



Open Meeting of the Commission – Tuesday, December 8, 2020

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert
Commissioner Brian D. Quintenz
Commissioner Rostin Behnam
Commissioner Dawn D. Stump
Commissioner Dan M. Berkovitz

3. Final Rule: Electronic Trading Risk Principles

Staff Presentation

Dorothy D. DeWitt, Division of Market Oversight (DMO)
Marilee Dahlman, DMO
Joseph Otchin, DMO

Motion

Commissioners' Questions and Discussion
Vote

4. Final Rule: Part 190 Bankruptcy Regulations

Staff Presentation

Robert B. Wasserman, Division of Clearing and Risk

Motion

Commissioners' Questions and Discussion
Vote

5. Closing Remarks

6. Adjournment