



Open Meeting of the Commission – Thursday, January 30, 2020

AGENDA

Morning Session – 9:00 a.m.

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn DeBerry Stump

Commissioner Dan M. Berkovitz

3. Proposed Rule: Position Limits for Derivatives

Staff Presentation

Dorothy D. DeWitt, Division of Market Oversight (DMO)

Aaron Brodsky, DMO

Lillian Cardona, DMO

Steven A. Haidar, DMO

Vincent A. McGonagle, CFTC

Scott Mixon, Office of the Chief Economist (OCE)

Daniel J. Davis, Office of the General Counsel (OGC)

Steven Benton, DMO

Jeanette Curtis, DMO

Harold Hild, DMO

Stephen Kane, OCE

Rob Schwartz, OGC

Motion

Commissioners' Questions and Discussion

Vote

4. Closing Statements

5. Session Recesses

[continued on reverse]

Afternoon Session – 1:30 p.m.

1. Call to Order and Reconvene

2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn DeBerry Stump

Commissioner Dan M. Berkovitz

3. *Proposed Rule: Amendments to Codify No-Action Relief for Swap Execution Facility and Real-Time Reporting Requirements*

Staff Presentation

Dorothy D. DeWitt, DMO

Roger Smith, DMO

Vincent A. McGonagle, CFTC

Motion

Commissioners' Questions and Discussion

Vote

4. Closing Statements

5. Meeting Adjourns