

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 17-509

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 12/1/17 **Filing Description:** Notification Regarding Amendments to the Floating Price Rule of the Alumina FOB Australia (Metal Bulletin) Futures Contract.

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.



December 1, 2017

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to the Floating Price Rule of the Alumina FOB Australia (Metal Bulletin) Futures Contract.
COMEX Submission No. 17-509**

Dear Mr. Kirkpatrick:

Commodity Exchange, Inc. ("COMEX" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying amendments to Rule 196101. ("Contract Specifications") of the Alumina FOB Australia (Metal Bulletin) Futures contract (commodity code ALB, rulebook chapter 196) ("the Contract"), effective on Sunday, December 17, 2017 for trade date Monday, December 18, 2017.

In addition, effective immediately and until Sunday, December 17, 2017 for trade date Monday, December 18, 2017, the Exchange will suspend trading and clearing of all contract months of the Contract. There is currently no open interest in Contract.

Metal Bulletin has advised that it is amending the frequency of publication of its Alumina FOB Australia price assessment from weekly to daily. As a result, the Exchange is revising the floating price rule of the Contract to reflect Metal Bulletin's amendment. The Contract is available for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort.

The rule amendments of the Contract appear in Exhibit A in blackline format.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA") and staff identified that the amendments to the Contract may have some bearing on the following Core Principles:

- **Contracts Not Readily Subject to Manipulation**: The Contract is based on a cash price series that is reflective of the underlying cash market and is commonly relied on and used as a reference price by cash market brokers and commercial market participants.
- **Trade Information**: The Exchange shall maintain rules and procedures to provide for the recording and safe storage of all identifying trade information in a manner that enables the contract market to use the information.
- **Execution of Transactions**: The Contract will continue to be listed for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort. CME Globex

provides for competitive and open execution of transactions. CME Globex affords the benefits of reliability and global connectivity.

- **Availability of General Information:** The Exchange will amend the COMEX Rulebook accordingly on the effective date, which is publicly available on the CME Group website, to reflect the changes that will be made to the rules pertaining to the Contract. In addition, the Exchange will inform the marketplace of the amendments by issuing a Special Executive Report (“SER”). The SER will also be posted on the Exchange’s website. The contract specification pages and the Contract rulebook chapter on the CME Group website will include information regarding the rule amendments until the effective date.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that amendments to the Contract comply with the Act, including regulations under the Act. There were no substantive opposing views to the amendments.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or e-mail CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A: Amendments to COMEX Rule 196101. (blackline format)

Exhibit A

COMEX Rulebook

(additions underscored; deletions ~~struck through~~)

Chapter 196

Alumina FOB Australia (Metal Bulletin) Futures

196101. CONTRACT SPECIFICATIONS

The Floating Price for each contract month shall be equal to the arithmetic average calculated to two decimal places of all available price assessments ~~each available weekly price index~~ published for Alumina fob Australia US\$/dry metric tonne ~~for~~ in that given calendar month by Metal Bulletin.