

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION**

Commodity Futures Trading  
Commission,

Plaintiff

v.

Risk Capital Trading Group, Inc., et al

Defendants,

CIVIL ACTION NO.  
1:03-cv-2633-ODE

**JUDGMENT IN A CIVIL CASE**

This action came before the Court for consideration following a bench trial on June 12-15, 2006. The issues have been tried or heard and the court having issued its Findings of Fact and Conclusions of Law, it is

It is **ORDERED AND ADJUDGED** that judgment is entered in favor of plaintiff and against defendant Rick Siegel as follows: Restitution for the benefit of Sandra Brothers in the amount of \$8,301.92, plus pre-judgment interest from November 12, 2002, to the date of judgment, and civil monetary penalties in the amount of \$150,000.00.

It is further ordered and adjudged that defendant Rick Siegel is permanently enjoined from committing any further violations of the Commodity Exchange Act, either directly or indirectly, including, but not limited to, making misrepresentations of material facts to clients in connection with the purchase or sale of commodity futures contracts and options. Plaintiff is awarded costs.

August 14, 2006

JAMES N. HATTEN, CLERK  
By:

s/Frances M. McNulty  
Deputy Clerk