

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 19-395

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 10/22/19 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of October 14, 2019)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

October 22, 2019

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc.  
("NYMEX" or "Exchange") Weekly Notification of Amendments Related to Product  
Terms and Conditions.  
NYMEX Submission No. 19-395**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of October 14, 2019.

Effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, the Exchange amended the contract title of the "E-Micro Palladium Futures" contract to "Micro Palladium Futures". The marketplace was alerted of this change via Special Executive Report ("SER") #8425, which is attached hereto as Exhibit A.

Also, effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, the Exchange amended the NYMEX Rule 300.20. ("Strike Price Listing and Exercise Procedures") table to expand the strike price listing schedule of the U.S. Midwest Hot-Rolled Coil Steel (CRU) Index Average Price Option Contract. The marketplace was alerted of this change via SER #8543, which is attached hereto as Exhibit B.

Additionally, on Monday, October 14, 2019, the Exchange initially listed an LNG futures contract (U.S. LNG Export Futures) for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort (See NYMEX Submission 19-001 dated September 27, 2019). The Exchange amended the title of the contract to Gulf Coast LNG Export Futures effective upon trade date October 14, 2019. No other changes were made to the new contract.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – SER #8425  
Exhibit B – SER #8543

## Exhibit A



### Special Executive Report

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**DATE:** September 17, 2019

**SER#:** 8425

**SUBJECT:** Amendments to the Contract Titles of the E-micro Gold, 1,000-oz. Silver, and E-micro Palladium Futures Contracts and Certain Related Exchange Fees

Effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, New York Mercantile Exchange, Inc. ("NYMEX") and Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") will amend the contract titles of one (1) NYMEX and two (2) COMEX futures contracts (the "Contracts") as detailed below:

<b>Exchange/ Rulebook Chapter</b>	<b>Current Contract Title</b>	<b>Amended Contract Title</b>	<b>Commodity Code</b>
NYMEX / 118	E-micro Palladium Futures	Micro Palladium Futures	PAM
COMEX / 120	E-micro Gold Futures	Micro Gold Futures	MGC
COMEX / 121	1,000 oz. Silver Futures	Micro Silver Futures	SIL

The amended titles of the Contracts will align the naming convention with the Exchanges' other metals contracts.

It is important to note that these amendments are administrative in nature will in no manner impact the value nor the size of the Contracts. The amended contract titles will be reflected in all relevant places on the CME Group website.

In an effort to align Exchange fees for all metals products, also effective October 14, 2019, COMEX will increase the non-member Exchange fees for the MGC and SIL contracts from \$0.20 to \$0.30 and \$0.55 to \$0.60, respectively. In addition, COMEX will decrease the SIL fees from \$0.50 to \$0.30 in connection with the IIP and IVIP programs.

The Commodity Futures Trading Commission ("CFTC") will be notified of these amendments during the week of October 21, 2019, via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

Joann Arena  
Patrick O'Donnell

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[Patrick.ODonnell@cmegroup.com](mailto:Patrick.ODonnell@cmegroup.com)

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## Exhibit B



### Special Executive Report

**DATE:** September 26, 2019

**SER#:** 8453

**SUBJECT:** Expansion of the Listing Schedule and the Strike Price Listing Schedule of the U.S. Midwest Hot-Rolled Coil Steel (CRU) Index Average Price Option Contract

Effective Sunday, October 13, 2019 for trade date Monday, October 14, 2019, and pending all relevant CFTC regulatory review periods, New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") will expand the listing schedule of the U.S. Midwest Hot-Rolled Coil Steel (CRU) Index Average Price Option (Rulebook Chapter: 1095; Commodity Code: HRO) contract (the "Contract") for trading on the CME Globex electronic trading platform and for submission of clearing via CME ClearPort as more specifically described in the table below.

<b>Product Title</b>	<b>Commodity Code</b>	<b>Rulebook Chapter</b>	<b>Current Contract Month Listing Schedule</b>	<b>Expanded Contract Month Listing Schedule as of trade date October 14, 2019</b>
U.S. Midwest Hot-Rolled Coil Steel (CRU) Index Average Price Option	HRO	1095	Monthly contracts listed for 24 consecutive months. A new contract month is listed the business day following the nearest expiry	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.

Also effective on trade date Monday, October 14, 2019, the Exchange will amend the NYMEX Rule 300.20. ("Strike Price Listing and Exercise Procedures") table to expand the strike price listing schedule of the Contract as more specifically described in the table below.

Product Title	Commodity Code	Rulebook Chapter	Current Strike Price Listing Schedule	Expanded Strike Price Listing Schedule as of trade date October 14, 2019
U.S. Midwest Hot-Rolled Coil Steel (CRU) Index Average Price Option	HRO	1095	Minimum 3 strikes at \$5.00 per ton strike increment above and below the at-the-money strike. Dynamic strikes at \$5.00 per ton strike increment above and below the highest and lowest pre-listed strikes.	Minimum 30 strikes at \$5.00 per ton strike increment above and below the at-the-money strike for the first twenty-four listed contract months. Dynamic strikes at \$5.00 per ton strike increment above and below the highest and lowest pre-listed strikes. For months 24+, dynamic strikes at \$5.00 per ton strike increment.

The Commodity Futures Trading Commission (“CFTC”) will be notified of the expansion of the strike price listing schedule of the Contract during the week of October 21, 2019 via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

Joann Arena  
Albert Ng

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[albert.ng@cmegroup.com](mailto:albert.ng@cmegroup.com)

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