

Memorandum

September 20, 1023

Today, CFTC staff and representatives of the Futures Industry Association (FIA) had a conference call regarding the CFTC's Customer Protection rulemaking, 77 Fed. Reg. 67,866 (Nov. 14, 2012), and the FIA's comment letter dated August 2, 2013.

Participants:

CFTC

Ananda Radhakrishnan, DCR
Phyllis Dietz, DCR
Eileen Donovan, DCR
Shawn Durrani, DCR
Sarah Riddell, DCR
Tom Smith, DSIO

FIA

Kevin Foley, Katten Law
Antionette Cleary, Brown Brothers
David Buchwalter, MNY Mellon
Frank Perrone, Brown Brothers
Gilbert Schwartz, Attorney
Lora Pepi, Bank of America
Kevin Murphy, Barclays
Andy Phillips, Barclays
Maureen Burke, JP Morgan
Kim Johns, Goldman Sachs
Nikki Appel, JP Morgan
Marcus Johnson, JP Morgan
Barbara Wierzynski, FIA