

Global Markets Advisory Committee Meeting

September 24, 2019

Commodity Futures Trading Commission
1155 21st Street, NW
Washington, DC 20581

AGENDA

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| 9:30 AM – 9:45 AM | Welcome and Opening Remarks <ul style="list-style-type: none">○ Commissioner Dawn D. Stump (GMAC Sponsor)○ Chairman Heath P. Tarbert○ Commissioner Brian D. Quintenz○ Commissioner Rostin Behnam○ Commissioner Dan Berkovitz |
| <u>Uncleared Margin Rules</u> | |
| 9:45 AM – 10:15 AM | Panel 1: Status Update on Implementation of Uncleared Margin Rules <p><i>Presenters:</i></p> <ul style="list-style-type: none">○ Michael Gibson, Director, Division of Supervision and Regulation, Board of Governors of the Federal Reserve System○ Rafael Martinez, Senior Financial Risk Analyst, Division of Swap Dealer and Intermediary Oversight, CFTC |
| 10:15 AM – 11:00 AM | Panel 2: Buy-Side Perspective on Implementation of Uncleared Margin Rules <p><i>Presenters:</i></p> <ul style="list-style-type: none">○ Richard Grant, Global Head of Regulatory and Government Affairs, Associate General Counsel, AQR Capital Management, LLC○ Wendy Yun, Managing Director & Associate General Counsel, Goldman Sachs Asset Management |
| 11:00 AM – 11:15 AM | Break |
| 11:15 AM – 12:00 PM | Panel 3: Custody Bank Perspective on Implementation of Uncleared Margin Rules <p><i>Presenters:</i></p> <ul style="list-style-type: none">○ Judson Baker, Head of Product Development for Derivatives and Collateral Services, Northern Trust○ Dominick Falco, Managing Director, Head of Segregation, BNY Mellon |

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| 12:00 PM – 12:30 PM | <p>Panel 4: Cross-Jurisdictional Issues in Implementation of Uncleared Margin Rules</p> <p><i>Presenter:</i></p> <ul style="list-style-type: none"> ○ Tara Kruse, Global Head of Infrastructure, Data and Non-Cleared Margin, ISDA |
| 12:30 PM – 1:45 PM | Lunch |
| <u>EMIR 2.2</u> | |
| 1:45 PM – 2:45 PM | <p>Panel 5: EMIR 2.2 and ESMA Consultation</p> <p><i>Presenters:</i></p> <ul style="list-style-type: none"> ○ Sean Downey, Executive Director, Global Clearing & Risk Policy, CME Group ○ Jacqueline Mesa, Chief Operating Officer & Senior Vice President of Global Policy, FIA ○ Carolyn Van den Daelen, Head of Regulation & Compliance, ICE Clear Europe |
| 2:45 PM – 3:00 PM | Closing Remarks and Adjournment |