

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 18-474

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): December 10, 2018 **Filing Description:** Amendments to CBOT Chapter 7 ("Delivery Facilities and Procedures") in Connection with Minimum Financial Requirements for Agricultural Regularity

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers:

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input checked="" type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: See filing.

Rule Numbers: See filing.

December 10, 2018

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: CFTC Regulation 40.4(b)(5). Non-Material Agricultural Rule Amendments to CBOT Chapter 7 (“Delivery Facilities and Procedures”) in Connection with Minimum Financial Requirements for Agricultural Regularity. CBOT Submission No. 18-474

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.4(b)(5), The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) is notifying the CFTC that it is self-certifying the following non-material agricultural rule amendments to CBOT Chapter 7 (“Delivery Facilities and Procedures”) in connection with minimum financial requirements for agricultural regularity effective on December 26, 2018.

- (1) Chapter 7, Rule 708 “Minimum Financial Requirements for Agricultural Regularity”. Incorporate into Rule 708.2 (“Net Worth”) an amendment to restrict rough rice facilities from issuing new shipping certificates if, at the time of issuance, the total value of all registered shipping certificates and the new shipping certificates exceeds 100% of the facility’s net worth. Rough Rice facilities wishing to issue shipping certificates greater than net worth must deposit U.S. Treasury securities or other collateral deemed acceptable to the Exchange. Net worth requirements for all other CBOT agriculture and ethanol products will remain unchanged. This amendment is intended to minimize the financial risk associated with Exchange approved rough rice facilities. The Exchange considers this change non-material as there is no material impact to the terms or conditions as defined under CFTC Regulation 40.1(j).
- (2) Administrative amendments to CBOT Rulebook Chapter 7 to delete obsolete references to the delisted EU Wheat futures contract.

The amendments to Chapter 7, provided under separate cover in Appendix A, contains Chapter 7 in its entirety with additions underlined and deletions ~~struck through~~.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the following Core Principle may be impacted by this initiative as follows:

- **Availability of General Information:** The Exchange will make publicly available the details of the amendments herein by publishing a Registrar’s Office Notice (“MKR”) to the market. The MKR will be available on CME Group’s website.

Pursuant to Section 5c(c) of the Act and CFTC Regulations 40.4(b)(5a), the Exchange hereby certifies that the amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to the proposal by market participants.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at

300 Vesey Street New York, NY 10282 t 212 299 2200 f 212 299 2299 christopher.bowen@cmegroup.com cmegroup.com

<http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at 212-299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Appendix A – CBOT Chapter 7 – CBOT Chapter 7 (“Delivery Facilities and Procedures”)
(blackline format)

Appendix A

**CBOT Rulebook
Chapter 7
("Delivery Facilities and Procedures")**

(blackline format)

(attached under separate cover)