



U.S. Commodity Futures Trading Commission
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Open Meeting of the Commission – Monday, November 25, 2019 – 10:00 a.m.

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert
Commissioner Brian D. Quintenz
Commissioner Rostin Behnam
Commissioner Dawn DeBerry Stump
Commissioner Dan M. Berkovitz

3. Staff Presentation: *Final Rules – Amendments to Part 4: Registration and Compliance Requirements for Commodity Pool Operators and Commodity Trading Advisors*

- Amendments to Rules 4.7, 4.13, and 4.14 (Codification of Relief for Family Offices and Relief Related to the JOBS Act)
- Amendments to Rules 4.5 and 4.27 (Updating Exclusions and Adding Reporting Relief)
Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)
Amanda Olear, DSIO
Elizabeth Groover, DSIO
Chang Jung, DSIO

Motion / Commissioners' Questions and Discussion / Vote

4. Closing Statements

Commissioner Dan M. Berkovitz
Commissioner Dawn DeBerry Stump
Commissioner Rostin Behnam
Commissioner Brian D. Quintenz
Chairman Heath P. Tarbert

5. Adjournment