\$3.35		\$3.95	
Agency Cross	\$0.70		\$1.45	

<b>Processing Fees</b>	<b>Member</b>	<b>Non-Member</b>
Cash Settlement	\$0.70	\$1.45
<b>Other Fees</b>		
Facilitation Fee	\$0.50	
Give-Up Surcharge	\$0.05	

Position Adjustment/Transfer	\$0.10
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### Gold/Platinum Spread Futures

COMEX Fees	Member	Cross-Division	Non-Member	International Incentive Programs (IIP/IVIP)
CME Globex	\$1.40	\$1.90	\$2.90	\$1.76
EFP	\$1.40		\$2.90	
Block	\$1.60		\$3.10	
EFR/EOO	\$6.40		\$7.90	
Agency Cross	\$1.40		\$2.90	

Processing Fees	Member	Non-Member
Cash Settlement	\$1.40	\$2.90
Other Fees		
Facilitation Fee	\$0.50	
Give-Up Surcharge	\$0.05	
Position Adjustment/Transfer	\$0.10	

### Platinum/Palladium Spread Futures

NYMEX Fees	Member	Cross-Division	Non-Member	International Incentive Programs (IIP/IVIP)
CME Globex	\$1.40	\$0.70	\$2.90	\$1.76
EFP	\$1.40		\$2.90	
Block	\$1.60		\$3.10	
EFR/EOO	\$6.40		\$7.90	
Agency Cross	\$1.40		\$2.90	

Processing Fees	Member	Non-Member
Cash Settlement	\$1.40	\$2.90
Other Fees		
Facilitation Fee	\$0.50	
Give-Up Surcharge	\$0.05	
Position Adjustment/Transfer	\$0.10	

**Metals Research:**

Joann Arena  
Richard Stevens

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