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October 26, 2020

## **VIA CFTC PORTAL**

Commodity Futures Trading Commission Attention: Chris Kirkpatrick, Secretary Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Notification of Delisting (25) Nodal Exchange Environmental Futures Contracts

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC ("Nodal Exchange" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is delisting 25 environmental futures contracts. Accordingly, the Exchange is self-certifying amendments to Appendix A (Contract Specifications) and Appendix C of the Nodal Exchange Rulebook pursuant to Commission Regulation 40.6(a). The amendments to Appendices A and C are attached hereto, indicating the specific contracts being delisted by the Exchange. The 25 contracts were delisted on October 26, 2020.

The Exchange is delisting 25 dormant contracts. There is no open interest on these contracts.

MA REC Class 1 V17 Future
MA SREC Carve Out II V17 Future
MD REC Tier 1 V17 Future
MD REC Tier 1 V18 Future
MD SREC V17 Future
MD SREC V18 Future
MA SREC V17 Future
NJ REC Class 1 V17 Future
NJ REC Class 1 V18 Future
CSAPR TR NOx Annual V18 Future
NP Dual-Qual REC Class 1 V17 Future
NI SREC V17 Future

NJ SREC V18 Future
CSAPR NOX Ozone Szn Grp2 V18 Future
PA AEC Tier 1 V17 Future
PA AEC Tier 1 V18 Future
PJM Tri-Qual REC Class 1 V17 Future
PJM Tri-Qual REC Class 1 V18 Future
PA SAEC V17 Future
PA SAEC V18 Future
CT REC Class 1 V17 Future
CSAPR TR SO2 Group 1 V18 Future
CSAPR TR SO2 Group 2 V18 Future
NJ REC Class 2 V20 Future
TX Green-e REC V18 Back Half

Exchange management has assessed the amendments to Appendices A and C, and their compliance with applicable provisions of the Commodity Exchange Act ("Act"), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the delisting of the 25 dormant contacts indicated by amendments to Appendices A and C may affect the following Core Principles as follows:

<u>Core Principle 6 – Emergency Authority</u>: There is no open interest in these contracts, and therefore there will be no market disruption related to their delisting.

<u>Core Principle 7 – Availability of General Information</u>: The Exchange is posting on its website (<u>www.nodalexchange.com</u>) the delisting of the contracts, including the contract specifications for the delisted contracts. Upon delisting, the terms and conditions of these contracts will no longer be available on the Nodal Exchange Rulebook Appendix A – Contract Specifications.

Pursuant to Section 5c(c) of the Act and the Commission's Regulation 40.6, the Exchange certifies that the delisting of these contracts complies with the Act and the Commission's Regulations thereunder. There have not been any opposing views expressed regarding these amendments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at <a href="https://www.nodalexchange.com">www.nodalexchange.com</a>.

If you have any questions or need additional information regarding this submission, please contact me at 703-962-9853 or <a href="marketic@nodalexchange.com">marketic@nodalexchange.com</a>.

Sincerely,

/s/ Max Markotic

**Director of Compliance** 

## Attachments:

Nodal Exchange Rulebook Appendix A Delisted Contracts Nodal Exchange Rulebook Appendix C Delisted Contracts