

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-378

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 10/16/17 Filing Description: Delisting of Certain Natural Gas Futures and Options Contracts Without Open Interest.

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input checked="" type="checkbox"/> | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

October 16, 2017

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Delisting of Certain Natural Gas (Platts) Futures and Options Contracts Without Open Interest. NYMEX Submission No. 17-378**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying the delisting of certain natural gas (Platts) futures and options contracts (the “Contracts”), effective Monday, October 16, 2017 as set forth in Appendix A, attached under separate cover.

There is no open interest in the Contracts. The Contracts will be delisted from the CME Globex electronic trading platform and CME ClearPort, the venues on which they were listed.

Appendix B (attached under separate cover) provides amendments to the applicable NYMEX rulebook chapters in blackline format.

The product rule chapters and terms and conditions contained in the Position Limit, Position Accountability and Reportable Level Table (the “Table”) located in the Interpretations and Special Notices Section of Chapter 5 (“Trading Qualifications and Practices”) of the NYMEX Rulebook were removed (See Appendix C: attached under separate cover).

As a result of the delisting, the Exchange must simultaneously amend the aggregation allocations of remaining natural gas (“Platts”) futures and options contracts. Specifically, each affected remaining contract will aggregate into itself. A copy of the amended Table to reflect the resulting aggregation amendments is attached under separate cover, in blackline format, as Appendix D.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the following Core Principles may be impacted by this initiative as follows:

- **Emergency Authority**: There is no open interest in the Contracts, and therefore there will be no market disruption related to their delisting.
- **Availability of General Information**: The Exchange will make publicly available the details of the delisting by publishing a Special Executive Report (“SER”) to the marketplace. The SER will be available on CME Group’s website.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the delisting of the Contracts complies with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Appendix A – List of Delisted NYMEX Natural Gas (Platts) Futures and Options Contracts (attached under separate cover)

Appendix B- Amendments to NYMEX Rulebook Chapters (blackline format) (attached under separate cover)

Appendix C- Position Limit, Position Accountability, and Reportable Level Table in Chapter 5 of the NYMEX Rulebook (blackline format) (attached under separate cover) (Delisted Contracts)

Appendix D- Position Limit, Position Accountability, and Reportable Level Table in Chapter 5 of the NYMEX Rulebook (blackline format) (attached under separate cover) (Aggregation Amendments Resulting from Delisted Contracts)

**Appendix A**

**List of Delisted NYMEX Natural Gas (Platts) Futures and Options Contracts**

(attached under separate cover)

**Appendix B**

**Amendments to NYMEX Rulebook Chapters**

(deletions ~~struck through~~)

(attached under separate cover)

**Appendix C**

**Position Limit, Position Accountability, and Reportable Level Table in  
Chapter 5 of the NYMEX Rulebook  
(Delisted Contracts)**

(deletions ~~struck through~~)

(attached under separate cover)

**Appendix D**

**Position Limit, Position Accountability, and Reportable Level Table in  
Chapter 5 of the NYMEX Rulebook  
(Aggregation Amendments Resulting from Delisted Contracts)**

(additions underscoring; deletions ~~struck through~~)

(attached under separate cover)