SUBMISSION COVER SHEET				
IMPORTANT: Check box if Confidential Treatment is requested  Registered Entity Identifier Code (optional): 19-396				
Organization: Commodity Exchange, Inc. ("COMEX")				
Filing as a:	DCM SEF DCO SD	R		
Please note - only ONE choice allowed.				
_	am/dd/yy): 10/22/19 Filing Description: Weekly Not	-		
SPECIFY FILI	oduct Terms and Conditions (Week of October 14, 20)	<u>19)</u>		
-	ONE choice allowed per Submission.			
•	ules and Rule Amendments			
	Certification	§ 40.6(a)		
	Approval	§ 40.5(a)		
	Notification	§ 40.6(d)		
	Advance Notice of SIDCO Rule Change	§ 40.10(a)		
	SIDCO Emergency Rule Change	§ 40.10(h)		
Rule N	umbers:			
<b>New Product</b>	Please note only ONE product p	er Submission.		
	Certification	§ 40.2(a)		
	Certification Security Futures	§ 41.23(a)		
	Certification Swap Class	§ 40.2(d)		
	Approval	§ 40.3(a)		
	Approval Security Futures	§ 41.23(b)		
	Novel Derivative Product Notification	§ 40.12(a)		
	Swap Submission	§ 39.5		
	l Product Name: and Conditions (product related Rules and Rule Amendm	ents)		
	Certification	§ 40.6(a)		
	Certification Made Available to Trade Determination	§ 40.6(a)		
	Certification Security Futures	§ 41.24(a)		
	Delisting (No Open Interest)	§ 40.6(a)		
	Approval	§ 40.5(a)		
	Approval Made Available to Trade Determination	§ 40.5(a)		
	Approval Security Futures	§ 41.24(c)		
	Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)		
	"Non-Material Agricultural Rule Change"	§ 40.4(b)(5)		
$\overline{\boxtimes}$	Notification	§ 40.6(d)		
Official Name(s) of Product(s) Affected: See filing.				
Rule Numbers: See filing.				



October 22, 2019

#### **VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. ("COMEX" or

"Exchange") Weekly Notification of Amendments Related to Product Terms and

Conditions.

**COMEX Submission No. 19-396** 

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of October 14, 2019.

Effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, the Exchange amended the contract titles of the "E-Micro Gold Futures" and "1,000 oz. Silver Futures" contracts to "Micro Gold Futures" and "Micro Silver Futures," respectively. The marketplace was alerted of these changes via Special Executive Report ("SER") #8425, which is attached hereto as Exhibit A.

Also, effective October 14, 2019, the Exchange approved the application of B. Pacorini S.p.A. dba PGS for regularity for the storage of aluminum, lead, and zinc deliverable against the respective COMEX Aluminum, Lead, and Zinc futures contracts at their location in Port Klang, Malaysia (PGS KL19). The marketplace was alerted of the approval via MKR #10-14-19, which is attached hereto as Exhibit B.

Also, effective October 14, 2019, the Exchange approved the application of C. Steinweg – Handelsveem B.V. for regularity for the storage of lead and zinc deliverable against the respective COMEX Lead and Zinc futures contracts at their location in Singapore, Republic of Singapore (Unit W8B). The marketplace was alerted of the approvals via MKR #10-14-19B, which is attached hereto as Exhibit C.

Also, effective October 15, 2019, the Exchange approved the request of Access World Logistics (Singapore) Pte Ltd that its facility located in Port Klang, Malaysia be withdrawn from its status as an approved COMEX Aluminum, Lead, and Zinc warehouse. This location will no longer be available for the storage of COMEX Aluminum, Lead, and Zinc deliverable against the respective futures contracts. The marketplace was alerted of the withdrawal via MKR# 10-15-19D, which is attached hereto as Exhibit D.

In accordance with the changes above, the Exchange updated the COMEX Rulebook Chapter 7 "Service Providers" Chart ("Chart") for "Base Warehouses Weighmasters." The Chart is attached under separate cover, in blackline format, as Exhibit E.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at <a href="mailto:CMEGSubmissionInquiry@cmegroup.com">CMEGSubmissionInquiry@cmegroup.com</a>.

Sincerely,

/s/ Christopher Bowen

Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – SER #8425

Exhibit B – MKR# 10-14-19 Exhibit C – MKR# 10-14-19B Exhibit D – MKR# 10-15-19D

Exhibit E – COMEX Rulebook Chapter 7 "Base Warehouses Weighmasters" (attached

under separate cover) (blackline format)

#### **Exhibit A**



## Special Executive Report

DATE: September 17, 2019

SER#: 8425

SUBJECT: Amendments to the Contract Titles of the E-micro Gold, 1,000-oz. Silver, and E-

micro Palladium Futures Contracts and Certain Related Exchange Fees

Effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, New York Mercantile Exchange, Inc. ("NYMEX") and Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") will amend the contract titles of one (1) NYMEX and two (2) COMEX futures contracts (the "Contracts") as detailed below:

Exchange/ Rulebook Chapter	Current Contract Title	Amended Contract Title	Commodity Code
NYMEX / 118	E-micro Palladium Futures	Micro Palladium Futures	PAM
COMEX / 120	E-micro Gold Futures	Micro Gold Futures	MGC
COMEX / 121	1,000 oz. Silver Futures	Micro Silver Futures	SIL

The amended titles of the Contracts will align the naming convention with the Exchanges' other metals contracts.

It is important to note that these amendments are administrative in nature will in no manner impact the value nor the size of the Contracts. The amended contract titles will be reflected in all relevant places on the CME Group website.

In an effort to align Exchange fees for all metals products, also effective October 14, 2019, COMEX will increase the non-member Exchange fees for the MGC and SIL contracts from \$0.20 to \$0.30 and \$0.55 to \$0.60, respectively. In addition, COMEX will decrease the SIL fees from \$0.50 to \$0.30 in connection with the IIP and IVIP programs.

The Commodity Futures Trading Commission ("CFTC") will be notified of these amendments during the week of October 21, 2019, via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

Joann Arena <u>joann.arena@cmegroup.com</u> +1 212.299.2356
Patrick O'Donnell <u>Patrick.ODonnell@cmegroup.com</u> +44 20 3379 3504

## **Exhibit B**

**DATE:** October 14, 2019

MKR#: 10-14-19

SUBJECT: Regularity Approval for Aluminum, Lead, and Zinc

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **B. Pacorini S.p.A. dba PGS** for regularity for the storage of aluminum, lead, and zinc deliverable against the respective COMEX Aluminum, Lead, and Zinc futures contracts at their location in Port Klang, Malaysia (PGS KL19).

The approved cumulative indoor and outdoor capacity for aluminum at this location is 10,000 metric tons. The approved indoor capacity for lead and zinc at this location is 5,000 metric tons.

The primary conveyance designated by the Exchange for aluminum, lead, and zinc shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b., contingent on any pending conveyance orders submitted prior to the primary conveyance order.

At all times, the regular facility shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders, on a first come first served basis.

The rates that have been approved by the Exchange to be published on behalf of B. Pacorini S.p.A. dba PGS for aluminum, lead, and zinc are as follows:

Monthly storage charge per metric ton	\$15.50
Minimum storage charge per month for less than one lot (partial lots)	\$115.00
Storage – outdoor per metric ton per month or part thereof (*COMEX Aluminum Only*)	\$15.50
Inbound handling per metric ton (truck & rail)	\$7.50
Outbound handling per metric ton (FOT)	\$45.00
Outbound handling per metric ton (FOR)	\$49.00
Outbound handling to container per metric ton (FIC)	\$53.00
Outbound handling to flatbed per metric ton (FIF)	\$45.00

Weighing charges per metric ton	\$8.00
Facility receipt (issue & replacement)	\$75.00
Bill of lading	\$25.00
Blocking and bracing per metric ton (truck)	\$3.00
Blocking and bracing per metric ton (rail)	\$5.00
Blocking and bracing per metric ton (container)	\$8.00
Additional labor per man hour	\$75.00
Restocking fee per metric ton for cancelled/changed orders	\$10.00
Cancellation charge per shipment cancelled	\$25.00

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of October 21, 2019 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

## **Market Surveillance:**

Terry Gehring <u>Terry.Gehring@cmegroup.com</u> (312) 435-3644

## **Exhibit C**

**DATE:** October 14, 2019

MKR#: 10-14-19B

SUBJECT: Regularity Approval for Lead and Zinc

The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **C. Steinweg – Handelsveem B.V.** for regularity for the storage of lead and zinc deliverable against the respective COMEX Lead and Zinc futures contracts at their location in Singapore, Republic of Singapore (Unit W8B).

The approved capacity for lead and zinc at this location is 3,000 metric tons.

The primary conveyance designated by the Exchange shall be truck. Primary conveyance shall be subject to the minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b., contingent on any pending conveyance orders submitted prior to the primary conveyance order.

At all times, the regular facility shall be required to meet a cumulative minimum guaranteed daily load out rate as prescribed in NYMEX Chapter 7, Rule 703.B.3.b. for all pending load out orders, on a first come first served basis.

The rates that have been approved by the Exchange to be published on behalf of C. Steinweg – Handelsveem B.V. for lead and zinc are as follows:

Monthly storage charge per metric ton	\$15.50
Minimum storage charge per month for less than one lot (partial lots)	\$115.00
Inbound handling per metric ton (truck & rail)	Inbound handling to be billed to cargo owner
Outbound handling per metric ton (FOT)	\$50.00
Outbound handling per metric ton (FOR)	
Outbound handling to container per metric ton (FIC)	
Outbound handling to flatbed per metric ton (FIF)	
Weighing charges per metric ton	\$8.00
Facility receipt (issue & replacement)	\$3.00 per metric ton (issue) \$10.00 per metric ton (re-warrant)

Bill of lading	On Request
Blocking and bracing per metric ton (truck)	
Blocking and bracing per metric ton (rail)	
Blocking and bracing per metric ton (container)	On Request
Additional labor per man hour	\$85.00
Restocking fee per metric ton for cancelled/changed orders	On Request
Cancellation charge per shipment cancelled	On Request

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of October 21, 2019 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

#### **Market Surveillance:**

Terry Gehring <u>Terry.Gehring@cmegroup.com</u> (312) 435-3644

## **Exhibit D**

**DATE:** October 15, 2019

MKR#: 10-15-19D

SUBJECT: Withdrawal of an Approved COMEX Aluminum, Lead, and Zinc Warehouse

Please be advised that **Access World Logistics (Singapore) Pte Ltd**, an existing Warehouse for the storage of COMEX Aluminum, Lead, and Zinc, has requested from the Exchange that its facility located in Port Klang, Malaysia be withdrawn from its status as an approved COMEX Aluminum, Lead, and Zinc warehouse. This location will no longer be available for the storage of COMEX Aluminum, Lead, and Zinc deliverable against the respective futures contracts.

The withdrawal of regularity is effective immediately.

Please refer questions on this subject to:

#### **Market Surveillance**

Terry Gehring <u>Terry.Gehring@cmegroup.com</u> (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this withdrawal in regularity during the week of October 21, 2019 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

# Exhibit E

## COMEX Rulebook Chapter 7 Service Providers Chart

(additions <u>underlined</u>, deletions <del>overstruck</del>)

(attached under separate cover)