

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

**Registered Entity Identifier Code (optional):** 16-553

**Organization:** Chicago Mercantile Exchange Inc. ("CME")

**Filing as a:**  **DCM**  **SEF**  **DCO**  **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy):** 12/14/16 **Filing Description:** Listing of the April 2018 Contract Month of the Live Cattle Futures and Options Contracts

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

**Please note only ONE product per Submission.**

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** Live Cattle Futures and Live Cattle Options.

**Rule Numbers:** CME Rulebook Chapters: 101, 101A.



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

December 14, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Listing of the April 2018 Contract Month of the Live Cattle Futures and Options Contracts.  
CME Submission No. 16-553**

Dear Mr. Kirkpatrick:

Chicago Mercantile Exchange Inc. (“CME” or “Exchange”) hereby notifies the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying that it will list the April 2018 contract month of Live Cattle Futures and Options contracts listed in the table below (the “Contracts”) effective on Monday, January 2, 2017 for trade date Tuesday, January 3, 2017.

Contract Title	Rulebook Chapter	Clearing Code	CME Globex Code	Trading Floor Code	CME ClearPort Code
Live Cattle Futures	101	LC	LE	N/A	48
Live Cattle Options	101A	LC	LE	CK, PK	48

Previously, the Exchange temporarily delayed the listing schedule of the Contracts by not listing the October 2017 contract month and subsequent contract months of the Contracts (see CME Submission No. 16-141 dated April 14, 2016). Effective Sunday, August 21, 2016, the Exchange listed the October 2017 contract month of the Contracts (see CME Submission No. 16-304 dated August 5, 2016). Effective Sunday, October 2, 2016, the Exchange listed the December 2017 contract month of the Contracts (see CME Submission No. 16-376 dated September 16, 2016). Effective Sunday, November 6, 2016, the Exchange listed the February 2018 contract month of the Contracts (see CME Submission No. 16-425 dated October 19, 2016).

CME has received significant market feedback advising that the April 2018 contract month of the Contracts should be listed as soon as possible in order to facilitate hedging needs. The Exchange will continue to delay listing subsequent contract months of the Contracts at this time.

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (the “Act”) and identified that listing the April 2018 contract month of the Contracts may impact the following Core Principles:

- **Availability of General Information** – The Exchange shall publish on its website information in regard to futures contract specifications, terms and conditions, and any changes to the delivery procedures.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the listing of the April 2018 contract month of the Contracts complies with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com).

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel