

Memorandum

September 27, 2013

Today, CFTC staff and representatives of the Futures Industry Association (FIA) had a meeting regarding the CFTC's Customer Protection rulemaking, 77 Fed. Reg. 67,866 (Nov. 14, 2012), and the Regulation 1.20 template acknowledgment letter.

Participants:

CFTC

Phyllis Dietz, DCR
Shawn Durrani, DCR
Sarah Riddell, DCR
Tom Smith, DSIO

FIA

Kevin Foley, Katten Law
Linda Haven, BMO Harris
Gilbert Schwartz, Attorney
Barbara Wierzynski, FIA