

December 1, 2021

VIA PORTAL

Commodity Futures Trading Commission
Attention: Christopher Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Submission of Amendments to the Nodal Exchange delivery schedule and last trading day for Nodal Contracts, based on environmental products, with December 2021 expiries.

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying amendments to the delivery schedule and last trading day for December 2021 futures expiries of Nodal Contracts based on environmental products pursuant to Commission Regulation 40.6(a). The Exchange intends to implement the amended delivery schedule and last trading day in 10 business days on December 15, 2021.

For Nodal futures contracts based on environmental products, which are physically delivered, the delivery day is the last business day of the month and the last trading day is three business days prior to the delivery day. For December 2021, the last business day of the month is Friday December 31, 2021, New Year’s Eve. To accommodate the anticipated reduced staff of market participants on December 31, 2021, Nodal Exchange is amending the delivery schedule for December 2021 futures expiries of Nodal Contracts based on environmental products. Nodal Exchange will remain open for business on December 31, 2021 but will not treat December 31, 2021 as a business day for delivery purposes. Therefore, the delivery day for December 2021 futures expiries of Nodal Contracts based on environmental products will be changed from Friday December 31, 2021 to Thursday December 30, 2021. In addition, the last trading day for December 2021 futures expiries of Nodal Contracts based on environmental products will be changed to December 27, 2021 to maintain the last trading day as three business days prior to the delivery day.

Exchange management has assessed the amendments to the delivery schedule and last trading day, and their compliance with applicable provisions of the Act, including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the amendments may affect the following Core Principles as follows:

Core Principle 2 - Compliance with the Rules: The amendments to the Rules provide clarity and consistency with the Act for compliance with the Rules.

Core Principle 7 – Availability of General Information: The amendments to the delivery day and last trading day, provide clarity to Exchange Participants. This notification is available to market authorities, Participants, Authorized Brokers and the public on the Exchange website.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the amended delivery schedule and last trading day comply with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the amendments to the delivery schedule and last trading day.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or Markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Director of Compliance