

## Open Meeting of the Commission – Thursday, June 25, 2020

### **AGENDA**

### 1. Call to Order

## 2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn D. Stump

Commissioner Dan M. Berkovitz

## 3. Proposed Rule: Electronic Trading Risk Principles

# Withdrawal of the Proposed Rule and Supplemental Proposal for Regulation AT

**Staff Presentation (combined for both matters)** 

Dorothy D. DeWitt, Division of Market Oversight (DMO)

Marilee Dahlman, DMO Joseph Otchin, DMO

**Motions** 

**Commissioners' Questions and Discussion (combined for both matters)** 

Votes

## 4. Final Rule: Post-Trade Name Give-Up on Swap Execution Facilities

#### **Staff Presentation**

Dorothy D. DeWitt, Division of Market Oversight (DMO)

Vincent A. McGonagle, DMO Aleko Stamoulis, DMO

Israel J. Goodman, DMO Roger Smith, DMO

Motion

**Commissioners' Questions and Discussion** 

Vote

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5. Final Rule: Exemption from the Swap Clearing Requirement for Certain Affiliated Entities – Alternative Compliance Frameworks for Anti-Evasionary Measures (Inter-Affiliate Exemption 50.52)

### **Staff Presentation**

Clark Hutchison, Division of Clearing and Risk (DCR)

Sarah E. Josephson, DCR

Melissa D'Arcy, DCR

Motion

Commissioners' Questions and Discussion

Vote

6. Proposed Rule: Margin Requirements for Uncleared Swaps for Swap Dealers and Major Swap Participants (Phase VI Compliance Date Extension)

### **Staff Presentation**

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Warren Gorlick, DSIO

Carmen Moncada-Terry, DSIO

Motion

Commissioners' Questions and Discussion

Vote

- 7. Closing Remarks
- 8. Adjournment