

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-492

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 11/05/21 Filing Description: Amendments to Rule 646102.E. ("Termination of Trading") of the Lithium Hydroxide CIF CJK (Fastmarkets) Futures Contract and Temporary Suspension of Trading and Clearing of the Contract

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers:

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

November 5, 2021

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification. Amendments to Rule 646102.E. (“Termination of Trading”) of the Lithium Hydroxide CIF CJK (Fastmarkets) Futures Contract and Temporary Suspension of Trading and Clearing of the Contract. COMEX Submission No. 21-492

Dear Mr. Kirkpatrick:

Commodity Exchange, Inc. (“COMEX” or “Exchange”) is certifying to the Commodity Futures Trading Commission (“CFTC” or “Commission”) amendments to Rule 646102.E. (“Termination of Trading”) of the Lithium Hydroxide CIF CJK (Fastmarkets) Futures contract (Rulebook Chapter: 646, Commodity Code: LTH) (the “Contract”) such that trading and clearing of the Contract will terminate on the last business day of the contract month effective on trade date Monday, November 29, 2021. In addition, effective immediately, the Exchange will suspend trading and clearing of the Contract until Sunday, November 28, 2021 for trade date Monday, November 29, 2021 (collectively, the “Rule Amendments”). There is no open interest in the Contract.

Currently, termination of trading of the Contract occurs on the last Thursday of the contract month. Fastmarkets currently publishes cash market assessments in connection with the Contract on a weekly basis. Fastmarkets recently [announced](#) that effective December 1, 2021, they will publish cash market assessments in connection with the Contract on a daily basis. The Exchange is implementing the Rule Amendments to align the Contract with the Fastmarkets’ upcoming amendments. Specifically, the termination of trading will coincide with the last publication day of the applicable cash market assessment during the contract month.

Exhibit A provides amendments to Rule 646102.E. The Rule Amendments have no impact on deliverable supply or position limits.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the Rule Amendments may have some bearing on the following Core Principles:

- **Availability of General Information**: COMEX will issue a Special Executive Report (“SER”) to the marketplace regarding the Rule Amendments. The SER will also be posted on the CME Group website.

- **Daily Publication of Trading Information:** COMEX will continue to comply with this Core Principle by making public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contract which will be published daily on the Exchange's website.
- **Compliance with Rules:** The Exchange shall continue its normal practice to establish, monitor, and enforce compliance with the rules of the Contract.
- **Execution of Transactions:** The Exchange will continue its current practice of providing a competitive, open, and efficient market mechanism for executing transactions in the Contract, including the expansion of the listing schedule of the Contract.

Pursuant to Section 5c(c) of the Act and CFTC Regulations 40.6(a), the Exchange hereby certifies that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to the proposal.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – Amendments to COMEX Rulebook Chapter 646 (blackline format)

Exhibit A
COMEX Rulebook

(additions underscored; deletions ~~struck through~~)

Chapter 646
Lithium Hydroxide CIF CJK (Fastmarkets) Futures

646102. TRADING SPECIFICATIONS

646102.E. Termination of Trading

Trading in the contract shall terminate at the close of trading on the last Exchange business day of the contract month~~Thursday of the contract month. If such day is not an Exchange business day, trading in the contract shall terminate on the Exchange business day that is immediately prior.~~

[Remainder of Rule unchanged.]