

CFTC TECHNOLOGY ADVISORY COMMITTEE (TAC)
October 3, 2019
AGENDA

10:00am **Opening Remarks**

10:20am **Panel I: Virtual Currencies Subcommittee Presentations**

A review of the different natures and characteristics of stablecoins and the potential implications for regulation.

Lead Participants:

- Gary DeWaal, Special Counsel, Chair, Financial Markets and Regulatory, Katten Muchin Rosenman LLP
- Lee Schneider, General Counsel, block.one

A presentation on the various cryptocurrency custodial relationships and custodial options.

Lead Participants:

- Chris Brummer, Professor and Director, Institute of International Economic Law, Georgetown University Law Center
- Thomas Chippas, Chief Executive Officer, ErisX

11:45am **Panel II: Distributed Ledger Technology and Market Infrastructure Subcommittee Presentation**

A presentation on data privacy, and the applications of distributed ledger technology in derivatives markets for custody and collateral management.

Lead Participants:

- Brad Levy, CEO MarkitSERV, IHS Markit
- Shawna Hoffman, IBM Global Cognitive Legal Leader
- Yesha Yadav, Professor of Law, Vanderbilt Law School

12:30pm **Lunch**

1:30pm **Panel III: Automated and Modern Trading Markets Subcommittee Presentation**

A presentation on best practices for managing risks associated with automated trading systems and related market implications, highlighting FIA's best practices and risk controls currently employed on the ICE trading platform.

Lead Participants:

- Alicia Crighton, Managing Director, Goldman Sachs
- Mayur Kapani, Chief Technology Officer, ICE
- Ed Prosser, Senior Vice President, The Scoular Company
- Yesha Yadav, Professor of Law, Vanderbilt Law School

2:30pm **Panel IV: Cybersecurity Subcommittee Presentations**

A presentation on the Financial Services Sector Cybersecurity Profile.

Lead Participants:

- Tim McHenry, Vice President, Information Systems, NFA
- Josh Magri, Senior Vice President and Counsel for Regulation & Developing Technology, Bank Policy Institute

A presentation on the current approach to vendor risk management, the challenges of that approach, and possible alternatives to consider.

Lead Participants:

- Jason Harrell, Executive Director and Head of Business and Government Cybersecurity Partnerships, DTCC

3:20pm **Closing Remarks**