

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-445

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): November 1, 2016 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions (Week of October 24, 2016)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

November 1, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Amendments Related to Product Terms and Conditions.  
COMEX Submission No. 16-445**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of October 24, 2016.

On Friday, October 28, 2016, the Exchange approved Southwest Commodity Warehouse, Inc.’s withdrawal of approved warehouse status for Copper at its Tucson, AZ facility. The marketplace was alerted of the change via MKR# 10-28-16, which is attached hereto as Exhibit A.

On Friday, October 28, 2016, the Exchange approved the application of 4STOX NV for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their warehouse in Tucson, Arizona. The marketplace was alerted of the approval via MKR# 10-28-16B, which is attached hereto as Exhibit B.

In accordance with these changes, the Exchange updated the COMEX Rulebook Chapter 7 Charts for “Base Metals – Charges” and “Base Warehouses Weighmasters,” which are attached under separate cover as Exhibit C, with amendments blacklined.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – MKR# 10-28-16  
Exhibit B – MKR# 10-28-16B  
Exhibit C – COMEX Rulebook Chapter 7 Charts for “Base Metals – Charges” and “Base Warehouses Weighmasters” (blackline format) (attached under separate cover)

## EXHIBIT A

**DATE:** October 28, 2016  
**MKR#:** 10-28-16  
**SUBJECT:** NOTIFICATION OF THE DELISTING OF AN APPROVED COPPER WAREHOUSE

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Please be advised that **Southwest Commodity Warehouses, Inc.**, an existing Warehouse for the storage of Copper, has notified the Exchange that its facility located at 1702 S. Euclid Avenue in Tucson, AZ, is withdrawing its status as an Approved Warehouse. The warehouseman is no longer available for the storage of Copper deliverable against the Exchange's Copper Futures contract.

This location has been acquired by 4STOX NV who is assuming the responsibility of the copper currently stored with the Exchange.

This change is effective immediately.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring      [terry.gehring@cmegroup.com](mailto:terry.gehring@cmegroup.com)      (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this withdrawal in regularity during the week of October 31, 2016 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

## EXHIBIT B

**DATE:           October 28, 2016**

**MKR#:           10-28-16B**

**SUBJECT:   REGULARITY APPROVAL FOR COPPER**

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The Commodity Exchange, Inc. ("COMEX" or "Exchange") has received and approved the application of **4STOX NV** for regularity for the storage of copper deliverable against the COMEX Copper futures contract at their warehouse in Tucson, Arizona. The approved capacity at this warehouse is 32,000 short tons.

This location was previously Southwest Commodity Warehouse, LLC and 4STOX NV is assuming the responsibility of the copper currently stored with the Exchange. This warehouse will retain the 5904 warehouse code.

**4STOX NV** will implement new rates in connection with the storage and withdrawal of copper at its warehouse located in Tucson, AZ. The new rates, presented below, reflect the maximum amount of fees that can be charged.

In accordance with Exchange rules, the new rate schedule will become effective on February 1, 2017.

Monthly Storage Charge per Short ton	\$9.75
Handling inbound per short ton – truck	\$6.00
Handling inbound per short ton – rail	\$6.00
Handling outbound per short ton – truck	\$35.00
Handling outbound per short ton – rail	\$40.00
Bill of Lading	\$25.00
Preparation of Warehouse Receipt	\$75.00
Replacement of Warehouse Receipt	\$75.00
Insurance Premiums	\$0.00
Blocking and Bracing per short ton (Truck)	\$5.50
Blocking and Bracing per short ton (Rail)	\$7.50
Side Loading per short ton (Flatbeds)	\$4.00
Cancellation Charge per shipment cancelled	\$100.00
Additional Labor Rate per man hour	\$75.00
Weighing per short ton	\$5.00

Minimum storage charge per month for less than one lot	\$100.00
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Please refer questions on this subject to:

**Market Surveillance:**

Terry Gehring                      [Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)                      (312) 435-3644

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of October 31, 2016 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

**EXHIBIT C**

**COMEX Rulebook Chapter 7 Charts for  
“Base Metals – Charges” and “Base Warehouses Weighmasters”**

(deletions ~~overstruck~~, additions underlined)

(attached under separate cover)