

**UNITED STATES OF AMERICA**  
**Before the**  
**COMMODITY FUTURES TRADING COMMISSION**

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In the Matter of the Exemption of Approved Exchanges  
and Locally-Incorporated Recognised Market Operators  
Authorized within Singapore from the  
Requirement to Register with the Commodity  
Futures Trading Commission as Swap Execution Facilities

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**THIRD AMENDMENT TO APPENDIX A TO ORDER OF EXEMPTION**

On March 13, 2019, the Commodity Futures Trading Commission (“Commission”) issued an order of exemption (the “Order”) pursuant to Commodity Exchange Act (“CEA”) section 5h(g), with respect to approved exchanges (“AEs”) and recognised market operators (“RMOs”) authorized by the Monetary Authority of Singapore (“MAS”), granting each of the AEs and RMOs listed in Appendix A to the Order an exemption from the requirement to register with the Commission as a swap execution facility (“SEF”).

CEA section 5h(g) authorizes the Commission to exempt, conditionally or unconditionally, a SEF from registration under CEA section 5h if the Commission finds that the facility is “subject to comparable, comprehensive supervision and regulation on a consolidated basis by ... the appropriate governmental authorities in the home country of the facility.”

The Commission determined in the Order that the MAS regulatory frameworks for AEs and RMOs, respectively, satisfy the standard set forth in CEA section 5h(g) for granting an exemption from the SEF registration requirement.

Pursuant to this determination, the Order provided that MAS staff could request that the Commission amend the list of AEs and RMOs in Appendix A to the Order, to include additional AEs or RMOs that are authorized and in good standing in Singapore.

On October 30, 2020, following a request by MAS staff, the Commission replaced Appendix A to the Order with an amended Appendix A (“Amended Appendix A”), which amended the list of AEs and RMOs exempted from SEF registration to include additional RMOs.

On October 26, 2022, following a request by MAS staff, the Commission replaced Amended Appendix A to the Order with an amended Appendix A (“Second Amended Appendix A”), which updated the list of AEs and RMOs exempted from SEF registration to include three additional RMOs.

In correspondence dated October 16, 2023 and April 19, 2024, MAS staff requested that the list of AEs and RMOs in Appendix A to the Order be amended to include the following additional RMOs, and represented that each such RMO is authorized and in good standing with MAS:

FMX Securities (Singapore) Pte. Limited  
LMAX Pte. Ltd.

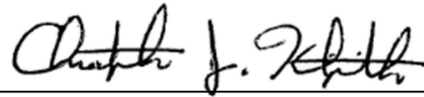
NOW THEREFORE the Commission pursuant to the Order ORDERS that Second Amended Appendix A to the Order be deleted in its entirety and replaced with the Third

Amended Appendix A attached hereto, which includes each of the additional RMOs identified above.

Except as expressly provided herein, the Order is unaffected and shall continue in full force and effect in accordance with its terms.

Issued in Washington, DC, on this 31st day of July, 2024.

By the Commission,

A handwritten signature in black ink, appearing to read "Christopher J. Kirkpatrick", written over a horizontal line.

Christopher J. Kirkpatrick  
Secretary of the Commission

### **Third Amended Appendix A**

#### **List of AEs and RMOs that are authorized and in good standing within Singapore that are subject to this Exemption Order**

##### **Trading Venue Name and Category (i.e., AE or RMO)**

Asia Pacific Exchange Pte Ltd. (AE)  
BGC Partners (Singapore) Ltd. (RMO)  
Bloomberg Tradebook Singapore Pte Ltd (RMO)  
Cleartrade Exchange Pte Ltd. (RMO)  
Euronext Markets Singapore Pte Ltd (RMO)  
FMX Securities (Singapore) Pte. Limited (RMO)  
GFI Group Pte Ltd. (RMO)  
ICAP (Singapore) Pte Ltd. (RMO)  
ICE Futures Singapore Pte Ltd. (AE)  
LMAX Pte. Ltd. (RMO)  
Nittan Capital Singapore Pte Ltd. (RMO)  
Refinitiv Transaction Services Pte Ltd. (RMO)  
SGX FX Markets Pte. Ltd. (RMO)  
Singapore Exchange Derivatives Trading Limited (AE)  
Synoption Pte. Ltd. (RMO)  
TFS Currencies Pte Ltd. (RMO)  
Tradition Singapore (Pte) Ltd (RMO)  
Tullett Prebon (Singapore) Limited (RMO)