

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-460 (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 11/29/16 Filing Description: Amendments to NYMEX/COMEX Chapter 7 ("Delivery Facilities and Procedures") to Expand the Location of Warehouses of Lead to Asia

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers: Chapter 7

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



November 29, 2016

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Rule Certification. Notification Regarding Amendments to NYMEX/COMEX Chapter 7 (“Delivery Facilities and Procedures”) to Expand the Location of Warehouses of Lead to Asia. NYMEX Submission No. 16-460 (1 of 2)

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) are notifying the Commodity Futures Trading Commission (“CFTC” or the “Commission”) that they are self-certifying amendments to NYMEX/COMEX Rulebook Chapter 7 (“Delivery Facilities and Procedures”). In anticipation of approving Asian-based warehouses, the Exchanges are amending Rule 703. (“Designation and Obligations of Metal Service Providers”) in order to expand the location for the storage and delivery of lead delivered against the COMEX Lead Futures contract to Asia effective on Thursday, December 14, 2016 and commencing with the January 2017 delivery contract month and beyond. Currently, lead delivered against the Lead Futures contract is deliverable in the Continental United States and the European Union.

NYMEX/COMEX Chapter 7, Rule 703. is set forth in Appendix A, with additions underscored and deletions overstruck.

The Exchanges reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act”) and identified that the amendments to NYMEX/COMEX Rulebook Chapter 7 may have some bearing on the following Core Principle:

Availability of General Information: In order to ensure that market participants are apprised of the amendment to NYMEX/COMEX Chapter 7, the Exchanges will issue a CME Group Market Surveillance Notice and a Registrar’s Office Notice to the marketplace notifying them of the implementation of this amendment in advance of the amendment becoming effective. The Market Surveillance Notice and the Registrar’s Office Notice will also be posted on the CME Group website.

The Exchanges certify that the amendments to NYMEX/COMEX Chapter 7 comply with the Act and regulations thereunder. There were no opposing views to the amendments.

The Exchanges certify that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Amendments to NYMEX/COMEX Rulebook Chapter 7 (blackline format)

APPENDIX A

NYMEX/COMEX Rulebook

Chapter 7

DELIVERY FACILITIES AND DELIVERY PROCEDURES

(additions underlined/deletions over struck)

703. DESIGNATION AND OBLIGATIONS OF METAL SERVICE PROVIDERS

703.A. Conditions for Approval

(13) Aluminum, copper and zinc warehouses must be located in the Continental United States. Lead warehouses can be located in the Continental United States, ~~and/or within~~ the European Union and/or Asia.

[remainder of rule unchanged]