

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-469

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 12/01/17 Filing Description: Expansion of the Listing Schedule for Twenty-Nine (29) Energy Options Contracts

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers:

New Product

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

Product Terms and Conditions (product related Rules and Rule Amendments)

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

December 1, 2017

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: CFTC Regulation 40.6(a) Certification. Notification Regarding the Expansion of Listing Schedule for Twenty-Nine (29) Energy Options Contracts NYMEX Submission No. 17-469

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying the expansion of the listing schedule for twenty-nine (29) energy options contracts (the “Contracts”) on the CME Globex electronic trading platform and for submission for clearing on CME ClearPort as set forth in the table below effective on Sunday December 17, 2017 for trade date Monday, December 18, 2017.

Contract Title	Rulebook Chapter	Clearing Code	CME Globex Code	Current Listing Schedule	Listing Schedule Effective December 18, 2017
Crude Oil Financial Calendar Spread Option (One Month)	397	7A	B7A	1-Month spreads listed for 60 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 5 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.
Crude Oil Financial Calendar Spread Option (Two Month)	397	7B	7B	2-Month spreads listed for 2 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.
Crude Oil Financial Calendar Spread Option (Three Month)	397	7C	7C	3-Month spreads listed for 1 consecutive month. List a new	3-Month spreads listed for 12 consecutive months. List a new 3-

				3-Month spread when the nearby expiry terminates.	Month spread when the nearby expiry terminates.
Crude Oil Financial Calendar Spread Option (Six Month)	397	7M	7M	6-Month spreads listed for 12 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.
Crude Oil Financial Calendar Spread Option (Twelve Month)	397	7Z	7Z	12-Month spreads listed for 13 consecutive months and the next 3 December spreads. List a new 12-Month spread when the nearby expiry terminates.	12-Month spreads listed for 13 consecutive months and the next 2 March, 2 June, 2 September and 2 December spreads. List a new 12-Month spread when the nearby expiry terminates.
Brent Crude Oil Last Day Financial Calendar Spread Option (One Month)	398	9C	9C	1-Month spreads listed for 12 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 5 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.
Brent Crude Oil Last Day Financial Calendar Spread Option (Two Month)	398	9B	9B	2-Month spreads listed for 2 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.
Brent Crude Oil Last Day Financial Calendar Spread Option (Three Month)	398	9D	9D	3-Month spreads listed for 1 consecutive month. List a new 3-Month spread when the nearby expiry terminates.	3-Month spreads listed for 12 consecutive months. List a new 3-Month spread when the nearby expiry terminates.
Brent Crude Oil Last Day Financial Calendar Spread Option (Six Month)	398	9L	9L	6-Month spreads listed for 2 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.

Brent Crude Oil Last Day Financial Calendar Spread Option (Twelve Month)	398	9Y	9Y	12-Month spreads listed for 1 consecutive month. List a new 12-Month spread when the nearby expiry terminates.	12-Month spreads listed for 13 consecutive months and the next 2 March, 2 June, 2 September and 2 December spreads. List a new 12-Month spread when the nearby expiry terminates.
Brent Calendar Spread Option (One Month)	394	AA	AA	1-Month spreads listed for 12 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 5 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.
Brent Calendar Spread Option (Two Month)	394	AB	AB	2-Month spreads listed for 2 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.
Brent Calendar Spread Option (Three Month)	394	AC	AC	3-Month spreads listed for 1 consecutive month. List a new 3-Month spread when the nearby expiry terminates.	3-Month spreads listed for 12 consecutive months. List a new 3-Month spread when the nearby expiry terminates.
Brent Calendar Spread Option (Six Month)	394	AM	AM	6-Month spreads listed for 2 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.
Brent Calendar Spread Option (Twelve Month)	394	AZ	AZ	12-Month spreads listed for 1 consecutive month. List a new 12-Month spread when the nearby expiry terminates.	12-Month spreads listed for 13 consecutive months and the next 2 March, 2 June, 2 September and 2 December spreads. List a new 12-Month spread when the nearby expiry terminates.

WTI Calendar Spread Option (One Month)	390	WA	WAY	1-Month spreads listed for 60 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 5 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.
WTI Calendar Spread Option (Two Month)	390	WB	WB	2-Month spreads listed for 3 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.
WTI Calendar Spread Option (Three Month)	390	WC	WC	3-Month spreads listed for 2 consecutive months. List a new 3-Month spread when the nearby expiry terminates.	3-Month spreads listed for 12 consecutive months. List a new 3-Month spread when the nearby expiry terminates.
WTI Calendar Spread Option (Six Month)	390	WM	AWM	6-Month spreads listed for 11 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.
WTI Calendar Spread Option (Twelve Month)	390	WZ	AWZ	12-Month spreads listed for 1 February, 4 months of the March, June, September cycle and 4 December months. List a new 12-Month spread when the nearby expiry terminates.	12-Month spreads listed for 13 consecutive months and the next 2 March, 2 June, 2 September and 2 December spreads. List a new 12-Month spread when the nearby expiry terminates.
NY Harbor ULSD Calendar Spread Option (One Month)	392	FA	FAY	1-Month spreads listed for 35 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 3 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.

NY Harbor ULSD Calendar Spread Option (Two Month)	392	FB	FB	2-Month spreads listed for 2 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.
NY Harbor ULSD Calendar Spread Option (Three Month)	392	FC	FC	3-Month spreads listed for 2 consecutive months. List a new 3-Month spread when the nearby expiry terminates.	3-Month spreads listed for 12 consecutive months. List a new 3-Month spread when the nearby expiry terminates.
NY Harbor ULSD Calendar Spread Option (Six Month)	392	FM	FM	6-Month spreads listed for 2 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.
NY Harbor ULSD Calendar Spread Option (Twelve Month)	392	FZ	AFZ	12-Month spreads listed for 1 consecutive month. List a new 12-Month spread when the nearby expiry terminates.	12-Month spreads listed for 13 consecutive months and the next 2 March, 2 June, 2 September and 2 December spreads. List a new 12-Month spread when the nearby expiry terminates.
RBOB Gasoline Calendar Spread Option (One Month)	388	ZA	ZAY	1-Month spreads listed for 35 consecutive months. List a new 1-Month spread when the nearby expiry terminates.	1-Month spreads listed for the current year and next 3 calendar years. List 1-Month spreads for a new calendar year following the termination of trading in the December spread of the current year.
RBOB Gasoline Calendar Spread Option (Two Month)	388	ZB	AZB	2-Month spreads listed for 10 consecutive months. List a new 2-Month spread when the nearby expiry terminates.	2-Month spreads listed for 12 consecutive months. List a new 2-Month spread when the nearby expiry terminates.

RBOB Gasoline Calendar Spread Option (Three Month)	388	ZC	AZC	3-Month spreads listed for 9 consecutive months. List a new 3-Month spread when the nearby expiry terminates.	3-Month spreads listed for 12 consecutive months. List a new 3-Month spread when the nearby expiry terminates.
RBOB Gasoline Calendar Spread Option (Six Month)	388	ZM	AZM	6-Month spreads listed for 6 consecutive months. List a new 6-Month spread when the nearby expiry terminates.	6-Month spreads listed for 13 consecutive months and the next 2 June and 2 December spreads. List a new 6-Month spread when the nearby expiry terminates.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act” or “CEA”) and identified that the amendments to the Contracts may have some bearing on the following Core Principles:

Availability of General Information: NYMEX will issue a Special Executive Report (“SER”) to the marketplace regarding these amendments. The SER will also be posted on the CME Group website.

Daily Publication of Trading Information: NYMEX will continue to comply with this Core Principle by making public daily information on settlement prices, volume, open interest, and opening and closing ranges for the Contracts. This will be accomplished by publishing this information on a daily basis on the Exchange’s website.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the amendments to the listing schedule of the Contracts comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please e-mail CMEGSubmissionInquiry@cmegroup.com or contact the undersigned at (212) 299-2200.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel