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| **SUBMISSION COVER SHEET** | | | |
| **Registered Entity Identifier Code (optional)** **Date:**  ***IMPORTANT*: Check box if confidential treatment is requested.** | | | |
| **ORGANIZATION** |  | | |
| **FILING AS A:**  **DCM**  **SEF**  **DCO**  **SDR**  **ECM/SPDC** | | | |
| **TYPE OF FILING** | | |  |
| * **Rules and Rule Amendments**   Certification under § 40.6 (a) or § 41.24 (a)  “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)  Notification under § 40.6 (d)  Request for Approval under § 40.4 (a) or § 40.5 (a)  Advance Notice of SIDCO Rule Change under § 40.10 (a)   * **Products**   Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)  Swap Class Certification under § 40.2 (d)  Request for Approval under § 40.3 (a)  Novel Derivative Product Notification under § 40.12 (a) | | | |
| **RULE NUMBERS** | |  | |
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| **DESCRIPTION** | |  | |
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