

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 16-402 (2 of 2)

**Organization:** Commodity Exchange, Inc. ("COMEX")

**Filing as a:**  DCM  SEF  DCO  SDR

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 10/27/16 **Filing Description:** Amendments to NYMEX Coal, Natural Gas, and NYMEX and COMEX Metals Option Contracts

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** See filing.

**Rule Numbers:** See filing.

October 27, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
 Office of the Secretariat  
 Commodity Futures Trading Commission  
 Three Lafayette Centre  
 1155 21st Street, N.W.  
 Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to NYMEX Coal, NYMEX Natural Gas, and NYMEX and COMEX Metals Option Contracts.  
 COMEX Submission No. 16-402 (2 of 2)**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) are notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that they are self-certifying amendments to the rules relating to the NYMEX Coal, Natural Gas, and NYMEX and COMEX Metals option contracts (the “Contracts”) effective on Sunday, November 13, 2016 for trade date Monday, November 14, 2016.

The amendments will: (1) amend the strike price listing rules and direct that the information regarding Exchanges strike prices be consolidated and housed in the Strike Price Listing Procedures Table (“Table”) located in NYMEX/COMEX Rule 300.20 (“Strike Price Listing Procedures”) in NYMEX/COMEX Chapter 300 (“Options Contracts”); (2) align the CME Globex listing schedule with the trading floor and CME ClearPort for the NYMEX products (see table below); and (3) provide administrative, non-substantive changes to the Contracts.

<b>Clearing Code</b>	<b>CME Globex Code</b>	<b>Contract Title</b>	<b>Rulebook Chapter</b>	<b>Current CME Globex Listing Schedule</b>	<b>Amended CME Globex Listing Schedule Effective November 14, 2016</b>
MTO	MTO	Coal (API 2) CIF ARA (Argus/McCloskey) Option	927	1 month	Monthly contracts listed for the current year and the next 4 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in

Clearing Code	CME Globex Code	Contract Title	Rulebook Chapter	Current CME Globex Listing Schedule	Amended CME Globex Listing Schedule Effective November 14, 2016
					the December contract of the current year.
CQA	CQA	Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Quarterly Futures Strip	909	1 month	Quarterly contracts listed for 10 consecutive quarters.
CLA	CLB	Coal (API 2) cif ARA (ARGUS-McCloskey) Option on Calendar Futures Strip	910	1 month	Annual contracts listed for 2 consecutive years.
MFO	MFO	Coal (API 4) FOB Richards Bay (Argus/McCloskey) Option	929	1 month	Monthly contracts listed for the current year and the next 4 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
RQA	RQA	Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Quarterly Futures Strip	917	1 month	Quarterly contracts listed for 10 consecutive quarters.
RLA	RLA	Coal (API 4) fob Richards Bay (ARGUS-McCloskey) Option on Calendar Futures Strip	918	1 month	Annual contracts listed for 2 consecutive years.
CPF	CPF	CSX Coal (Platts OTC Broker Index) Option on Quarterly Futures Strip	1079	1 month	Quarterly contracts listed for 4 consecutive years.
RPF	RPF	Powder River Basin Coal (Platts OTC Broker Index) Option on Quarterly Futures Strip	1080	1 month	Quarterly contracts listed for 4 consecutive years.
PAO	PAO	Palladium Option	119	3 months	Monthly contracts listed for 3 consecutive months plus the next 3 contracts in the March, June, September, December cycle.
PO	PO	Platinum Option	360	3 months	Monthly contracts listed for 3 consecutive months plus the next 3 contracts in the January, April, July, October cycle.

<b>Clearing Code</b>	<b>CME Globex Code</b>	<b>Contract Title</b>	<b>Rulebook Chapter</b>	<b>Current CME Globex Listing Schedule</b>	<b>Amended CME Globex Listing Schedule Effective November 14, 2016</b>
4D	A4D	Natural Gas Option on Summer Futures Strip	352	1 month	Seasonal contracts listed for 5 consecutive years.
4W	A4W	MichCon Natural Gas (Platts IFERC) Basis Option	619B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5D	5D	Columbia Gas TCO (Platts IFERC) Basis Option	622B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5E	5E	Henry Hub Natural Gas (Platts IFERC) Basis Option	509B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5F	5F	Houston Ship Channel Natural Gas (Platts IFERC) Basis Option	518B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5G	5G	NGPL Mid-Con Natural Gas (Platts IFERC) Basis Option	629B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5H	5H	NGPL TexOk Natural Gas (Platts IFERC) Basis Option	625B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in

<b>Clearing Code</b>	<b>CME Globex Code</b>	<b>Contract Title</b>	<b>Rulebook Chapter</b>	<b>Current CME Globex Listing Schedule</b>	<b>Amended CME Globex Listing Schedule Effective November 14, 2016</b>
					the December contract of the current year.
5I	5I	Rockies Natural Gas (Platts IFERC) Basis Option	524B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5K	A5K	Panhandle Natural Gas (Platts IFERC) Basis Option	525B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5M	A5M	SoCal Natural Gas (Platts IFERC) Basis Option	520B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5N	A5N	Texas Eastern Zone M-3 Natural Gas (Platts IFERC) Basis Option	621B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
5O	A5O	Waha Natural Gas (Platts IFERC) Basis Option	634B	1 month	Monthly contracts listed for the current year and the next 3 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
6I	A6I	Natural Gas Option on Winter Futures Strip	353	1 month	Seasonal contracts listed for 5 consecutive years.
6J	A6J	Natural Gas Option on Calendar Futures Strip	351	1 month	Annual contracts listed for 5 consecutive years.

<b>Clearing Code</b>	<b>CME Globex Code</b>	<b>Contract Title</b>	<b>Rulebook Chapter</b>	<b>Current CME Globex Listing Schedule</b>	<b>Amended CME Globex Listing Schedule Effective November 14, 2016</b>
CFP	CFP	Columbia Gas TCO Natural Gas (Platts IFERC) Pipe Option	759	1 month	Monthly contracts listed for 36 consecutive months.
DPP	DPP	Dominion, South Point Natural Gas (Platts IFERC) Pipe Option	760	1 month	Monthly contracts listed for 36 consecutive months.
E7	AE7	Henry Hub Financial Last Day Option Contract	375	1 month	Monthly contracts listed for the current year and the next 5 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
G2	AG2	Henry Hub Natural Gas Financial Calendar Spread Option	399	1 month	Monthly contracts listed for 12 consecutive months plus an additional January contract.
G3	G3B	Henry Hub Natural Gas Financial Calendar Spread Option	399	1 month	Monthly contracts listed for 12 consecutive months plus an additional January and October contract.
G5	AG5	Henry Hub Natural Gas Financial Calendar Spread Option	399	1 month	Monthly contracts listed for 12 consecutive months.
G7	AG7	Henry Hub Natural Gas Financial Calendar Spread Option	399	1 month	Monthly contracts listed for 12 consecutive months.
IA	IAY	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 60 consecutive months.
IB	IB	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 12 consecutive months.
IC	AIC	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 12 consecutive months.
IE	IE	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 12 consecutive months.
IM	IM	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 12 consecutive months.
IZ	IZ	Henry Hub Natural Gas Calendar Spread Option	391	1 month	Monthly contracts listed for 60 consecutive months.

Clearing Code	CME Globex Code	Contract Title	Rulebook Chapter	Current CME Globex Listing Schedule	Amended CME Globex Listing Schedule Effective November 14, 2016
LN	LNE	Henry Hub Natural Gas European Financial Option	560	36 months	Monthly contracts listed for the current year and the next 12 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
ON	ON	Henry Hub Natural Gas Option	370	Monthly contracts listed for 24 consecutive months.	Monthly contracts listed for the current year and the next 12 calendar years. Monthly contracts for a new calendar year will be added following the termination of trading in the December contract of the current year.
PJ	APJ	San Juan Natural Gas (Platts IFERC) "Pipe" Option	519A	1 month	Monthly contracts listed for 72 consecutive months.
PK	APK	Houston Ship Channel Natural Gas (Platts IFERC) "Pipe" Option	518A	1 month	Monthly contracts listed for 72 consecutive months.
PU	APU	Panhandle Natural Gas (Platts IFERC) "Pipe" Option	525A	1 month	Monthly contracts listed for 72 consecutive months.
TEP	TEP	Texas Eastern Zone M-3 Natural Gas (Platts IFERC) Pipe Option	761	1 month	Monthly contracts listed for 36 consecutive months.
TZ	TZ	Transco Zone 6 Natural Gas (Platts IFERC) "Pipe" Option	521A	1 month	Monthly contracts listed for 72 consecutive months.
ZN	AZN	SoCal Natural Gas (Platts IFERC) "Pipe" Option	520A	1 month	Monthly contracts listed for 72 consecutive months.
ZR	AZR	Rockies Natural Gas (Platts IFERC) "Pipe" Option	524A	1 month	Monthly contracts listed for 72 consecutive months.

The Exchanges reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("Act" or "CEA") and identified that the amendments may have some bearing on the following Core Principles:

Availability of General Information: The Exchanges will amend the NYMEX/COMEX Rulebook accordingly on the effective date. The Exchanges will make these amendments publically available on the CME Group website. In addition, the Exchanges will publish a Special Executive Report ("SER")  
[300 Vesey Street](http://300 Vesey Street) [New York, NY 10282](http://New York, NY 10282) [t 212 299 2200](http://t 212 299 2200) [f 212 299 2299](http://f 212 299 2299) [christopher.bowen@cmegroup.com](mailto:christopher.bowen@cmegroup.com) [cmegroup.com](http://cmegroup.com)

to advise the marketplace of these amendments. The SER will also be posted on the CME Group website.

Execution of Transactions: The amendments provide that the Contracts will have aligned listing schedules for CME Globex electronic trading platform, the trading floor and CME ClearPort.

Daily Publication of Trading Information: The Exchanges will continue to publish contract trading volumes, open interest levels, and price information daily on its website and through quote vendors for the Contracts.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchanges hereby certify that the amendments to the Contracts complies with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchanges certify that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Appendix A - Strike Price Listing Procedures Table ("Table") located  
In NYMEX/COMEX Chapter 300 ("Options Contracts")  
(attached under separate cover)  
Appendix B - NYMEX/COMEX Rulebook Chapter Amendments  
(blackline format) (attached under separate cover)



## **Appendix A**

**Strike Price Listing Procedures Table (“Table”) located in NYMEX/COMEX  
Chapter 300 (“Options Contracts”)**

(attached under separate cover)

**Appendix B**

**NYMEX/COMEX Rulebook Chapter Amendments**

(blackline format)

(attached under separate cover)