# SUBMISSION COVER SHEET **IMPORTANT:** Check box if Confidential Treatment is requested Registered Entity Identifier Code (optional): 18-443 **Organization:** New York Mercantile Exchange, Inc. ("NYMEX") |X|DCM SEF DCO SDR Filing as a: Please note - only ONE choice allowed. Filing Date (mm/dd/yy): 10/31/2018 Filing Description: Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 22, 2018 **SPECIFY FILING TYPE** Please note only ONE choice allowed per Submission. **Organization Rules and Rule Amendments** Certification § 40.6(a) Approval § 40.5(a) Notification § 40.6(d) Advance Notice of SIDCO Rule Change § 40.10(a) SIDCO Emergency Rule Change § 40.10(h) **Rule Numbers: New Product** Please note only ONE product per Submission. Certification § 40.2(a) **Certification Security Futures** § 41.23(a) Certification Swap Class § 40.2(d) Approval § 40.3(a) **Approval Security Futures** § 41.23(b) Novel Derivative Product Notification § 40.12(a) § 39.5 **Swap Submission Product Terms and Conditions (product related Rules and Rule Amendments)** Certification § 40.6(a) Certification Made Available to Trade Determination § 40.6(a) **Certification Security Futures** § 41.24(a) Delisting (No Open Interest) § 40.6(a) Approval § 40.5(a) Approval Made Available to Trade Determination § 40.5(a) **Approval Security Futures** § 41.24(c) Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a) "Non-Material Agricultural Rule Change" § 40.4(b)(5) Notification § 40.6(d) Official Name(s) of Product(s) Affected: See filing. Rule Numbers: See filing.



October 31, 2018

# **VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, DC 20581

Re: CFTC Regulation 40.6(d) Notification. New York Mercantile Exchange, Inc.

("NYMEX" or Exchange") Weekly Notification of Amendments Related to Product

**Terms and Conditions.** 

**NYMEX Submission No. 18-443** 

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following amendments related to product terms and conditions made effective during the week of October 22, 2018.

Effective Tuesday, October 23, 2018, the Exchange implemented administrative amendments to the Termination of Trading rules of the Platinum Option contract and Palladium Option contract (the "Contracts") (collectively, the "Rule Amendments").

Pursuant to NYMEX Submission No. 16-308 date September 9, 2016, the rulebook chapters of the Contracts were to be harmonized with other Exchange option contract chapters. The submission inaccurately reflected the Termination of Trading of the Contracts. The revisions to the Termination of Trading rules were only intended to be stylistic in nature and no changes regarding substance or practice were intended to be made at that time. The Termination of Trading rules for the Contracts have continued in practice as reflected in blackline format in Exhibit A of this submission. This submission simply corrects the error in NYMEX Submission No. 16-308. It should be noted that the Termination of Trading rules have always been accurately reflected on the Contracts specification pages found on cmegroup.com. The Exchange corrected Chapters 360 and 119. The amendments are attached hereto as Exhibit A in blackline format.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <a href="http://www.cmegroup.com/market-regulation/rule-filings.html">http://www.cmegroup.com/market-regulation/rule-filings.html</a>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – Amendments to Rules 360101.E. and 119101.E.

# Exhibit A

# **NYMEX Rulebook**

(additions are underscored; deletions are struck through)

# Chapter 360

### **Platinum Option**

### 360101.E. TERMINATION OF TRADING

A Platinum option shall expire at the close of trading four business days prior to the end of the month preceding the option contract month; provided, however, that (1) if such day is a Friday, the expiration date shall be the preceding business day or (2) if such day is the day immediately prior to an Exchange holiday, the expiration date shall be the preceding business day. In the event that the official Exchange holiday schedule changes subsequent to the listing of a Platinum futures option, the originally listed expiration date shall remain in effect. In the event that the originally listed expiration day is declared a holiday, expiration will move to the business day immediately prior.

A Platinum option shall expire at the close of trading on the third Wednesday of the month preceding the option contract month; provided, however, that if such day is the day immediately prior to an Exchange holiday, the expiration date shall be the preceding business day. In the event that the official Exchange holiday schedule changes subsequent to the listing of a Platinum futures option, the originally listed expiration date shall remain in effect. In the event that the originally listed expiration day is declared a holiday, expiration will move to the business day immediately prior.

# Chapter 119

# **Palladium Option**

#### 119101.E. TERMINATION OF TRADING

A Palladium option shall expire at the close of trading four business days prior to the end of the month preceding the option contract month; provided, however, that (1) if such day is a Friday, the expiration date shall be the preceding business day or (2) if such day is the day immediately prior to an Exchange holiday, the expiration date shall be the preceding business day. In the event that the official Exchange holiday schedule changes subsequent to the listing of a Palladium futures option, the originally listed expiration date shall remain in effect. In the event that the originally listed expiration day is declared a holiday, expiration will move to the business day immediately prior.

A Palladium option shall expire at the close of trading on the third Wednesday of the month preceding the option contract month; provided, however, that if such day is the day immediately prior to an Exchange holiday, the expiration date shall be the preceding business day. In the event that the official Exchange holiday schedule changes subsequent to the listing of a Palladium futures option, the originally listed expiration date shall remain in effect. In the event that the originally listed expiration day is declared a holiday, expiration will move to the business day immediately prior.