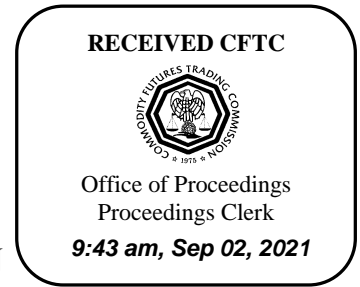


UNITED STATES OF AMERICA
Before the
COMMODITY FUTURES TRADING COMMISSION



In the Matter of:)	CFTC Docket No. SD 21-01
)	
Ted Brent Alexander)	NOTICE OF INTENT TO SUSPEND OR
)	MODIFY REGISTRATION PURSUANT
)	TO SECTION 8a(11) OF THE
)	COMMODITY EXCHANGE ACT, AS
Registrant.)	AMENDED
)	
)	

I.

The Commodity Futures Trading Commission ("Commission") has received information from its staff which tends to show, and the Commission's Division of Enforcement ("Division") alleges and is prepared to prove, that:

1. Ted Brent Alexander ("Alexander" or "Registrant") resides in Jackson, Mississippi.
2. Since October 8, 2008, Alexander has been registered with the Commodity Futures Trading Commission ("Commission") as a Commodity Trading Advisor and as an Associated Person, pursuant to Sections 4k and 4m of the Commodity Exchange Act ("Act"), 7 U.S.C. §§ 6k and 6m (2018).
3. On February 25, 2020, the U.S. Attorney for the Southern District of Mississippi filed an indictment in *United States v. Alexander et al.*, No. 3:20-cr-00031 (S.D. Miss.) which charged Registrant with three federal felonies. More specifically, the indictment charged

Registrant with one count of conspiracy to commit securities and commodities fraud and wire fraud in violation of 18 U.S.C. § 1349, one count of securities and commodities fraud in violation of 18 U.S.C. § 1348; and four counts of wire fraud in violation of 18 U.S.C. § 1343.

4. The indictment charges Registrant with the commission of, or participation in, crimes involving violations of federal law that reflect on the honesty or the fitness of Registrant to act as a fiduciary and that are punishable by imprisonment for a term exceeding one year.

5. The continued registration of Registrant may pose a threat to the public interest or may threaten to impair public confidence in markets regulated by the Commission. Accordingly, Registrant is subject to having his registration suspended or modified under Section 8a(11)(A) of the Act, 7 U.S.C. § 12a(11)(A) (2018).

II.

Pursuant to its responsibilities under the Act and by reason of the foregoing allegations by the Division, the Commission deems it necessary and appropriate that public proceedings be conducted in accordance with the provisions of Commission Regulation 3.56, 17 C.F.R. § 3.56 (2020), to determine whether Alexander is subject to modification or suspension of his registration status as alleged and, if so, whether his current registration should be modified or suspended.

III.

WHEREFORE, IT IS HEREBY ORDERED that this Notice of Intent to Suspend or Modify ("Notice") be filed and that a proceeding be conducted in accordance with the provisions of Regulation 3.56 to determine whether Ted Brent Alexander is subject to suspension or modification of his registration, and if so, whether his current registration should be suspended or modified.

Pursuant to Regulation 3.56(b)(1) and (3), Registrant may submit a written response to the Proceedings Clerk within twenty (20) days after service, and shall serve two copies of such written submission and any documents filed in this proceeding upon Rick Glaser, Deputy Director, and Michael Solinsky, Chief Trial Attorney, Division of Enforcement, Commodity Futures Trading Commission, 1155 21st Street, NW, Washington, DC 20581.

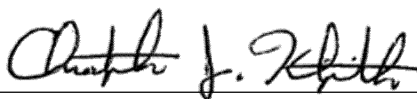
Pursuant to Regulation 3.56(b)(2), Registrant may also request an oral hearing. Such proceeding shall be held before a Presiding Officer in accordance with Regulations 3.56 and 10.8, 17 C.F.R. §§ 3.56, 10.8 (2020), and all post-hearing procedures shall be conducted pursuant to Regulation 3.56(e)-(i), 17 C.F.R. § 3.56(e)-(i) (2020).

IT IS FURTHER ORDERED that this Notice shall be served on Registrant personally or by registered or certified mail forthwith.

In the absence of an appropriate waiver, no officer or employee of the Commission engaged in the performance of the investigative or prosecutorial functions in this or any factually related proceeding will be permitted to participate or advise in the decision upon this matter except as witness or counsel in proceedings held pursuant to notice.

Dated: September 2, 2021

By the Commission.



Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission