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ATTORNEYS FOR PLAINTIFF

COMMODITY FUTURES TRADING

COMMISSION

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Chicago, Illinois 60661

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# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEVADA

COMMODITY FUTURES TRADING COMMISSION,

Plaintiff,

v.

RICHARD McCALL, Individually and d/b/a MASTERY GROUP INTERNATIONAL,

Defendant.

CIVIL ACTION NO. 2:06-cv-00132-KJD-LRL

# AGREED ORDER OF PRELIMINARY INJUNCTION AND OTHER ANCILLARY RELIEF

Plaintiff, Commodity Futures Trading Commission ("CFTC" or "Commission"), has filed a Motion for Preliminary Injunction against Defendant Richard McCall, individually and d/b/a Mastery Group International ("McCall"), seeking injunctive and other equitable relief for violations of the Commodity Exchange Act, as amended

("Act"), 7 U.S.C. §§ 1 et seq. (2002), and Regulations promulgated thereunder, 17 C.F.R. §§ 1 et seq. (2005).

Defendant, without admitting or denying the allegations of the Complaint, except those allegations regarding jurisdiction and venue, which he admits, consents to the entry of this Agreed Order of Preliminary Injunction and Other Ancillary Relief ("Order") and states that his consent is entered into voluntarily and that no promise or threat has been made by the Commission or any member, officer, agent or representative thereof, to induce them to consent to this Order.

## THE PARTIES AGREE AND THE COURT FINDS THAT:

- 1. This Court has jurisdiction over the subject matter of this action and all parties hereto pursuant to Section 6c(a) of the Act, 7 U.S.C. § 13a-1, which authorizes the Commission to seek injunctive relief against any person whenever it shall appear that such person has engaged, is engaging or is about to engage in any act or practice constituting a violation of any provision of the Act or any rule, regulation or order thereunder.
- 2. Venue properly lies with this Court pursuant to Section 6c of the Act, 7 U.S.C. § 13a-1, in that the Defendant is found in, inhabits, or transacts business in this district, and the acts and practices in violation of the Act have occurred, are occurring, or are about to occur within this district, among other places.
- 3. Defendant waives the entry of findings of facts and conclusions of law for purposes of this Order pursuant to Rule 52 of the Federal Rules of Civil Procedure.

### **ORDER**

### I. DEFINITIONS

For the purposes of this Order, the following definitions apply:

- 1. "Defendant" means McCall, individually and d/b/a Mastery Group International, and any person insofar as he or she is acting in the capacity of an officer, agent, servant, employee or attorney of McCall and any person who receives actual notice of this Order by personal service or otherwise, including facsimile, insofar as he or she is acting in concert or participation with McCall.
- 2. A commodity trading advisor ("CTA") means, in part, any person who, for compensation or profit, engages in the business of advising others, either directly or through publications, writings, or electronic media, as to the value of or the advisability of trading in any contract of sale of a commodity for future delivery made or to be made on or subject to the rules of a contract market or derivatives transaction execution facility as is set out in Section 1a(6) of the Act, 7 U.S.C. § 1a(6).

### II. RELIEF GRANTED

1. **IT IS ORDERED** that Defendant McCall is restrained and enjoined from directly or indirectly in or in connection with any order to make, or the making of, any contract of sale of any commodity for future delivery, made, or to be made, for or on behalf of any other persons, where such contract for future delivery was or could be used for (A) hedging any transaction in interstate commerce in such commodity or the products or byproducts thereof, or (B) determining the price basis of any transaction in interstate commerce in such commodity, or (C) delivering any such commodity sold, shipped, or received in interstate commerce for the fulfillment thereof: While acting as a

CTA, employing any device, scheme, or artifice to defraud any investor or prospective investor, or engaging in any transaction, practice, or course of business which operates as a fraud or deceit upon any investor or prospective investor by use of the mails or any means or instrumentality of interstate commerce, in violation of Section 4o(1) of the Act, 7 U.S.C. § 6o(1) and Commission Regulation 4.41(a), 17 C.F.R. § 4.41(a).

2. **IT IS FURTHER ORDERED** that Defendant and all persons or entities who receive notice of this Order by personal service or otherwise, including facsimile, are restrained and enjoined from directly or indirectly destroying, mutilating, erasing, altering, concealing or disposing of, in any manner, directly or indirectly, any documents that relate to the business practices or business or personal finances of the Defendant.

### III. SERVICE OF ORDER

1. **IT IS FURTHER ORDERED** that copies of this Order may be served by any means, including facsimile transmission.

## IV. BOND NOT REQUIRED OF PLAINTIFF

- 1. IT IS FURTHER ORDERED that Plaintiff CFTC is an agency of the United States of America and, accordingly, no bond need be posted by Plaintiff.
- 2. IT IS FURTHER ORDERED that this Order shall continue in full force and effect until further Order of the Court.

IT IS SO ORDER	ED.	
Signed at	_o'clock am/pm on theday of	, 2006
	UNITED STATES DISTRICT JUDGE	
Consented to by:		
Louis V. Traeger Attorney for Plaintiff		
Richard McCall, individually, and d/b/a Group International, De		

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("Act"), 7 U.S.C. §§ 1 et seq. (2002), and Regulations promulgated thereunder, 17 C.F.R. §§ 1 et seq. (2005).

Defendant, without admitting or denying the allegations of the Complaint, except those allegations regarding jurisdiction and venue, which he admits, consents to the entry of this Agreed Order of Preliminary Injunction and Other Ancillary Relief ("Order") and states that his consent is entered into voluntarily and that no promise or threat has been made by the Commission or any member, officer, agent or representative thereof, to induce them to consent to this Order.

### THE PARTIES AGREE AND THE COURT FINDS THAT:

- 1. This Court has jurisdiction over the subject matter of this action and all parties hereto pursuant to Section 6c(a) of the Act, 7 U.S.C. § 13a-1, which authorizes the Commission to seek injunctive relief against any person whenever it shall appear that such person has engaged, is engaging or is about to engage in any act or practice constituting a violation of any provision of the Act or any rule, regulation or order thereunder.
- 2. Venue properly lies with this Court pursuant to Section 6c of the Act, 7 U.S.C. § 13a-1, in that the Defendant is found in, inhabits, or transacts business in this district, and the acts and practices in violation of the Act have occurred, are occurring, or are about to occur within this district, among other places.
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### II. RELIEF GRANTED

1. **IT IS ORDERED** that Defendant McCall is restrained and enjoined from directly or indirectly in or in connection with any order to make, or the making of, any contract of sale of any commodity for future delivery, made, or to be made, for or on behalf of any other persons, where such contract for future delivery was or could be used for (A) hedging any transaction in interstate commerce in such commodity or the products or byproducts thereof, or (B) determining the price basis of any transaction in interstate commerce in such commodity, or (C) delivering any such commodity sold, shipped, or received in interstate commerce for the fulfillment thereof: While acting as a

CTA, employing any device, scheme, or artifice to defraud any investor or prospective investor, or engaging in any transaction, practice, or course of business which operates as a fraud or deceit upon any investor or prospective investor by use of the mails or any means or instrumentality of interstate commerce, in violation of Section 4o(1) of the Act, 7 U.S.C. § 6o(1) and Commission Regulation 4.41(a), 17 C.F.R. § 4.41(a).

2. **IT IS FURTHER ORDERED** that Defendant and all persons or entities who receive notice of this Order by personal service or otherwise, including facsimile, are restrained and enjoined from directly or indirectly destroying, mutilating, erasing, altering, concealing or disposing of, in any manner, directly or indirectly, any documents that relate to the business practices or business or personal finances of the Defendant.

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- 2. IT IS FURTHER ORDERED that this Order shall continue in full force and effect until further Order of the Court.

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Signed at	920	o'clock am/n on the 22 day of her numer	. 200

UNITED STATES DISTRICT JUDGE

Consented to by:

Louis V. Traeger

Attorney for Plaintiff

Richard McCall,

individually, and d/b/a Mastery Group International, Defendant.